

Topology Notes (review)

Def: Topology

$\emptyset, X$; Closed under arb unions & finite intersections.

Def: Basis

$\mathcal{B}$ covers X , closed under pairwise intersections.
($\exists B \text{ s.t. } x \in B \forall x$) ($x \in B_1 \cap B_2 \Rightarrow \exists B_3 \text{ s.t. } x \in B_3 \subseteq B_1 \cap B_2$)

Lemma: Finer ($\mathcal{T}' \supseteq \mathcal{T}$)

$\forall x \in X \text{ \& } \forall B \ni x, \exists B' \in \mathcal{B}' \text{ s.t. } x \in B' \subseteq B.$

Order Topology

Basis: $(a, b), [\underset{\uparrow \text{min}}{a_0}, b), (a, \underset{\uparrow \text{max}}{b_0}]$.

Product Topology

Basis: $\mathcal{B} = \{U \times V \mid U \text{ open in } X, V \text{ open in } Y\}.$

Subspace Topology

$Y \subseteq X, \mathcal{T}_Y = \{Y \cap U \mid U \in \mathcal{T}\}$ forms the subspace topology.

Basis: $\mathcal{B}_Y = \{B \cap Y \mid B \in \mathcal{B}\}.$

Thm: $A \subseteq X, B \subseteq Y$; then product topology on $A \times B$ is equal to subspace topology $A \times B \subseteq \underbrace{X \times Y}_{\text{Product topology}}.$

Def Closed-

$A \subseteq X$ is closed if $X \setminus A$ is open.

Def Interior

Int(A): Union of all open sets contained in A .

Def Closure.

cl(A): Intersection of all closed sets containing A .

Thm: $Y \subseteq X$ subspace; $A \subseteq Y$; if $\bar{A}$ = closure of A in X , then
closure of A in $Y = \bar{A} \cap Y$.

Def Neighbourhood.

An open set containing a pt. is its' nbd.

*Thm: $x \in \bar{A}$ iff every nbd of x intersects A .

Def Limit point

Every neighbourhood of x intersects A in some pt. other than x .

$$\Leftrightarrow x \in \overline{A - \{x\}}.$$

Thm: $\bar{A} = A \cup A'$ set of all limit pts. of A .

Cor: A Closed $\Leftrightarrow A$ contains all its limit pts.

Def: Convergence.

$\{x_n\} \rightarrow x$ if $\forall$ nbd U of x $\exists N$ s.t. $x_n \in U \forall n \geq N$.

("A tail in every nbd").

Def: Hausdorff

Given 2 distinct points, there exist disjoint nbds.

$x_1, x_2 \in X$; $x_1 \neq x_2 \Rightarrow \exists U_1, U_2$ s.t. $x_1 \in U_1, x_2 \in U_2$ & $U_1 \cap U_2 = \emptyset$.

Thm: In a Hausdorff space, every finite set is closed.

Thm: In a Hausdorff space convergence is unique.

Thm: Subspace of a HS is a HS. Product of HS are HS.

Every simply ordered set with order top. is HS.

Def: T1 axiom

"Every finite set is closed".

Thm: If X is T1, $x \in X$ is a limit pt of $A \Leftrightarrow$ every nbd of x contains infinitely many pts. in A .
($A \subseteq X$)

Def: Continuous function

$f: X \rightarrow Y$ continuous if $\forall$ open $A \subseteq Y$; $f^{-1}(A)$ open $\subseteq X$.

(enough to show inverse image of basis elt is open)

Thm: TFAE

- 1) f continuous 2) $A \subseteq X$, $f(\overline{A}) \subseteq \overline{f(A)}$ 3) f^{-1} of closed subset is closed.
4) $\forall x \in X$, and each nbd V of $f(x)$, $\exists$ nbd U of x s.t. $f(U) \subseteq V$.

Def: Homeomorphism

$f: X \rightarrow Y$ bijection with inverse $f^{-1}: Y \rightarrow X$ s.t. both f, f^{-1} are continuous.

$f: X \rightarrow Y$ bijection & $f(U)$ open $\Leftrightarrow U$ open ($\forall U$ open).

Thm: Constant fns., restrictions, inclusions and compositions are continuous.

Product Topology $\prod_{\alpha \in J} X_{\alpha}$

Def: Box topology

Basis: $\prod_{\alpha \in J} U_{\alpha}$ where each U_{α} is an open set in X_{α} $\forall \alpha$.

Def: Product topology

Basis: $\prod_{\alpha \in J} U_{\alpha}$ where each U_{α} & $U_{\alpha} = X_{\alpha}$ for all but finitely many α .

(2 Thms on Pg 30)

Metric Spaces.

Def: $d: X \times X \rightarrow \mathbb{R}$ is a metric on set X if

- 1) $d(x, y) \geq 0 \quad \forall x, y \in X$ & $d(x, y) = 0$ iff $x = y$ Semidefinite.
- 2) $d(x, y) = d(y, x)$ Symmetric
- 3) $d(x, y) + d(y, z) \geq d(x, z) \quad \forall x, y, z \in X$.

Euclidean metric: $d(\vec{x}, \vec{y}) = \left(\sum_{i=1}^n (x_i - y_i)^2 \right)^{1/2}$

Square metric: $\rho(\vec{x}, \vec{y}) = \max \{ |x_i - y_i| \}$

Def: ϵ -Ball $B_d(x, \epsilon)$.

$B_d(x, \epsilon) = \{ y \in X \mid d(x, y) < \epsilon \}$.

Def: Metric Topology.

Basis: Collection of all ϵ -balls $B_d(x, \epsilon)$.

Def: Metriizable.

A top. sp. X is metriizable if $\exists$ a metric d on X that induces X .

Thm: Topologies on $\mathbb{R}^n$ induced by d & ρ are same as the product top.

Lemma: d, d' metrics on X induce T, T' . T' is finer than T iff

$\forall x \in X, \epsilon > 0 \exists \delta > 0$ s.t. $B_{d'}(x, \delta) \subseteq B_d(x, \epsilon)$.

Def: Bounded.

(X, d) Subset A is bounded if $\exists M$ s.t. $d(a_1, a_2) \leq M \quad \forall$ pairs $a_1, a_2 \in A$.

Discrete metric

$d(x, y) = \begin{cases} 0 & x = y \\ 1 & \text{otherwise} \end{cases}$

Def: Uniform metric (a metric on $\mathbb{R}^{\omega}$) ($\rightarrow$ generates uniform Topology)

$$\bar{\rho}(x, y) = \sup \{ \min \{ |x_i - y_i|, 1 \} \}$$

Thm: On $\mathbb{R}^{\omega}$ Box $\not\equiv$ Uniform $\not\equiv$ Product topologies.

Thm: metrization of product topology.

Let $\bar{d}(a, b) = \min \{ |a - b|, 1 \}$ metric on $\mathbb{R}$. If $\vec{x}, \vec{y} \in \mathbb{R}^{\omega}$,

define $D(\vec{x}, \vec{y}) = \sup \{ \bar{d}(x_i, y_i) \}$ $\rightarrow$ induces product topology.

Continuous functions and metric spaces

Thm: (X, d_x) and (Y, d_y) metric spaces. Let $f: X \rightarrow Y$.

f is continuous iff $\forall x, y \in X$ & $\epsilon > 0$, $\exists \delta > 0$ s.t. $d_x(x, y) < \delta$ then $d_y(f(x), f(y)) < \epsilon$.

Lemma: Sequence Lemma.

If $\exists$ a sequence of pts in $A \subseteq X$ converging to $x \Rightarrow x \in \bar{A}$.

Converse is true if X is metrizable.

Thm: Continuity & convergence.

If $f: X \rightarrow Y$ continuous, then if $x_n \rightarrow x$ in X , then $f(x_n) \rightarrow f(x)$ in Y .

Converse is true if X is metrizable.

Def: Uniform convergence.

Let $f_n: X \rightarrow Y$ seq. of fns. Y metric space with metric d . (f_n) converges uniformly to $f: X \rightarrow Y$ if given $\epsilon > 0$, $\exists$ some $N \in \mathbb{N}$ s.t. $d(f_n(x), f(x)) < \epsilon \forall n > N$.

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Thm (Uniform Limit Theorem)

Let $f_n: X \rightarrow Y$ sequence of continuous functions. X top. sp. & Y metric sp. (Y, d) . If f_n converges uniformly to $f: X \rightarrow Y$, then f is continuous.

Quotient Topology

Def: Quotient map

$p: X \rightarrow Y$ surjective and $p^{-1}(U)$ is open in $X \iff U$ is open in Y .

"Different from open map since only open preimages mapped to open sets."

"Stronger than continuity".

Def: Quotient topology

Given surjective $p: X^{\text{top}} \rightarrow A^{\text{set}}$, there is a unique topology on A s.t. p is a quotient map. This is the quotient topology.

$$\tau = \{U \subseteq A \mid p^{-1}(U) \text{ is open in } X\}.$$

Def: Quotient space

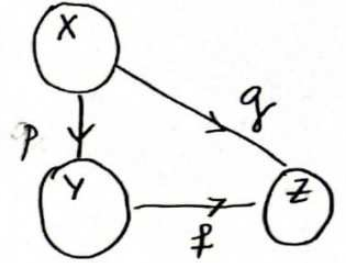
X top. space. Let X^* be a partition of X . Let $p: X \rightarrow X^*$ be a surjective map which maps $x \in X$ to the elt of X^* which contains that pt. With the quotient topology, X^* is called a quotient space of X .

$$\tau_{X^*} = \{U \subseteq X^* \mid p^{-1}(U) \text{ open in } X\} \rightarrow \text{construction of top on } X^* \text{ from top on } X$$

Thm: $p: X \rightarrow Y$ quotient map. Let Z be a space and $g: X \rightarrow Z$ be a map that's constant on each set $p^{-1}(\{y\}) \forall y \in Y$.

Then g induces a map $f: Y \rightarrow Z$ s.t. $f \circ p = g$

- ① f cont. $\Leftrightarrow g$ cont.
- ② f quotient map $\Leftrightarrow g$ quotient map.

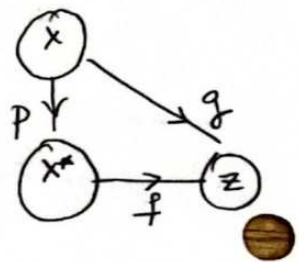


Corollary: $p: X \rightarrow X^*$ quotient map, s.t. $X^* = \{g^{-1}(\{z\}) \mid z \in Z\}$

where $g: X \rightarrow Z$ surjective continuous map.

Then g induces a bijective continuous map

$$f: X^* \rightarrow Z \text{ s.t.}$$



- ① f is homeo $\Leftrightarrow g$ is quotient map
- ② If Z is Hausdorff, then so is X^* .

Connected Spaces.

● Def: Separation

A separation of X is a pair U, V of disjoint, nonempty, open subsets of X whose union is X .

Def: Connected space.

- X is connected if there does not exist a separation of X .
- X is connected iff the only subsets of X which are both open & closed are $\emptyset$ & X .

● Lemma: Subspace connectedness

$Y \subseteq X$ subspace; A separation of Y is a pair of disjoint, nonempty sets A & B whose union is Y , neither of which contains a limit pt. of the other. Y is connected if there exists no separation of Y .

Thm: A union of connected subspaces of X that have a pt in common is connected.

Thm: Let A be a connected subspace of X , if $A \subset B \subset \bar{A}$; Then B is connected.

● Thm: A finite Cartesian product of connected spaces is connected.

Thm: The image of a connected space under a continuous map is connected.

Connected Subspaces of $\mathbb{R}$.

Def: Least Upper Bound property (LUB or sup).

An ordered set A has the LUB if every nonempty subset $A_0 \subseteq A$ that is bounded above (by some element of A) has a least upper bound in A .

"Bounded above by $A \Rightarrow \sup \in A$ ".

Def: Linear Continuum

A simply ordered set L having more than one elt, is called a linear continuum if

1) L has LUB

2) If $x < y$, $\exists z$ s.t. $x < z < y$.

Thm: If L is a linear continuum with order topology, then L is connected and so are intervals and rays in L .

Thm: (Intermediate Value Theorem)

$f: X \rightarrow Y$ continuous map. X connected, Y is ordered set with order topology; if $a, b \in X$ and $r \in Y$ s.t. $f(a) < r < f(b)$ then $\exists c \in X$ s.t. $f(c) = r$.

Def: Path

Given $x, y \in X$, a path from x to y is a continuous map

$$f: \underbrace{[a, b]}_{\substack{\text{I} \\ \mathbb{R}}} \longrightarrow X \text{ s.t. } f(a) = x, f(b) = y.$$

Def: Path Connected (stronger than connectedness)

A space is path connected if every pair of pts. can be joined by a path in X .

Def: Components (or connected components)

Given X space, define equivalence relation on X by:
 $x \sim y$ if there exists a connected subspace of X containing both x and y . The equivalence classes are then the connected components or components of X .

Def: Path components (analogous to above def).

Thm: The (path) components of X are (path) connected disjoint subspaces whose union is X s.t. any non empty (path) connected subspace of X will intersect only one of them.

Remarks

- ① Each component of X is closed in X .
- ② If X is a finite union of its components, then each component is also open in X .
- ③ In general a component of X need not be open.
- ④ Path components need not be open or closed.

Def: Local Connectedness (or Local path connectedness)

X is locally^(path) connected at x if $\forall$ nbd U of x , $\exists$ $a_x^{\text{(path)}}$ connected nbd V of x s.t. $x \in V \subseteq U$.

Def: Locally connected (or locally path connected)

X is locally^(path) connected if every $x \in X$ is locally (path) connected.

Compact Spaces

Def: Covering (Open covering)

A collection $\mathcal{A}$ of subsets of a space X is a covering of X or covers X if $X = \bigcup_{A \in \mathcal{A}} A$. Open covering if each A is open in X .

Def: Compact

X is compact if every open covering of X contains a finite subcollection which also covers X . "Every open covering has a finite subcovering".

Def: Subspace Covering.

● If $Y \subseteq X$ subspace, a collection $\mathcal{A}$ of subsets of X is said to cover Y if the union of its elements contains Y .

$$\left[\bigcup_{A \in \mathcal{A}} A \supseteq Y \right]$$

Lemma: Compact subspace

$Y \subseteq X$ subspace is compact iff every covering of Y by open sets in X contains a finite subcollection which also covers Y .

Thm: Every closed subspace of a compact space is compact.

● Thm: The image of a compact space under a continuous function is compact.

Thm: Every compact subspace of a Hausdorff space is closed.

Thm: Let $f: X \rightarrow Y$ be a bijection & continuous fn. If X is compact and Y is Hausdorff, then f is a homeomorphism.

Thm: A finite product of compact spaces is also compact.

Thm: Extreme Value Theorem (EVT)

Let $f: X \rightarrow Y$ continuous, Y order topology. If X is compact,

$$\exists c, d \in X \text{ s.t. } f(c) \leq f(x) \leq f(d) \quad \forall x \in X.$$

Thm: X = simply ordered set with LUB. In the order top on X , each closed interval in X is compact.

Thm: $A \subseteq \mathbb{R}^n$ is compact iff A is closed and bounded.

Def: Uniformly Continuous

$(X, d_x), (Y, d_y)$ metric spaces. $f: X \rightarrow Y$ is uniformly continuous if $\forall \epsilon > 0 \exists \delta > 0$ s.t. $d_x(x_0, x_1) < \delta \Rightarrow d_y(f(x_0), f(x_1)) < \epsilon$
 $\forall x_0, x_1 \in X$.

Thm: (Uniform Continuity Theorem)

$f: X \rightarrow Y$ continuous, (X, d_x) compact metric space, (Y, d_y) metric sp. Then f is uniformly continuous.

Def: distance from x to A

(X, d_x) metric space and $A \subseteq X$ nonempty subset. For any $x \in X$, $d(x, A) = \inf_{(y \in A)} \{d(x, a) \mid a \in A\}$.

For a fixed A ; $d(x, A)$ is continuous w.r.t x .

Thm: Lebesgue Number Theorem

Let $\mathcal{A}$ be an open covering of a metric space (X, d) . If X is compact, there is a $\delta > 0$ s.t. for each subset of X of diam $< \delta$, $\exists$ an element of $\mathcal{A}$ that contains it. (δ is called the Lebesgue no. of $\mathcal{A}$).

Post midterm

Thm X is locally (path) connected iff for every open set U of X ,
 each (path) component of U is open in X .

Def: Limit point compactness (LPC)

X is LPC if every infinite subset of X has a limit point.

Thm: Compactness $\Rightarrow$ LPC.

Def: Subsequence

(x_n) is a sequence in X . If $n_1 < n_2 < \dots < n_i < \dots$ is an increasing sequence of pts. in $\mathbb{Z}_+$, then $y_i = x_{n_i}$ is a subsequence of (x_n) .

Def: Sequentially compact (SC)

X is SC if every sequence of pts. of X has a convergent subsequence.

Thm: If X is metrizable, then $C \Leftrightarrow SC \Leftrightarrow LPC$

Def: Local Compactness (later a more satisfying def is given which is equivalent).

A space X is locally compact at x if $\exists$ compact subspace C of X that contains a nbd of x .

Thm (One point compactification)

X locally compact Hausdorff iff $\exists Y$ s.t

1) X subspace of Y

2) $Y - X$ consists of a single point

3) Y is compact Hausdorff.

Y is unique upto a homeomorphism & is the one pt. compactification.

Countability and Separation Axioms

Def: First Countable / Countable basis $\rightarrow$ "local property"

$\Rightarrow X$ has a countable ^(local) basis at x if $\exists$ a countable collection $\mathcal{B}$ of nbds of x s.t. every nbd of x contains a $B \in \mathcal{B}$.

$\Rightarrow$ First countable if true $\forall x \in X$.

$\hookrightarrow$ Given nbd U , $\exists B$
s.t. $x \in B \subseteq U$

Def: Second countable $\rightarrow$ "Global property"

If X has a countable basis it is second countable.

Thm: Subspaces & products of 1st/2nd countable spaces are also 1st/2nd countable

Def: Dense subsets

A subset $A \subseteq X$ is dense in X if $\overline{A} = X$.

Def: Lindelöf space

Every open covering has a countable subcovering.

Def: Separable space

$\exists$ a countable subset of X that is dense in X .

Thm: Second countable $\Rightarrow$ Lindelöf & separable.

Separation axioms

Def: Regular and Normal

Suppose T_1 is satisfied (i.e. one pt. sets are closed)

Regular: For each pair consisting of a point $x \in X$ & a closed set B disjoint from x , $\exists$ open disjoint set $U \ni x$ and $V \supset B$.

Normal: For each pair of disjoint closed sets A, B ; $\exists$ open disjoint sets $U \supset A$ & $V \supset B$.

Rmk: $\text{Normal} \Rightarrow \text{Regular} \Rightarrow \text{Hf} \Rightarrow T_1$

Lemma: Alternative definition

Suppose T_1 is satisfied,

a) Regular: iff given $x \in X$ and nbd U of x , $\exists$ nbd V of x s.t. $\overline{V} \subset U$

b) Normal: iff given closed set A & open set $U \supset A$, $\exists$ open $V \supset A$ s.t. $\overline{V} \subset U$.

Thm Subspace & product of regular/Hf spaces are regular/Hf.

Thm: Every metrizable space is Normal.

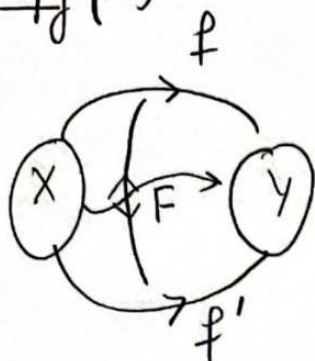
Thm: Every regular space with a countable basis is normal.

Thm: Every compact Hf space is normal.

Thm: Every well ordered set is normal in the order topology

ALGEBRAIC TOPOLOGY

Def: Homotopy ($\simeq$)



$$F: X \times \overset{\curvearrowright}{I} \rightarrow Y \text{ continuous.}$$

$$F(x, 0) = f(x)$$

$$F(x, 1) = f'(x)$$

$f \simeq f' \rightarrow$ homotopic ; F is the homotopy

If f' is a constant map, the f is null homotopic.

Def: Path

$$f: \underbrace{[0, 1]}_I \rightarrow X \text{ continuous s.t. } f(0) = x_0, f(1) = x_1$$

Def: Path Homotopy ($\simeq_p$)

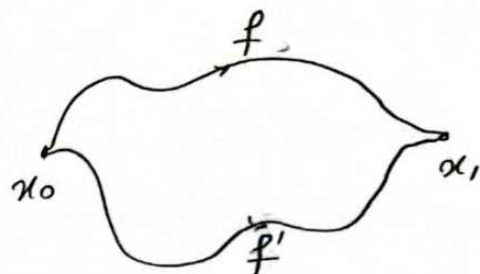
f, f' two paths if they have same end points & $\exists$ continuous $F: I \times I \rightarrow X$ s.t.

$$F(s, 0) = f(s)$$

$$F(0, t) = x_0$$

$$F(s, 1) = f'(s) \text{ Homotopy}$$

$$F(1, t) = x_1 \text{ Fixed end points.}$$



$$F(\underbrace{s}_{\text{location}}, \underbrace{t}_{\text{time ensemble}})$$

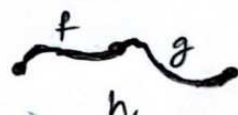
Lemma 51.1 $\simeq$ & $\simeq_p$ are equivalence relations. $[f]$

● Straight line Homotopy

$$F(x, t) = (1-t)f(x) + t g(x).$$

Def: Product of paths

$$h(s) = \begin{cases} f(2s) & \text{for } s \in [0, \frac{1}{2}] \\ g(2s-1) & \text{for } s \in [\frac{1}{2}, 1] \end{cases}$$



$$[f] * [g] = [f * g]$$

* on path homotopy classes behaves like a group.
Only difference being the constraint $f(1) = g(0)$.

Associative: $[f] * ([g] * [h]) = ([f] * [g]) * [h]$

Identity: Let $e_x: I \rightarrow X$ be constant taking $I \rightarrow x \in X$.

$$[f] * [e_{x_1}] = [e_{x_0}] * [f] = [f]$$

Inverse: $\bar{f}(s) = f(1-s) \Rightarrow [f] * [\bar{f}] = [e_{x_0}]$

$$[\bar{f}] * [f] = [e_{x_1}]$$

Fundamental Group

Path homotopy classes $[f]$ behave exactly like elements of a group under $*$ when we restrict the initial & end pt to be equal.

Groups Review

Homomorphism: $f: G \rightarrow G' \Rightarrow f(x \cdot y) = f(x) f(y)$ &

automatically satisfies $f(x^{-1}) = f(x)^{-1}$ & $f(e) = e'$
↙ inverse
↘ identities in G, G'

Kernel: $f^{-1}(e')$ is a subgroup of G .

Monomorphism: Homomorphism that is injective
i.e. $f^{-1}(e') = e$.

Epimorphism: Surjective homomorphism

Isomorphism: Bijective homomorphism.

$G = (\mathbb{Z}/8\mathbb{Z}, +)$
 $H = \{0, 4\}$, the cosets
are $\{0, 4\}$, $\{1, 5\}$,
 $\{2, 6\}$ and $\{3, 7\}$.
i.e. $H, H+1, H+2, H+3$
 $\Rightarrow \text{index } [G:H] = 4$

Left coset: H subgroup of G . $xH = \{x \cdot h \mid h \in H\}$
for each $x \in G$

The collection of these left cosets partition G . Similarly for right cosets. Each subgroup has a left & right coset.

Normal Subgroup: If $x \cdot h \cdot x^{-1} \in H \quad \forall x \in G \text{ \& } h \in H$.

Then $xH = Hx \quad \forall x \in G$ and the 2 partitions are equal.

This partition is then denoted G/H and $(xH) \cdot (yH) = (x \cdot y)H$
is well defined forming a new group called the quotient of
 G by H . { The left & right partitions being equal form the quotient }
group & obviously $H \sim 0$ is the kernel

$f: G \rightarrow G/H$ is an epimorphism with kernel H .

Conversely, if $f: G \rightarrow G'$ is an epimorphism, its Kernel
forms a normal subgroup N and f induces an isomorphism
from $G/N \rightarrow G'$ that carries xN to $f(x) \quad \forall x \in G$.

If H is not a normal subgroup, G/H denotes the collection of right cosets of H in G .

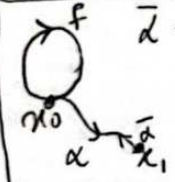
Def: Fundamental Group (aka First Homotopy group of X)

$\pi_1(X, x_0)$ is the collection of path homotopy classes of loops at x_0 with $*$.

$\pi_1(\mathbb{R}^n, x_0)$ is the trivial group (identity is the only element).
i.e. $\pi_1(\mathbb{R}^n, x_0) = 0$

Def: Simply connected

Path connected and $\pi_1(X, x_0) = 0$ for some $x_0 \in X$.


 $\bar{\alpha} = \alpha^{-1}$

Def: $\hat{\alpha}: \pi_1(X, x_0) \rightarrow \pi_1(X, x_1)$
 by $\hat{\alpha}([f]) = [\bar{\alpha}] * [f] * [\alpha]$

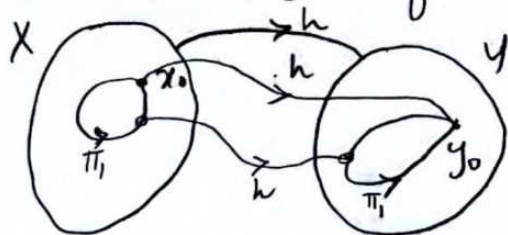
Lemma: In a simply connected space any two paths having the same end points are path homotopic.

Def: Homomorphism induced by map h

Let $h: (X, x_0) \rightarrow (Y, y_0)$ continuous & $h(x_0) = y_0$

$h_*: \pi_1(X, x_0) \rightarrow \pi_1(Y, y_0)$ defined as $h_*([f]) = [h \circ f]$

$\downarrow$
homomorphism
coming from map h .



Homomorphism
 $(h \circ f) * (h \circ g) = h \circ (f * g)$

Thm Functorial Properties of h_*

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- 1) If $h: (X, x_0) \rightarrow (Y, y_0)$ and $k: (Y, y_0) \rightarrow (Z, z_0)$ are continuous, then $(k \circ h)_* = k_* \circ h_*$.
- 2) If $i: (X, x_0) \rightarrow (X, x_0)$ is the identity map, then i_* is the identity homomorphism.

Cor: Topological invariance of π_1

h is homeomorphism $\Rightarrow h_*$ is isomorphism.

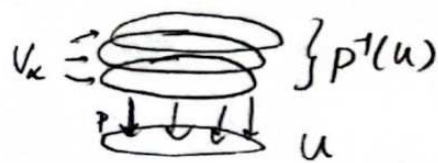
Def: Evenly covered & Slices

- Let $p: E \rightarrow B$ be a continuous surjective map. The open set U of B is evenly covered by p if

$p^{-1}(U) = \bigcup V_\alpha$ where V_α are disjoint open sets in E

$p|_{V_\alpha}: V_\alpha \rightarrow U$ is a homeomorphism for each α .

$\{V_\alpha\}$ partitions $p^{-1}(U)$ into slices



Def: Covering map

Let $p: E \rightarrow B$ cont. surj. If every pt. $b \in B$ has nbd U

- that is evenly covered by p , then p is called a covering map.

Thm $p: \mathbb{R} \rightarrow S^1$ given by $p(x) = (\cos 2\pi x, \sin 2\pi x)$ is a covering map.

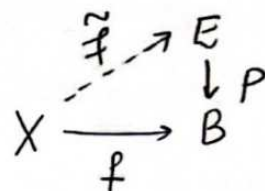
Def local homeomorphism (covering map $\Rightarrow$ local homeo)
 $p: E \rightarrow B$ cont. For each $e \in E \exists$ nbd that is mapped homeomorphically onto an open subset of B .

Thm: $p: E \rightarrow B$ covering map. If $B_0 \subseteq B$ subspace and $E_0 = p^{-1}(B_0)$ then $p_0: E_0 \rightarrow B_0$ where $p_0 = p|_{E_0}$ is a covering map.

Thm: If $p: E \rightarrow B$ and $p': E' \rightarrow B'$ are covering maps, then $p \times p': E \times E' \rightarrow B \times B'$ is a covering map.

Def: Lifting

Let $p: E \rightarrow B$ be a map. If $f: X \rightarrow B$ continuous, a lift of f is a map $\tilde{f}: X \rightarrow E$ s.t. $p \circ \tilde{f} = f$



Key Ideas

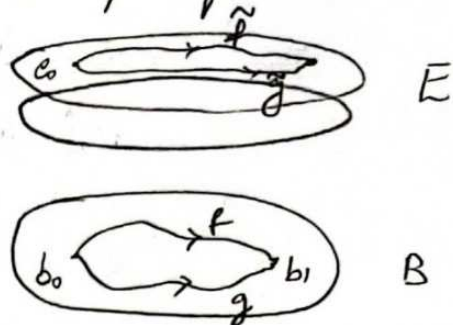
* If p is a covering map, paths in B can be lifted to paths in E .

Lemma: Let $p: E \rightarrow B$ covering map, and $p(e_0) = b_0$. Any path $f: [0, 1] \rightarrow B$ beginning at b_0 has a unique lift to a path $\tilde{f}$ in E beginning at e_0 .

Lemma: Let $p: E \rightarrow B$ be a covering map; let $p(e_0) = b_0$. Let the map $F: I \times I \rightarrow B$ be continuous, with $F(0, 0) = b_0$. There is a unique lifting of F to a continuous map $\tilde{F}: I \times I \rightarrow E$ s.t. $\tilde{F}(0, 0) = e_0$.
(F is path homotopy $\Rightarrow \tilde{F}$ is path homotopy)

(7)

Thm : $p: E \rightarrow B$ covering map: $p(e_0) = b_0$. Let f and g be two paths in B from b_0 to b_1 , let $\tilde{f}$ and $\tilde{g}$ be their respective liftings to paths in E beginning at e_0 . If f and g are path homotopic, then $\tilde{f}$ and $\tilde{g}$ end at the same pt. of E and are path homotopic.



Def : lifting correspondence

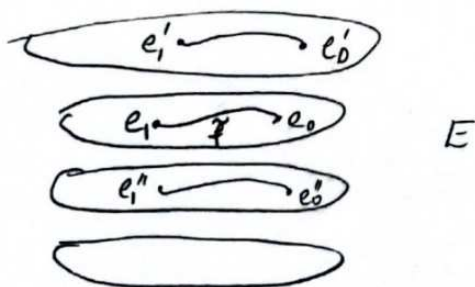
$p: E \rightarrow B$ covering map, $b_0 \in B$. Choose e_0 s.t. $p(e_0) = b_0$.

Given $[f] \in \pi_1(B, b_0)$, let $\tilde{f}$ be the lifting of f to a path in E beginning at e_0 . Let $\phi([f])$ denote the end pt. of $\tilde{f} = \tilde{f}(1)$.

Then ϕ is a well-defined set map.

$$\phi: \pi_1(B, b_0) \rightarrow p^{-1}(b_0)$$

↳ Lifting correspondence.



ϕ maps loop classes to their corresponding lifted end pts. end pts. $e_1, e_1', e_1'' \dots$ all $\in p^{-1}(b_0)$. $p^{-1}(b_0)$ also contains $e_0, e_0', e_0'' \dots$. These pts. are also end pts. of the trivial loop which $\in [f]$. So p maps $[f]$ to all the (start & end pts of loops $[f]$ also end pts.

$p^{-1}(b_0)$ is a set with the discrete topology. Its elts are "fibers" in each slice.

Thm: E is path connected $\Rightarrow \phi$ is surjective
 E is simply connected $\Rightarrow \phi$ is bijective.

Thm: $\pi_1(S^1, b_0) \cong (\mathbb{Z}, +)$

Def: Generator of a group (Cyclic group)

Let G be a group, let $x \in G$. If $x^m = e$ for $m \in \mathbb{Z}$,

G is a cyclic group and x is a generator of G .

Order of a group = cardinality (i.e. no. of elements).

Def: Retraction / Retract

If $A \subseteq X$, a retraction of X onto A is a continuous map

$r: X \rightarrow A$ s.t. $r|_A$ is the identity map of A . If r exists, A is a retract of X .

Lemma: If A is a retract of X , then the inclusion map $j: A \rightarrow X$ induces an injective homomorphism

$$j_*: \pi_1(A, a) \rightarrow \pi_1(X, a)$$

Thm: There is no retraction of B^2 onto S^1 .

B^2 ball
"disk"

(9)

Thm: (Brouwer Fixed Point Thm for B^2)

● If $f: B^2 \rightarrow B^2$ continuous, $\exists$ some $x \in B^2$ s.t. $f(x) = x$.

Lemma: Let $h: S^1 \rightarrow X$ continuous map. TFAE.

1) h is nullhomotopic

2) h extends to a continuous map $K: B^2 \rightarrow X$ ($K|_{S^1} = h$)

3) h_* is the trivial homomorphism on the fundamental group.

Cor: The inclusion map $j: S^1 \rightarrow \mathbb{R}^2 - \{0\}$ is not nullhomotopic.

The identity map $\text{id}: S^1 \rightarrow S^1$ is not nullhomotopic.

● Thm^{*}: Fundamental Thm of Algebra.

A polynomial equation (with $\mathbb{R}$ or $\mathbb{C}$ coefficients)

$x^n + a_{n-1}x^{n-1} + \dots + a_1x + a_0 = 0$, $n > 0$ has at least one (real or complex) root.

Lemma: $h, k: (X, x_0) \rightarrow (Y, y_0)$ continuous maps. If h and k are homotopic, and the image of the base point x_0 of X remains fixed at y_0 , during the homotopy, then the homomorphisms h_* and k_* are equal.

● Thm: The inclusion map $j: S^n \rightarrow \mathbb{R}^{n+1} - \{0\}$ induces an isomorphism of fundamental groups.

Def: Deformation retract

$A \subseteq X$, A is a deformation retract of X if $\text{id}_X: X \rightarrow X$ is homotopic to a map that carries all of X into A s.t. each point of A remains fixed during the homotopy.

i.e. $\exists$ continuous map $H: X \times I \rightarrow X$ s.t.

$$H(x, 0) = x \quad \forall x \in X$$

$$H(x, 1) \in A \quad \forall x \in X$$

$$H(a, t) = a \quad \forall a \in A.$$

H is called a deformation retraction of X onto A .

Note: The map $r: X \rightarrow A$ defined by $r(x) = H(x, 1)$ is a retraction of X onto A , and H is a homotopy b/w the identity map of X and the map $j \circ r$, where $j: A \rightarrow X$ is the inclusion map.

inclusion retraction

$$(j \circ r: X \rightarrow X \quad \text{and} \quad \text{id}_X: X \rightarrow X)$$

Thm: Let A be a deformation retract of X , let $x_0 \in A$.

Then the inclusion $j: (A, x_0) \rightarrow (X, x_0)$ induces an isomorphism of fundamental groups (of X and A).

Def: Homotopy equivalences, inverses and types

(11)

- Let $f: X \rightarrow Y$ and $g: Y \rightarrow X$ be continuous maps. Suppose that the map $g \circ f: X \rightarrow X$ is homotopic to the identity map of X , and the map $f \circ g: Y \rightarrow Y$ is homotopic to the identity map of Y . Then the maps f and g are called homotopy equivalences and each is said to be a homotopy inverse of the other.

Thm: The relation of homotopy equivalence is an equivalence relation.

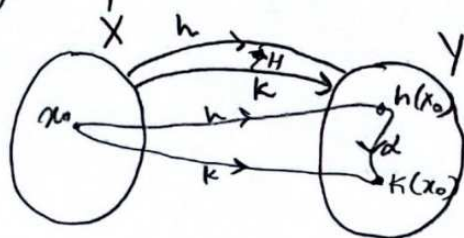
- Two spaces that are homotopy equivalent are said to have the same homotopy type.

Thm If $f: X \rightarrow Y$ is a homotopy equivalence, then $\forall x_0 \in X$,

$f_*: \pi_1(X, x_0) \rightarrow \pi_1(Y, f(x_0))$ is an isomorphism.

Lemma Let $h, k: X \rightarrow Y$ continuous and $h \simeq k$ via homotopy

$H: X \times I \rightarrow Y$. Let $x_0 \in X$. Then $\exists$ a path α in Y from $h(x_0)$ to $k(x_0)$ s.t. $k_* = \alpha \circ h_*$ (h_* , k_* differ by base pt. change map). Indeed α is the path $\alpha(t) = H(x_0, t)$.



Thm: Let $f: X \rightarrow Y$ continuous. Let $f(x_0) = y_0$. If f is a homotopy equivalence, then

$$f_* : \pi_1(X, x_0) \rightarrow \pi_1(Y, y_0) \text{ is an isomorphism.}$$

Thm: Let $X = U \cup V$, where U and V open in X . Suppose $U \cap V$ path connected, $x_0 \in U \cap V$. Let $i_U : U \rightarrow X$, $i_V : V \rightarrow X$ inclusion maps. Then the images of

$$i_{U*} : \pi_1(U, x_0) \longrightarrow \pi_1(X, x_0)$$

$$i_{V*} : \pi_1(V, x_0) \longrightarrow \pi_1(X, x_0)$$

generate $\pi_1(X, x_0)$

Cor: Suppose $X = U \cup V$, where U, V open in X , $U \cap V \neq \emptyset$, path connected. If U and V simply connected, then X is simply connected.

Thm: If $n \geq 2$, the n -sphere S^n is simply connected.

$$\text{Thm: } \pi_1(X \times Y, x_0 \times y_0) \cong \pi_1(X, x_0) \times \pi_1(Y, y_0)$$

Recall group structure on $A \times B$ is $(a \times b) \cdot (a' \times b') = (a \cdot a') \times (b \cdot b')$

$$\text{Cor: } \pi_1(\underbrace{S^1 \times S^1}_{\text{Torus}}, b_0) \cong \mathbb{Z} \times \mathbb{Z}$$

Def: Projective plane

(13)

The projective plane P^2 is the quotient space obtained from S^2 by identifying each pt. x of S^2 with its antipodal point $-x$.

Thm: $\pi_1(P^2, y)$ is a group of order 2 ($\cong \mathbb{Z}/2\mathbb{Z}$)

Lemma: $\pi_1(\text{Fig. 8}, b_0)$ is not abelian.

Thm: $\pi_1(\Sigma_2, b_0)$ is not abelian. $\Sigma_2 \rightarrow$ double torus / Genus 2



MATH 590 - TOPOLOGY

①

Introduction

Topology is the study of topological spaces, continuous maps between them and properties of spaces preserved by continuous maps.

Goal: Define topological invariants. Understand what properties are preserved under continuous maps.

How to define a topological space?

The def. should be broad enough to include:

- Euclidean space $\mathbb{R}^n = \{ (x_1, \dots, x_n) \mid x_i \in \mathbb{R} \}$
- ∞ -dim Euclidean space $\mathbb{R}^\infty$
- function spaces, eg: $f: \mathbb{R} \rightarrow \mathbb{R}$
- Circle S^1 , sphere S^2
- Products $S^1 \times S^1$, $S^1 \times S^1 \times S^1$, $S^1 \times \mathbb{R}$
- metric spaces

• open intervals $\neq$ open sets. $() \rightarrow$ open interval.

• $(0,1) \cup (2,4)$ is not an open interval but it is open in $\mathcal{T}_{std}$ of $\mathbb{R}$.

Ch 2.12

Def. A topology on a set X is a collection $\mathcal{T}$ of subsets of X having the following properties:

1) $\emptyset$ & X are in $\mathcal{T}$.

2) The arbitrary unions of elements of $\mathcal{T}$ are also in $\mathcal{T}$.

3) Finite intersections of elements of $\mathcal{T}$ are also in $\mathcal{T}$.

$(X, \mathcal{T})$ or in short (X) is called a topological space.

In the def:

(2) $\Leftrightarrow$ If $A_\alpha \in \mathcal{T}$ for $\alpha \in I$, then $\bigcup_{\alpha \in I} A_\alpha \in \mathcal{T}$.
 I index set could be ∞

(3) $\Leftrightarrow$ If $A_1, \dots, A_m \in \mathcal{T}$, then $A_1 \cap \dots \cap A_m \in \mathcal{T}$.

Why not infinite intersections?

Eg: $\bigcap_{n \rightarrow \infty} \left(\frac{1}{n}, \frac{1}{n} \right) = \{0\}$ in $\mathbb{R}$.
↑ open sets ↑ closed

Def: A subset U of X is an open set of X if $U \in \mathcal{T}$.

'Elements of a topology are open'.

Ex: The standard topology on $\mathbb{R}$.

$$\mathcal{T}_{std} = \{ \text{open subsets of } \mathbb{R} \}$$

includes the following elements: $\emptyset, \mathbb{R}$



$$\bullet (a, b) \in \mathcal{T}_{std} \quad \bullet (a, \infty) \quad \bullet (-\infty, b) \quad \bullet (a, b) \cup (c, d)$$

$$\bullet (a, b) \cap (c, d) = \begin{cases} \emptyset & \text{if } a < b \leq c < d \\ (c, b) & \text{if } c < b \end{cases}$$

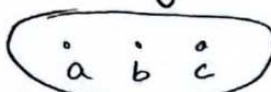
Ex: X set; $\mathcal{T} = \{ \emptyset, X \}$ is the trivial topology.

Ex: X set; $\mathcal{T} =$ collection of all subsets of $X = P(X)$ powerset

$(X, \mathcal{T})$ is the discrete topology.

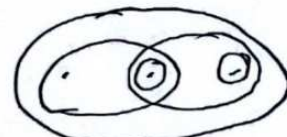
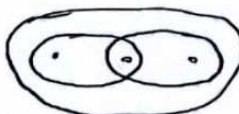
A set X may have different topologies on it.

Ex: Let $X = \{a, b, c\}$, which of the following represent a topology on X ?

①  $\mathcal{T} = \{ \emptyset, X \}$ ✓

②  $\mathcal{T} = \{ \emptyset, \{a\}, \{a, b\}, X \}$ ✓

③  ✓ ④  ✗ since $a \cup b \notin \mathcal{T}$.

⑤  ✓ ⑥  ✗ $\{a, b\} \cap \{b, c\} = \{b\} \notin \mathcal{T}$

Lec 2 Ch 2.13 Basis for a Topology.

④

Recall: $(X, \mathcal{T})$; $\mathcal{T}$ includes $\emptyset, X$ & closed under arb $\cup$ & finite $\cap$.

Goal: Instead of defining the topology $\mathcal{T}$ by explicitly defining the entire collection of open sets, use a smaller collection of subsets of X to "generate" $\mathcal{T}$.

Def If X is a set, a basis for a topology on X is a collection of subsets of X (called basis elements) such that

(1) For each $x \in X$, there is at least one basis element $B \in \mathcal{B}$ containing x . ($\mathcal{B}$ covers X)

(2) If $x \in B_1 \cap B_2$ where $B_1, B_2 \in \mathcal{B}$, then there is a basis element $B_3 \in \mathcal{B}$ containing x s.t. $B_3 \subset B_1 \cap B_2$.
(Closed under pairwise intersections)

Given a basis $\mathcal{B}$, the topology $\mathcal{T}$ generated by $\mathcal{B}$ is defined by:

• $U \subseteq X$ is open (that is, $U \in \mathcal{T}$) if for each $x \in U$,

$x \in B \subset U$
 $\forall x \in U$ there is a basis element $B \in \mathcal{B}$ s.t. $x \in B$ & $B \subset U$.

Proof in text that $\mathcal{T}$ is a topology. (Open sets are sets generated by unions of $\mathcal{B}$. $\emptyset$ is generated by union of an empty collection.)

Ex: If X is any set & $\mathcal{B}$ is the collection of all one-point subsets of X i.e. $\mathcal{B} = \{ \{a\} \mid a \in X \}$ then $\mathcal{B}$ is a basis for the discrete topology $\mathcal{T}$. Discrete basis $\rightarrow$ Discrete topology
Trivial basis (i.e. X) $\rightarrow$ Trivial topology

(5)

Proof: $T_{\text{discrete}} = \{U \subseteq X\} \supset T_{\text{gen by } B}$ (trivial)

(let $U \in T_{\text{gen by } B} \Rightarrow U \subseteq X \Rightarrow U \in T_{\text{discrete}}$)

To show $T_{\text{discrete}} \subset T_{\text{gen by } B}$:

let $U \subseteq X$. Show $U \in T_{\text{gen by } B}$. For each $x \in U$, the basis element $\{x\} \in B$ satisfies $x \in \{x\} \subseteq U$. ■

Lemma: let X be a set. let B be a basis for a topology T on X . Then T equals the collection of all unions of elements of B .

Proof: Note $B \in B \Rightarrow B \in T$.

($\supseteq$) - Because T is a topology, a union of elements in T must also be in T .

($\subseteq$) - Conversely, given $U \in T$, choose for each $x \in U$, a basis element $B_x \in B$ s.t. $x \in B_x \subseteq U$, then

$U = \bigcup_{x \in U} B_x$, so U is a union of elements of B . ■

Lemma $\Rightarrow$ an open set is a union of basis elements.

(6)

Ex: The standard topology on $\mathbb{R}$ is given by basis
 $B = \{ (a, b) \mid a, b \in \mathbb{R} \}$.

Ex: $B_L = \{ [a, b) \mid a, b \in \mathbb{R} \}$ is a basis for the lower limit topology on $\mathbb{R}$.

$(\mathbb{R}, \tau)$ given by B_L is denoted $\mathbb{R}_L$.

Ex: $B_K = \{ (a, b) \} \cup \{ (a, b) \setminus K \}$ where $K = \{ \frac{1}{n} \mid n \in \mathbb{Z}_+ \}$

B_K is basis for the K -topology on $\mathbb{R}$.

$\mathbb{R}_K$ is $\mathbb{R}$ with the topology generated by B_K . (It is finer than the standard topology since it contains subsets of the form $(a, b) \setminus K$ where $K = \{ \frac{1}{n} \mid n \in \mathbb{Z}_+ \}$ is removed from the interval (a, b) .)

Def: If τ & τ' are two topologies on a set X :

1) If $\tau' \supset \tau$ then τ' is finer than τ . (since it contains more subsets or singletons)

2) If $\tau' \supsetneq \tau$ then strictly finer

otherwise coarser or strictly coarser. τ & τ' are comparable if $\tau' \supset \tau$ or $\tau \supset \tau'$.

Ex: Trivial topology is 'coarsest' & discrete topology is 'finest'.

Lemma: $\mathcal{B}, \mathcal{B}'$ bases for $\mathcal{T}, \mathcal{T}'$ topology on X . The following are equivalent. (7)

1) $\mathcal{T}'$ is finer than $\mathcal{T}$.

2) For each $x \in X$ & each basis element $B \in \mathcal{B}$ containing x , there is a basis element $B' \in \mathcal{B}'$ s.t. $x \in B' \subset B$.

Proof (2) $\Rightarrow$ (1) Let $U \in \mathcal{T}$, show $U \in \mathcal{T}'$.

$U = \bigcup_{x \in U} B_x$, basis elts. $B_x \in \mathcal{B}$ with $x \in B_x \subset U$.

By (2), $\exists B'_x$ s.t. $x \in B'_x \subset B_x \subset U$.

$\Rightarrow U = \bigcup_{x \in U} B'_x$, basis elts $B'_x \in \mathcal{B}'$.

$\Rightarrow U \in \mathcal{T}'$.

(1) $\Rightarrow$ (2) $\mathcal{T}' \supset \mathcal{T}$.

Let $x \in B \in \mathcal{B}$. Then $B \in \mathcal{T} \subset \mathcal{T}'$.

Since B is open in $\mathcal{T}'$, there is a basis elt $B' \in \mathcal{B}'$ s.t. $x \in B' \subset B$.

lec 3

Ch 1-3 & Ch 2.14

Def: A relation $<$ on a set A is called an order relation (or linear order or simple order) if:

- ① If $x \neq y$ then either $x < y$ or $y < x$.
- ② For no $x \in A$ does $x < x$ hold ($x \nless x \nless x$)
- ③ If $x < y$ & $y < z$, then $x < z$.

ex: In $\mathbb{R}$, usual order relation $<$.

ex: $\mathbb{R}$, $<_s$ defined below is an order relation.

Define $x <_s y$ if $x^2 < y^2$ or if $x^2 = y^2$ & $x < y$.

Def: If X set, $<$ order relation, then

$$\begin{array}{l}
 \text{open } (a, b) = \{x \mid a < x < b\} \\
 \text{half open } (a, b] = \{x \mid a < x \leq b\} \\
 \text{half open } [a, b) = \{x \mid a \leq x < b\} \\
 \text{closed } [a, b] = \{x \mid a \leq x \leq b\}
 \end{array}
 \left. \vphantom{\begin{array}{l} \text{open } (a, b) \\ \text{half open } (a, b] \\ \text{half open } [a, b) \\ \text{closed } [a, b] \end{array}} \right\} \text{Intervals}$$

$$\begin{array}{l}
 (a, \infty) = \{x \mid x > a\} \\
 (-\infty, a) \\
 (-\infty, a] \text{ \& } [a, \infty)
 \end{array}
 \left. \vphantom{\begin{array}{l} (a, \infty) \\ (-\infty, a) \\ (-\infty, a] \text{ \& } [a, \infty) \end{array}} \right\} \text{Rays.}$$

(9)

Def. The dictionary order (or lexicographic order)
 $<$ on $A \times B = \{a \times b \mid a \in A, b \in B\}$.

If $(A, <_A)$ and $(B, <_B)$ are simply ordered, define
 an order relation $<$ on $A \times B$ $a_1 \times b_1 < a_2 \times b_2$ if:

- $a_1 <_A a_2$
- OR if $a_1 = a_2$ & $b_1 < b_2$.

Remark: Easily extended to countable products (of simply ordered sets)

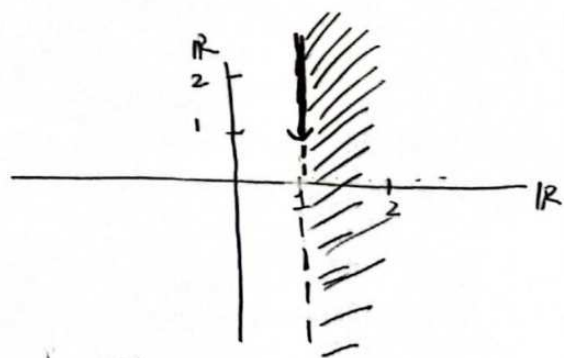
Ex: $A = \text{English alphabet}$, $< (a < b < \dots)$

In $A \times A \times A$, "car" $<$ "cat" $<$ "dog".

Ex: The dictionary order on $\mathbb{R} \times \mathbb{R}$

Let $a = 1 \times 2 \in \mathbb{R} \times \mathbb{R}$

$$(a, \infty) = \{x \times y \mid \begin{array}{l} x=1, y>2 \\ \text{or } x>1 \end{array}\}$$



If X is a set with a simple order, there is a standard topology

for X , the order topology induced by the order relation.

Def Let X be a set with a simple order relation.

Assume X has more than one element. Let $\mathcal{B}$ be the basis for the topology on X , where $\mathcal{B}$ consists of:

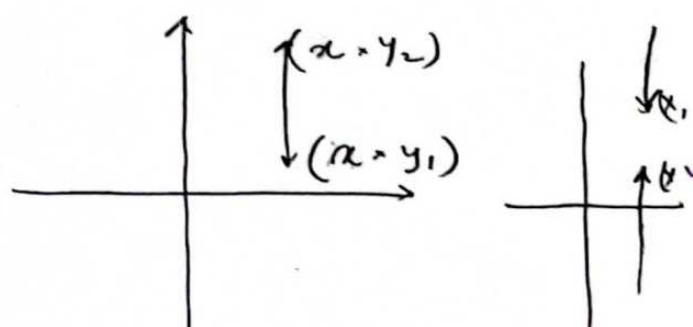
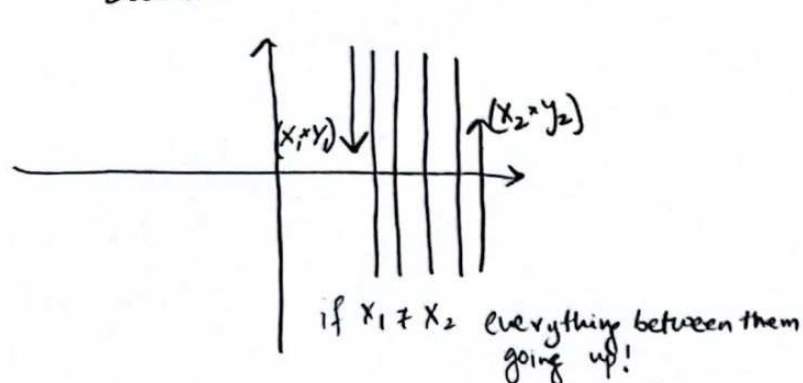
- open intervals (a, b) , $a, b \in X$.
- intervals $[a_0, b)$, where a_0 is the smallest element (if any) of X .
- intervals $(a, b_0]$ where b_0 is the largest element (if any) of X .

The topology generated by the basis $\mathcal{B}$ is the order topology.

Ex: The standard topology on $\mathbb{R}$ is the ordered topology on $\mathbb{R}$.

Ex: Order topology on $\mathbb{R} \times \mathbb{R} = \{x \times y \mid x, y \in \mathbb{R}\}$

basis: $(x_1 \times y_1, x_2 \times y_2) = \{a \times b \mid x_1 \times y_1 < a \times b < x_2 \times y_2\}$



Ex: What is the order topology on $\mathbb{Z}_+$?

basis: $\{6\} = (5, 7)$, $\{1\} = [1, 2)$ includes all one-point sets $\{n\}$

This is the same as the discrete topology.

Ex: The set $X = \{1, 2\} \times \mathbb{Z}_+$ has a smallest element: 1×1 .

• no largest element

• basis $\{ [1 \times 1, \underbrace{a}_{\in \{1,2\}} \times \underbrace{b}_{\in \mathbb{Z}_+}) \} \cup \{ (a_1 \times b_1, a_2 \times b_2) \}$
 $a_i \in \{1, 2\} \quad b_i \in \mathbb{Z}_+$

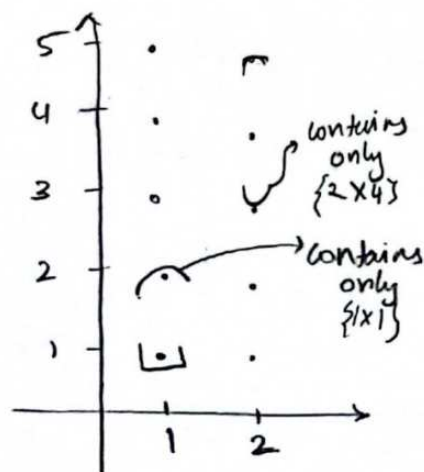
Is this the same as the discrete topology? NO

There exists a one point set $\{2 \times 1\}$ which is not open.

Any open set containing 2×1 must contain a basis element

$2 \times 1 \in (a_1 \times b_1, a_2 \times b_2) \leftarrow$ basis elt.

$\Leftrightarrow 2 \times 1 \in (1 \times b_1, 2 \times b_2) \Rightarrow$ A basis elt containing 2×1 must contain more than one point.



order topology basis by defn.

$$\underbrace{[1 \times 1, a \times b)}_{B_1} \cup \underbrace{(a_1 \times b_1, a_2 \times b_2)}_{B_2}$$

> 1×1 is contained in B_1

> except 2×1 all other singletons are contained in some $(a_1 \times b_1, a_2 \times b_2)$

> So order topology does not contain $\{2 \times 1\}$ but discrete topology does.

Lec 4 Ch 2 §15 Product Topology $X \times Y$

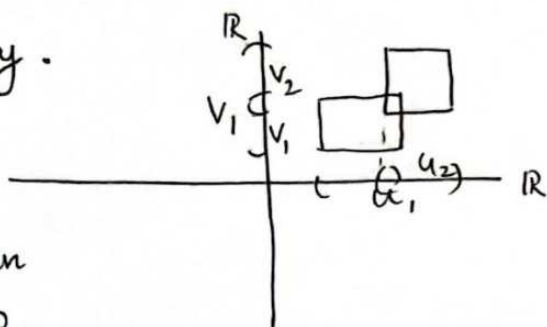
Def: Let X & Y be topological spaces. Then product topology on $X \times Y$ has basis $\mathcal{B} = \{U \times V \mid U \text{ open in } X, V \text{ open in } Y\}$.

Check $\mathcal{B}$ is a basis.

① Since $xxy \in X \times Y$, and X ^{trivially} open in X , Y open in Y ;
 xy belongs to a basis element $X \times Y \in \mathcal{B}$

② If $xy \in (U_1 \times V_1) \cap (U_2 \times V_2)$ then $xy \in (U_1 \cap U_2) \times (V_1 \cap V_2)$
 $\subseteq (U_1 \times V_1) \cap (U_2 \times V_2)$.

Note $\mathcal{B}$ itself is not a topology.



$\Rightarrow (U_1 \times V_1) \cup (U_2 \times V_2)$ is open
 but not an element of $\mathcal{B}$.

Thm: If $\mathcal{B}$ is a basis for X , $\mathcal{C}$ is a basis for Y , then

$\mathcal{D} = \{B \times C \mid B \in \mathcal{B}, C \in \mathcal{C}\}$ is a basis for the ^{product} topology of $X \times Y$.

Ex: The standard topology on $\mathbb{R}^2$ is the product topology on $\mathbb{R} \times \mathbb{R}$.

Basis $\mathcal{B}$ = product of all open sets in $\mathbb{R}$ OR Basis $\mathcal{B} = \{(a, b) \times (c, d) \mid a, b, c, d \in \mathbb{R}\}$

Ex: If X & Y have the discrete topology, what is the product topology on $X \times Y$?

$\{x\}$ is open in X , $\{y\}$ is open in Y .

$$\Rightarrow \{x\} \times \{y\} = \{x \times y\} \text{ open in } X \times Y$$

$\Rightarrow$ discrete topology on $X \times Y$.

Ex: (HW2) Order topology on $\mathbb{R} \times \mathbb{R}$ is same as the product topology on $\mathbb{R}_{\text{discrete}} \times \mathbb{R}$.

§ 16 Subspace Topology

Def: Let (X, τ) be a topol. sp. If $Y \subseteq X$ is a subset of the collection $\tau_Y = \{Y \cap U \mid U \in \tau\}$ is a topology on Y called the subspace topology.

With this topology on Y , Y is called a subspace of X .
Open sets in Y are intersections of open sets in X with Y .

Ex: $[0, 1] \subseteq \mathbb{R}$

$[0, \frac{1}{2})$ not open in $\mathbb{R}$ ^{due to 0} but open in $[0, 1]$

Since $[0, \frac{1}{2}) = [0, 1] \cap (-\frac{1}{2}, \frac{1}{2})$ open in $[0, 1]$

$\Rightarrow Y \subseteq X$: open in $Y \neq$ open in X .

Lemma: If $Y \subseteq X$ is open in X , and U is open in Y , then U is open in X .

Proof: U open in $Y \Rightarrow U = V \cap Y$, V open in X .

$\Rightarrow$ Since finite intersections of open sets are open,
 U open in X $\square$.

Lemma: If $\mathcal{B}$ is a basis for topology of X then
 $\mathcal{B}_Y = \{B \cap Y \mid B \in \mathcal{B}\}$ is a basis for ^{subspace} topology of Y .

Ex: $[0,1] \subseteq \mathbb{R}$. The subspace topology for $[0,1]$ has basis
 $\mathcal{B}_{[0,1]} = \{(a,b) \cap [0,1] \mid a,b \in \mathbb{R}\}$

$$(a,b) \cap [0,1] = \begin{cases} (a,b) & \text{if } a,b \in [0,1] \\ [a,1] & \text{if } a \in [0,1], b \notin [0,1] \\ [0,b) & \text{if } b \in [0,1], a \notin [0,1] \\ [0,1] & \text{if } a,b \notin [0,1] \end{cases}$$

Thus, for $[0,1] \subseteq \mathbb{R}$, the subspace topology is the same as the order topology.

Ex: (HW 2) Subspace Topol. $\neq$ order topology.

Ex: $\underbrace{[0,1]}_I \times \underbrace{[0,1]}_I \subseteq \mathbb{R} \times \mathbb{R}$, Subspace topol on $I \times I$ is not the same as order topology on $I \times I$.

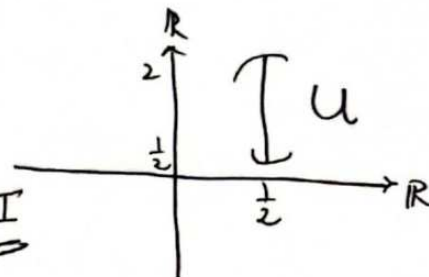
$$\{\frac{1}{2}\} \times (\frac{1}{2}, 1]$$

① $\{\frac{1}{2}\} \times (\frac{1}{2}, 1]$ is open in subspace topology.

The open interval $U = (\frac{1}{2} \times \frac{1}{2}, \frac{1}{2} \times 2)$ in $\mathbb{R} \times \mathbb{R}$ Here we use the order topology

$$\{\frac{1}{2}\} \times (\frac{1}{2}, 1] = U \cap (I \times I)$$

open in subspace $\underline{I \times I}$



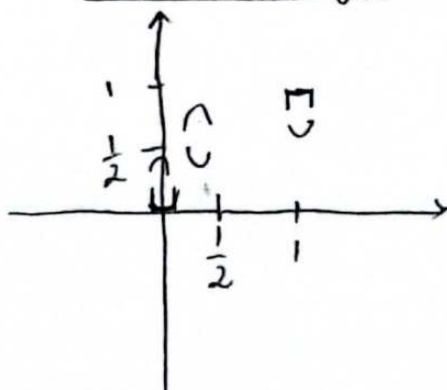
Why exactly is U open in $\mathbb{R} \times \mathbb{R}$? Because it is a basis in the order top. of $\mathbb{R}^2$.

② $\{\frac{1}{2}\} \times (\frac{1}{2}, 1]$ is not open in order top on $I \times I$.

$\frac{1}{2} \times 1$ is not a max in $I \times I$. If $\frac{1}{2} \times 1 \in B$ basis, then

B contains pts. $p \times q > \frac{1}{2} \times 1$; $B \not\subset \{\frac{1}{2}\} \times (\frac{1}{2}, 1]$

Order topology



Since $\frac{1}{2} \times 1$ is not a max we cannot close at $(\frac{1}{2}, 1]$

Lec 5 §16 continued.

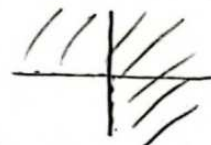
Thm: If $A \subseteq X$ and $B \subseteq Y$, then the product topology on $A \times B$ is the same as the subspace topology on $A \times B \subseteq \underbrace{X \times Y}_{\text{product topology}}$.

§17 Closed sets, interior and closure of a set

Def A subset $A \subseteq X$ is closed if $X \setminus A$ is open.

ex: $[a, b] \subseteq \mathbb{R}$ closed because $[a, b]^c = (-\infty, a) \cup (b, \infty)$

ex: $\{x, y \mid x \leq 0, y \leq 0\} \subseteq \mathbb{R}^2$ closed



ex: $\mathbb{R} \times (0, \infty) \cup (0, \infty) \times \mathbb{R}$ is the complement which is open.

ex: In discrete topology of X , every set is closed since its complement is open.

ex: $Y = [0, 1] \cup (2, 3) \subseteq \mathbb{R}$ with subspace topology.

$[0, 1]$ is open in Y since $[0, 1] = (-1, 2) \cap Y$

$\Rightarrow Y \setminus [0, 1]$ is closed $\Rightarrow (2, 3)$ is closed in Y .

$(2, 3)$ is open in $Y \Rightarrow Y \setminus (2, 3) = [0, 1]$ is closed in Y .

Thm. Let X be a topological space. Then

- ① X and $\emptyset$ are closed.
- ② Arbitrary intersections of closed sets are closed.
- ③ Finite unions of closed sets are closed.

Remark Can specify a topology on a set by specifying the collection of closed sets instead of open sets.

Sketch of proof ① Trivial.

$$\textcircled{2} \quad \underbrace{\bigcap_{\alpha} Z_{\alpha}}_{\text{closed sets}} = \bigcap_{\alpha} \underbrace{U_{\alpha}^c}_{\text{open sets}} = \left(\underbrace{\bigcup_{\alpha} U_{\alpha}}_{\text{open}} \right)^c \Rightarrow \text{closed.}$$

③ Similar.

Thm: Let $Y \subseteq X$ subspace. Then $A \subseteq Y$ is closed in Y iff A equals the intersection of a closed set of X with Y .

Proof ($\Leftarrow$) Suppose $A = C \cap Y$, C closed in X
 $\Rightarrow X \setminus C$ is open in X .

$$\underbrace{(X \setminus C)}_{\text{open}} \cap \underbrace{Y}_{\text{open}} = Y \setminus (Y \cap C) = Y \setminus A$$

$\Rightarrow A^c$ is open in $Y \Rightarrow A$ is closed in Y .

($\Rightarrow$) (Similar).

(19)

Thm: Let $Y \subseteq X$ subspace. If A closed in Y , and Y is closed in X , then A is closed in X .

Closure and Interior of a set

Def: Let A be a subset of a topological space X . The interior of A , denoted by $\text{Int}A$ or $\overset{\circ}{A}$, is the union of all open sets contained in A .

Def The closure of A , denoted by $\text{Cl}A$ or $\bar{A}$ is the intersection of all closed sets containing A .

Note: $\text{Int}A \subseteq A \subseteq \bar{A}$.

$\text{Int}A$ is open & $\bar{A}$ is closed.

If A is open, $\text{Int}A = A$. If A is closed, $\bar{A} = A$.

Ex: $A = [0, 1) \subseteq \mathbb{R}$. $\text{Int}A = (0, 1)$, $\bar{A} = [0, 1]$

Notation If $A \subseteq Y \subseteq X$, the closure of A in Y might NOT be the same as the closure of A in X .

Thm: If $Y \subseteq X$ subspace and $A \subseteq Y$, and let $\bar{A} = \text{closure of } A \text{ in } X$, then the closure of A in Y is equal to $\bar{A} \cap Y$.

ex: $Y = (0, 1] \subseteq \mathbb{R}$. Let $A = (0, \frac{1}{2}) \subseteq Y$. The closure of A in $\mathbb{R}$ is $[0, \frac{1}{2}]$. The closure of A in Y is $(0, \frac{1}{2}]$.

Proof: Let $B = \text{closure of } A \text{ in } Y$.

($\subseteq$) $\bar{A}$ is closed in $X \Rightarrow \bar{A} \cap Y$ is closed in Y .

Since $\bar{A} \cap Y \supseteq A$ and B is the intersection of all closed sets in Y containing A ,

$$\Rightarrow B \subseteq \bar{A} \cap Y.$$

($\supseteq$) B is closed in $Y \Rightarrow B = C \cap Y$, C is closed in X .

and since $B \supseteq A$, $C \supseteq A$. Since $\bar{A}$ is the intersection of all closed sets in X containing A , $\bar{A} \subseteq C$.

$$\text{Thus, } \bar{A} \cap Y \subseteq C \cap Y = B$$

Terminology

• A set A intersects B if $A \cap B \neq \emptyset$

• If $U \subseteq X$ is open set containing $p \in X$, then we say

" U is a neighbourhood of p ".

Ex: $(-\epsilon, \epsilon) \subseteq \mathbb{R}$ is a neighbourhood of 0 .

Thm: $A \subseteq X$ top space.

● $x \in \bar{A}$ iff every nbd of x intersects A .

Lecture.

§17 Limit Points, Hausdorff spaces.

(aka cluster points or points of accumulation)

Def: Let A subset of a top. sp. X and $x \in X$. We say that x is a limit point of A if every nbd of x intersects A in some point other than x .

i.e. x is a limit pt. of A if $x \in \overline{A - \{x\}}$

● (it doesn't matter if $x \in A$ or not).

ex In $\mathbb{R}$, let $B = \{ \frac{n+1}{n} \mid n \in \mathbb{Z}_+ \}$. $1 \in \mathbb{R}$ is a limit pt. of B .

ex In $\mathbb{R}$, let $A = [0, 1]$. The limit pts. are all pts in $[0, 1]$.

ex In $\mathbb{Q} \subseteq \mathbb{R}$. What are the limit pts. of $\mathbb{Q}$? All $\mathbb{R}$.

Another way to define the closure of a set.

Thm: $A \subseteq X$ top. sp. Let $A' =$ set of all limit points of A .

Then $\bar{A} = A \cup A'$.

Proof: ($\supseteq$) $\bar{A} \supseteq A$. If $x \in A'$, then every nbd of x intersects A

● $\Rightarrow x \in \bar{A}$.

($\subseteq$) Let $x \in \bar{A}$. If $x \notin A$, every nbd of x intersects A in some

$x' \neq x \Rightarrow x$ is a limit pt $\Rightarrow x \in A'$.



Corollary A subset A of a top. sp. X is closed iff it contains all of its limit points.

Proof : A is closed $\Leftrightarrow A = \bar{A} \xLeftrightarrow[\text{by Thm}] A = A \cup \bar{A} \Leftrightarrow A' \subseteq A.$ ■

Hausdorff spaces

In familiar top spaces, a one pt. $\{x\}$ is closed.

But this is not true in every top space.

ex  $\{b\}$ is not closed. (since (a, c) is not open)

Other strange behaviour

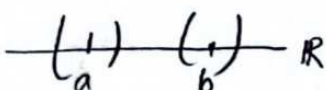
A seq. of pts converge to more than one pt.

Def $\{x_n\}$ converges to $x \in X$ if $\forall$ nbd U of x there is some N s.t. $x_n \in U \quad \forall n \geq N$.

In the previous example the sequence $x_n = b$ converges to b, a & c .
To avoid such pathologies we use $\leadsto$

Def: A top space X is called a Hausdorff space if for

each pair of distinct pts. $x_1, x_2 \in X$, there exist nbds U_1 of x_1 , U_2 of x_2 that are disjoint.

ex  $\mathbb{R}$

Thm In a Hausdorff space, every finite set is closed.

Proof: Suffices to show $\{x\}$ is closed.

ie. $X \setminus \{x\}$ is open.

Let $y \in X \setminus \{x\}$. $\exists$ disjoint nbds U_y of x & V_y of y .

Then $X \setminus \{x\} = \bigcup_{\substack{y \neq x \\ y \in X}} V_y$ is open. ■

Def We say a top sp. X in which every finite set is closed satisfies the T_1 axiom.

The previous thm says that Hausdorff space $\Rightarrow$ T_1 axiom. (stronger than)

Q: Does $T_1 \Rightarrow$ Hausdorff? No, the cofinite topology on $\mathbb{R}$.

Thm: If X is Hsd, then the sequence of pts. in X converges to at most one point of X .

eg: $B = \left\{ \frac{n+1}{n} \mid n \in \mathbb{Z}_+ \right\} \subseteq \mathbb{R}$ converges to $1 \in \mathbb{R}$.

ex: $C = \{0, 1, 0, 1, \dots\}$ does not converge.

Most spaces that topologists study are Hausdorff.

Thm A subspace of a HS is also a HS.

The product of two HS are also HS.

Every simply ordered set with order top. is HS.

Proof = Exercise.

Thm Let X be a space satisfying the T_1 axiom, let $A \subseteq X$.
Then $x \in X$ is a limit point of $A \iff$ every nbd of x contains infinitely many pts. of A .

Proof ($\Leftarrow$) Trivial

($\Rightarrow$) Suppose $x \in X$ is a limit pt. of A .

Suppose U nbd of x . Suppose $U \cap A$ is finite.

$\Rightarrow U \cap (A - \{x\})$ finite. By T_1 axiom, $U \cap (A - \{x\})$ is closed.

Let $V = X \setminus (U \cap (A - \{x\}))$ open nbd of x .

But $U \cap V$ nbd of x , doesn't contain any pt. of $A - \{x\}$.

* Contradiction to x being a limit point. $\blacksquare$

Thm: Let $f: X \rightarrow Y$. The following are equivalent (TFAE).

- 1) f is continuous.
- 2) for every subset A of X , $f(\bar{A}) \subseteq \overline{f(A)}$
- 3) for every closed subset B of Y , $f^{-1}(B)$ is closed in X
- 4) For each $x \in X$ & each nbd V of $f(x)$, there is a nbd U of x s.t. $f(U) \subseteq V$.

} ϵ - δ
def
of
cont.
for $f: \mathbb{R} \rightarrow \mathbb{R}$
at a pt

Proof (1) $\Rightarrow$ (4)

Let $x \in X$, let V be a nbd of $f(x)$

then $U = f^{-1}(V)$ is open and $f(U) \subseteq V$.
 $\uparrow$ nbd of x

(4) $\Rightarrow$ (1) Let V be open set in Y . Let $x \in f^{-1}(V)$. Then $f(x) \in V$.

By (4), there is a nbd U_x of x s.t. $f(U_x) \subseteq V \Leftrightarrow U_x \subseteq f^{-1}(V)$.

Then $f^{-1}(V) = \bigcup_{x \in f^{-1}(V)} U_x$ is open in X .

(1) $\Rightarrow$ (2) $\Rightarrow$ (3) $\Rightarrow$ (1) [Munkres] ■

(27)

Def Let $f: X \rightarrow Y$ be a bijection with inverse

$f^{-1}: Y \rightarrow X$. If both f & f^{-1} are continuous, then we call f a homeomorphism.

Equiv. def. A bijection $f: X \rightarrow Y$ is a homeomorphism if

$f(U)$ is open iff U is open. ($\forall U$ open).
uses $(f^{-1})^{-1}(u) = f(u)$.

A homeomorphism $f: X \rightarrow Y$ gives a bijective correspondence b/w X & Y and also the open sets of X and of Y .

Homeomorphisms notion of equivalence for top. space like isomorphisms in algebra.

ex: $f: \mathbb{R} \rightarrow \mathbb{R}$ $f(x) = 3x+1$ is a homeo with inverse,
 $g: \mathbb{R} \rightarrow \mathbb{R}$ $g(y) = \frac{y-1}{3}$. Check $g(f(x)) = x$ & $f(g(y)) = y$
 $\forall$ reals x, y .

ex: $f: (a, b) \rightarrow (0, 1)$, $f(x) = \frac{(x-a)}{b-a}$ homeo with inverse
 $g(y) = (b-a)y + a$.

Thm (Rules for constructing cts fns)

(1) constant fns. are continuous.

- (2) Inclusion maps $f: A \rightarrow X$; $A \subseteq X$ are cts. (maps every element in A to itself)
- (3) Compositions of cts. fns are cts.
- (4) Restrictions $f|_A: A \rightarrow Y$, $A \subseteq X$ of a cts. $f: X \rightarrow Y$ are cts.
- (5) local formulation of continuity.

$f: X \rightarrow Y$ is continuous if $X = \bigcup_{\alpha} U_{\alpha}$, U_{α} open in X , then $f|_{U_{\alpha}}$ is cts. for each α .

Thm (Pasting Lemma)

Let $X = A \cup B$; A, B closed in X . ^{important.} Let $f: A \rightarrow Y$, $g: B \rightarrow Y$ cts. fns. that agree on $A \cap B$. (ie. $f(x) = g(x) \forall x \in A \cap B$)

Then f, g glue together to make a cts. function

$h: X \rightarrow Y$ defined by

$$h(x) = \begin{cases} f(x) & x \in A \\ g(x) & x \in B \end{cases}$$

Proof Check h is cts. Let C be closed in Y .

Now $h^{-1}(C) = f^{-1}(C) \cup g^{-1}(C)$, since $X = A \cup B$.

$\times$ by def. of h .

Since f cts. $f^{-1}(C)$ closed in A , and closed in X .

g cts. $g^{-1}(C)$ closed in X for some reason.

Thus, $h^{-1}(C)$ is closed in X . $\blacksquare$

Ch 2 §19 Product Topology on $\prod_{\alpha \in J} X_{\alpha}$ $\hookrightarrow X_1 \times X_2 \dots$

ex $X_1 \times X_2 \times \dots \times X_n$ finite cartesian product

ex $X_1 \times X_2 \times \dots$ infinite cartesian product

ex $\prod_{n \in \mathbb{Z}^+} X_n$, $X_n = \mathbb{R}$.

$$\mathbb{R}^{\omega} = \prod_{n \in \mathbb{Z}^+} X_n = \mathbb{R} \times \mathbb{R} \times \mathbb{R} \dots$$

Def: The box topology on $\prod_{\alpha \in J} X_{\alpha}$ has basis all sets of the form $\prod_{\alpha \in J} U_{\alpha}$ where each U_{α} is an open set in X_{α} for each α .

Def: The product topology on $\prod_{\alpha \in J} X_{\alpha}$ has basis all sets of the form $\prod_{\alpha \in J} U_{\alpha}$ where each U_{α} is open in X_{α} and $U_{\alpha} = X_{\alpha}$ for all but finitely many α .

Rmk: If $\prod_{\alpha \in J} X_{\alpha}$ is a finite Cartesian product, then the box and product topology are the same.

Rmk: Box topology is finer than the product topology.

* We assume that $\prod_{\alpha \in J} X_{\alpha}$ has the product topology on it, unless stated otherwise.

Thm (Properties that hold for both box and product top.)

① If X_α has basis B_α , then

$\{\prod B_\alpha\}$ is a basis for the box topology on $\prod_{\alpha \in J} X_\alpha$.

$\{\prod B_\alpha\}$ is a basis for the product topology on $\prod_{\alpha \in J} X_\alpha$.
 $B_\alpha = X_\alpha$
↓ but finitely many α s.

② If A_α is a subspace of X_α , then $\prod A_\alpha$ is a subspace of $\prod X_\alpha$, if both are given box top or product top.

③ If X_α is Hausdorff, then $\prod X_\alpha$ is Hausdorff (in either box or product).

Thm Let $f: A \rightarrow \prod_{\alpha \in J} X_\alpha$ be given by $f(a) = (f_\alpha(a))_{\alpha \in J}$

where $f_\alpha: A \rightarrow X_\alpha$ for each α . Let $\prod_{\alpha \in J} X_\alpha$ be given the product topology.

Then f is continuous iff each f_α is continuous.

ex: $\mathbb{R}^\omega = \mathbb{R} \times \mathbb{R} \times \dots$. Let $f: \mathbb{R} \rightarrow \mathbb{R}^\omega$ be given by

$f(t) = (t, t, t, \dots)$. If $\mathbb{R}^\omega$ is given product topology, then f is continuous.

(31)

But $\mathbb{R}^\omega$ is given box top. then f not continuous.

The set $B = (-1, 1) \times (-\frac{1}{2}, \frac{1}{2}) \times (-\frac{1}{3}, \frac{1}{3}) \times \dots$ open in $\mathbb{R}^\omega$
since it is a basis elt.

The preimage $f^{-1}(B) = \{0\}$

↳ not open in $\mathbb{R}$.

since for any $(-\epsilon, \epsilon) \not\subseteq \{0\}$
basis elt.

§ 20 Metric Spaces.

Def: A metric on a set X is a function $d: X \times X \rightarrow \mathbb{R}$ satisfying

① $d(x, y) \geq 0 \quad \forall x, y \in X$ and $d(x, y) = 0$ iff $x = y$

② $d(x, y) = d(y, x)$

③ $d(x, y) + d(y, z) \geq d(x, z) \quad \forall x, y, z \in X$

ex: $\mathbb{R}^n$, Euclidean distance d

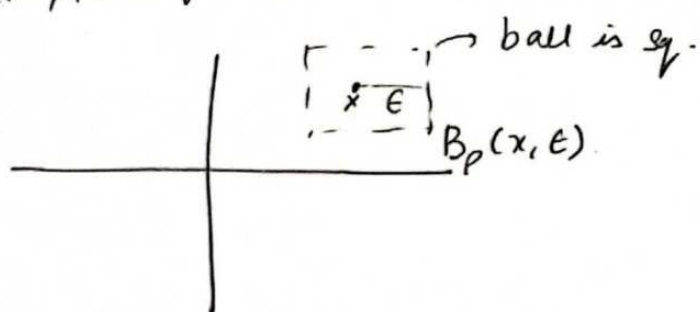
$$d(\vec{x}, \vec{y}) = \left(\sum_{i=1}^n (x_i - y_i)^2 \right)^{1/2} \quad \text{where } \vec{x} = (x_1, \dots, x_n) \\ \vec{y} = (y_1, \dots, y_n)$$

ex: Square metric ρ on $\mathbb{R}^n$ $\rho(\vec{x}, \vec{y}) = \max \{|x_1 - y_1|, \dots, |x_n - y_n|\}$

Given a metric d on a set X , $d(x, y) = \text{dist. b/w } x \text{ \& } y$.

Given $\epsilon > 0$, the set $B_d(x, \epsilon) = \{y \in X \mid d(x, y) < \epsilon\} = B(x, \epsilon)$
is called the ϵ -ball centred at $x \in X$.

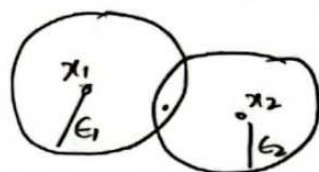
ex: $(\mathbb{R}^2, \rho)$ sq. metric.



Def: If d is a metric on a set X , the collection of all ϵ -balls $B_d(x, \epsilon)$ for $x \in X$, $\epsilon > 0$, is a basis for a topology in X , called metric topology. X is called a metric space (induced by d)

① Every $x \in B_d(x, \epsilon)$

② Let $y \in B(x_1, \epsilon_1) \cap B(x_2, \epsilon_2)$



$$\text{Let } \delta_1 = \epsilon_1 - d(x_1, y) \quad \delta_2 = \epsilon_2 - d(x_2, y)$$

$$\text{Let } \delta = \min(\delta_1, \delta_2)$$

$$\Rightarrow \text{Claim: } y \in B(y, \delta) \subseteq B(x_1, \epsilon_1) \cap B(x_2, \epsilon_2).$$

Let $z \in B(y, \delta)$. Then $d(y, z) < \delta \leq \delta_1 = \epsilon_1 - d(x_1, y)$.

$$\Rightarrow d(x_1, y) + d(y, z) < \epsilon_1$$

$$\Rightarrow d(x_1, z) \leq \epsilon_1 \Rightarrow z \in B(x_1, \epsilon_1)$$

Similarly $z \in B(x_2, \epsilon_2) \Rightarrow z \in B_1 \cap B_2$

A set U is open in the metric topology

• $\Leftrightarrow$ For each $y \in U$, $\exists \delta > 0$ s.t. $B(y, \delta) \subseteq U$

ex Set X , $d(x, y) = \begin{cases} 0 & \text{if } x=y \\ 1 & \text{if } x \neq y \end{cases}$

Then d is a metric and the metric topology is the same as the discrete topology on X ; the basis elt $B(x, \epsilon) = \{x\}$
 $\uparrow \epsilon \leq 1$

ex: $\mathbb{R}$ with $d(x, y) = |x - y|$. Metric top is same as order top.

Basis elt in metric top; $B(x, \epsilon) = (x - \epsilon, x + \epsilon)$ open in ord top.

• and $(a, b) = B\left(\frac{a+b}{2}, \frac{b-a}{2}\right)$

Lec § 20-21 Metric Topology.

Def A topological space X is metrizable if there exists a metric d on X that induces the topology of X .

ex $\mathbb{R}^n$, $d(x, y) = \left(\sum_{i=1}^n (x_i - y_i)^2 \right)^{1/2}$

ex $\mathbb{R}^n$, $\rho(x, y) = \max\{|x_i - y_i|\}$

Thm The topologies on $\mathbb{R}^n$ induced by d and ρ are same as the product topology on $\mathbb{R}^n$.

Lemma Let d, d' metrics on X that induce topols $\mathcal{T}$ & $\mathcal{T}'$ on X .
 Then $\mathcal{T}'$ is finer than $\mathcal{T}$ iff $\forall x \in X, \epsilon > 0, \exists \delta > 0$ s.t.

$$B_{d'}(x, \delta) \subseteq B_d(x, \epsilon).$$

Proof of Lemma.

Suppose T' is finer than T . Given a basis elt $B_d(x, \epsilon)$ for T , $B_d(x, \epsilon)$ is open in T' , can find basis elt B' of T'

$$\text{s.t. } x \in B' \subseteq B_d(x, \epsilon).$$

Within B' , find basis elt $x \in B_{d'}(x, \delta) \subseteq B' \subseteq B_d(x, \epsilon)$.

Conversely, supp. " ϵ - δ " condition holds. Given a basis elt B for T containing x , and find $B_d(x, \epsilon) \subseteq B$. Then $B_{d'}(x, \delta) \subseteq B_d(x, \epsilon) \subseteq B$.

Thus T' is finer than T . ■

Proof of Thm

Let $\vec{x} = (x_1, \dots, x_n)$; $\vec{y} = (y_1, \dots, y_n)$. Can verify $\rho(x, y) \leq d(x, y) \leq \sqrt{n} \rho(x, y)$.

$$B_d(x, \epsilon) \subseteq B_\rho(x, \epsilon) \quad (\text{By 1st ineq}) \quad \text{By 2nd ineq, } B_\rho(x, \frac{\epsilon}{\sqrt{n}}) \subseteq B_d(x, \epsilon).$$

By lemma, the two topologies induced by ρ & d are the same.

Next, show product topology on $\mathbb{R}^n$ = metric topology induced by ρ

Let $B = (a_1, b_1) \times \dots \times (a_n, b_n)$ basis elt in $\mathbb{R}^n$. Pick $x \in B$,

$$x = (x_1, \dots, x_n), \text{ for each } i, \exists \epsilon_i > 0 \text{ s.t.}$$

$$x_i \in (x_i - \epsilon_i, x_i + \epsilon_i) \subseteq (a_i, b_i).$$

Let $\epsilon = \min_{i=1 \dots n} \{\epsilon_i\}$.

$$x \in \underbrace{(\underbrace{x_1 - \epsilon, x_1 + \epsilon}_{\text{...}} \times \dots \times (x_n - \epsilon, x_n + \epsilon))}_{\text{...}} \subseteq B.$$

$$x \in B_p(x, \epsilon) \subseteq B \Rightarrow B \text{ is open in } p\text{-metric top.}$$

Conversely,

let $B_p(x, \epsilon)$ be a basis elt in p -topology.

$\Rightarrow$ is also a basis elt in Π -topology.

> Is $\mathbb{R}^\omega \xrightarrow{\text{countable } \mathbb{R} \times \mathbb{R} \dots}$ metrizable?

Attempts: $d(\vec{x}, \vec{y}) = \left(\sum_{i=1}^{\infty} (x_i - y_i)^2 \right)^{1/2}$
 $\leftarrow$ might not converge.

$$\rho(\vec{x}, \vec{y}) = \sup \{ |x_i - y_i| \} \text{ might not be defined.}$$

Def: $\bar{\rho}(x, y) = \sup \{ \min \{ |x_i - y_i|, 1 \} \}$

$\bar{\rho}$ is a metric on $\mathbb{R}^\omega$, called uniform metric on $\mathbb{R}^\omega$. $(\mathbb{R}^\omega, \bar{\rho})$

is called the uniform topology.

Thm: On $\mathbb{R}^\omega$, box topology $\overset{\text{strictly}}{\text{finer}}$ than uniform topology
 is strictly finer than product topology.

Thm: Let $\bar{d}(a, b) = \min \{ |a - b|, 1 \}$ metric on $\mathbb{R}$. If
 $\vec{x}, \vec{y} \in \mathbb{R}^\omega$ define $D(\vec{x}, \vec{y}) = \sup \{ \bar{d}(x_i, y_i) \}$ is a metric

that induces the product topology on $\mathbb{R}^{\omega}$.

Proof $\forall i, \quad \frac{\bar{d}(x_i, z_i)}{i} \leq \frac{\bar{d}(x_i, y_i)}{i} + \frac{\bar{d}(y_i, z_i)}{i}$

$$\text{RHS} \leq D(\bar{x}, \bar{y}) + D(\bar{y}, \bar{z}) \quad \text{because of sup.}$$

$$\Rightarrow \sup \left\{ \frac{\bar{d}(x_i, z_i)}{i} \right\} \leq D(\bar{x}, \bar{y}) + D(\bar{y}, \bar{z}).$$

Thus D is a metric.

$(\tau_D \leq \tau_{\Pi})$. Let $U \in \tau_D$, let $\bar{x} \in U$. We need to find an open set $V \in \tau_{\Pi}$ s.t. $\bar{x} \in V \subseteq U$. Find $\bar{x} \in B_D(\bar{x}, \epsilon) \subseteq U$.

Choose N s.t. $\frac{1}{N} < \epsilon$. Let V be the basis elt in product

topology defined by $V = (x_1 - \epsilon, x_1 + \epsilon) \times \dots \times (x_N - \epsilon, x_N + \epsilon) \times \mathbb{R} \times \mathbb{R} \times \dots$.

Given any $y \in \mathbb{R}^{\omega}$, $\frac{\bar{d}(x_i, y_i)}{i} \leq \frac{1}{N} \quad \forall i \geq N$.

$$\Rightarrow D(\bar{x}, \bar{y}) \leq \max \left\{ \frac{\bar{d}(x_1, y_1)}{1}, \dots, \frac{\bar{d}(x_N, y_N)}{N}, \frac{1}{N} \right\}$$

If $\bar{y} \in V$, $D(\bar{x}, \bar{y}) < \epsilon$, so $V \subseteq B_D(\bar{x}, \epsilon)$.

$(\tau_{\Pi} \supseteq \tau_D) \rightarrow \text{in } \{\text{Munkres}\}$

§ 21 Metric Topology

● Ex : Every metric space is Hausdorff. If $x, y \in (X, d)$,

$$\text{let } \epsilon = \frac{1}{2} d(x, y) \quad \text{Then } B_d(x, \epsilon) \cap B_d(y, \epsilon) = \emptyset.$$

> Countable product of metrizable spaces are metrizable

(Pf similar to $\mathbb{R}^{\omega}$)

> If A subspace of (X, d) then $d|_{A \times A}$ is a metric for topology of A .

● > Next, continuous functions and metric spaces.

Thm : (X, d_x) and (Y, d_y) metric spaces. Let $f: X \rightarrow Y$.

f is continuous iff $\forall x \in X$ and $\epsilon > 0$, $\exists \delta > 0$ s.t.

$$d_x(x, y) < \delta \text{ then } d_y(f(x), f(y)) < \epsilon.$$

" ϵ - δ condition for continuity"

Proof ($\Rightarrow$) Suppose f is continuous, Let $x \in X$, $\epsilon > 0$. Consider

● $f^{-1}(B(f(x), \epsilon))$. open in X & contains x . Choose $\delta > 0$

s.t. $x \in B(x, \delta) \subseteq f^{-1}(B(f(x), \epsilon))$. Then if $d(x, y) < \delta$

$$\Rightarrow d_y(f(x), f(y)) < \epsilon.$$

$\Leftarrow$) Suppose " ϵ - δ " holds. Let $V \subseteq Y$ open. Want to show

$f^{-1}(V)$ open in X . Let $x \in f^{-1}(V)$. Since $f(x) \in V$ &

V open in Y , can find ball $B(f(x), \epsilon)$ s.t.

$f(x) \in B(f(x), \epsilon) \subseteq V$. By " ϵ - δ ", $\exists \delta > 0$,

$B(x, \delta)$ s.t. $f(B(x, \delta)) \subseteq B(f(x), \epsilon) \subseteq V$.

$\Rightarrow x \in B(x, \delta) \subseteq f^{-1}(B(f(x), \epsilon)) \subseteq f^{-1}(V)$ ■

(open: $f^{-1}(V) = \bigcup_{x \in f^{-1}(V)} B(x, \delta_x)$)

Recall,

def: $x_n \rightarrow x$ if for each nbd U of x , $\exists N$ s.t. $\forall n \geq N$,

$x_n \in U$.

Sequence Lemma.

Let $A \subseteq X$ $\Leftrightarrow$ if there exists a seq. of pts. $\{x_n\} \subset A$ converging to x , then $x \in \bar{A}$. ($\Leftarrow$) Converse is true if X is metrizable.

Proof ($\Rightarrow$) $\bigcap_{n=1}^{\infty} A_n \rightarrow x$ Every nbd of x contains a pt. in A . $\Rightarrow x \in \bar{A}$.

($\Leftarrow$) (X, d) is a metric space. Let $x \in \bar{A}$, $A \subseteq X$.

$a_n \in B_d(x, \frac{1}{n}) \cap A$, choose such a_n . Then $a_n \rightarrow x$. ■

Thm If $f: X \rightarrow Y$ continuous, then if $x_n \rightarrow x$ in X , (39)

then $f(x_n) \rightarrow f(x)$ in Y .

Converse is true if X is metrizable.

Proof

$(\Rightarrow)$ f is continuous, $x_n \rightarrow x$ in X . Let V be a nbd of $f(x)$

$\Rightarrow f^{-1}(V)$ is a nbd of x . $\exists N$ s.t. $n \geq N \Rightarrow x_n \in f^{-1}(V)$.

$\Rightarrow f(x_n) \in V$. $\forall n \geq N$.

$\Rightarrow f(x_n) \rightarrow f(x)$.

$(\Leftarrow)$ (X, d) metric space. Suppose every $x_n \rightarrow x$ implies

$f(x_n) \rightarrow f(x)$ in Y . WTS (want to show) f cont.

i.e. $\forall A \subseteq X$, $f(\bar{A}) \subseteq \overline{f(A)}$ $\rightarrow$ eqv condition for cont.

By previous lemma, $x \in \bar{A}$, then $\exists$ seq. $\bigcap_A a_n \rightarrow x$.

$\Rightarrow \bigcap_{f(A)} f(a_n) \rightarrow f(x)$ by assumption $\Rightarrow f(x) \in \overline{f(A)}$.
by previous lemma

Def: Let $f_n: X \rightarrow Y$ seq. of functions. Y , metric space with metric d . We say (f_n) converges uniformly to $f: X \rightarrow Y$ if given $\epsilon > 0$, there exists some integer N s.t. $d(f_n(x), f(x)) < \epsilon$ $\forall n > N$ & $\forall x \in X$

Thm (Uniform Limit Theorem)

Let $f_n: X \rightarrow Y$ seq. of continuous functions (where X is top. space, (Y, d) metric space). If (f_n) converges uniformly to $f: X \rightarrow Y$, then f is continuous.

Proof Let V open in Y , WTS $f^{-1}(V)$ is open in X .

Let $x_0 \in f^{-1}(V)$, WTS: $\exists$ nbd U s.t. $x_0 \in U \subseteq f^{-1}(V)$

$\iff f(U) \subseteq V$. Choose ϵ -ball $B(f(x_0), \epsilon) \subseteq V$.

By uniform convergence choose N s.t. $\forall n \geq N \forall x \in X$,

$d(f_n(x), f(x)) < \frac{\epsilon}{3}$. By continuity of f_N , and " ϵ - δ ",

can choose nbd $U \ni x_0$ s.t. $f_N(U) \subseteq B(f_N(x_0), \frac{\epsilon}{3})$

Claim $f(U) \subseteq B(f(x_0), \epsilon)$.

If $x \in U$, then $d(f(x), f_N(x)) < \frac{\epsilon}{3}$

$$d(f_N(x), f_N(x_0)) < \frac{\epsilon}{3}$$

$$d(f_N(x_0), f(x_0)) < \frac{\epsilon}{3}$$

By triangle inequality $d(f(x), f(x_0)) < \frac{\epsilon}{3} + \frac{\epsilon}{3} + \frac{\epsilon}{3} = \epsilon$

$\Rightarrow f(U) \subseteq V$

Lecture. § 2.2 Quotient Topology

Def $\sim$ is an equivalence relation on a set A if

- (1) $x \sim x \quad \forall x \in A$
- (2) If $x \sim y$, then $y \sim x$
- (3) If $x \sim y, y \sim z$; then $x \sim z$.

Lemma. Two equivalence classes are either disjoint or equal.

If $\sim$ is an equivalence relation on a set A , and

$\mathcal{E}$ = collection of all eq. classes on A by $\sim$, then

$A = \bigcup_{E \in \mathcal{E}} E$. $\mathcal{E}$ is a partition of A , or a collection of disjoint nonempty subsets of A whose union is all of A .

First examples of Quotient spaces.

(1) $[0, 1] / 0 \sim 1 \cong \text{circle}$ This is called S^1 1D sphere.
 ↳ subspace top on $\mathbb{R}^2$.
 ↳ all pts. except 0, 1 are eq. to only themselves & $0 \sim 1$.

(2)  $/ \text{boundary} \sim q \cong S^2$

(3)  $\cong$ 

Def: X, Y top spaces. Let $p: X \rightarrow Y$ surjective map. The map p is called a quotient map if $p^{-1}(U)$ is open in $X \iff U$ is open in Y .
 $U \subseteq Y$
 ("like a strong continuity condition".)

(Need not be open since only open preimages are mapped to open images)

Def: Given $\overset{\text{surjective}}{p}: \overset{\text{topsp.}}{X} \rightarrow \overset{\text{a set}}{A}$, there is a unique topology on A s-t p is a quotient map. This topology τ in A is called the quotient topology.

$$\tau = \{ U \subseteq A \mid p^{-1}(U) \text{ is open in } X \}.$$

Def X top. space. Let X^* be a partition of X . Let $p: X \rightarrow X^*$ be surjective map which maps $x \in X$ to the elt of X^* which contains that point.

With quotient topology, X^* is called a quotient space of X .

ex $X = \text{unit disk w/ bdry}$

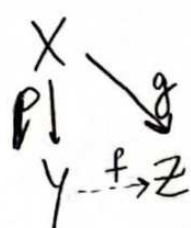
$$X^* = \{ \{x\} \mid x \in \text{Int } X \} \cup \{ \text{Bdry of } X \}$$

Can show X^* is homeo to S^2 ; unit sphere in $\mathbb{R}^3$.

ex: Composition $q \circ p$ of two quotient maps. q, p are quotient maps.

• This follows from $(q \circ p)^{-1}(u) = p^{-1}(q^{-1}(u))$.

Thm: Let $p: X \rightarrow Y$ quotient map. Let Z be a space and $g: X \rightarrow Z$ be a map that's constant on each set pre-image $p^{-1}(\{y\})$, $\forall y \in Y$.



Then g induces a map $f: Y \rightarrow Z$ s.t. $f \circ p = g$.

① f is cont $\Leftrightarrow g$ is cont.

② f is quotient map $\Leftrightarrow g$ is quotient map.

• Proof: Define $f: Y \rightarrow Z$. For $y \in Y$, $g(p^{-1}(\{y\})) = \{z_y\}$
↑ one pt. Set in Z

Let $f(y) = z_y$. Then $f(p(x)) = g(x)$.

① Suppose f is continuous. Then g is composition of 2 cont. fns $\Rightarrow g$ is continuous.

Conversely suppose g is cont. Let $V \subseteq Z$ open set. WTS $f^{-1}(V)$ open in Y . Since g cont, $g^{-1}(V)$ open in X .

$p^{-1}(f^{-1}(V))$ open in $X \Leftrightarrow f^{-1}(V)$ open in Y .
p is q-map

② Suppose f is a quotient map. $\Rightarrow g = f \circ p$ is quotient map.

Conversely suppose g is a q-map $\Rightarrow g$ surjective $\Rightarrow f$ surjective.

Let $V \subseteq Z$.

$$V \text{ open in } Z \stackrel{g \text{ is } q\text{-map}}{\iff} g^{-1}(V) \text{ is open in } X$$

$$p^{-1}(f^{-1}(V)) \text{ is open in } X \stackrel{p \text{ is a } q\text{-map}}{\iff} f^{-1}(V) \text{ is open in } Y.$$

Corollary

Let $p: X \rightarrow X^*$ quotient map, s.t. $X^* = \{g^{-1}(\{z\}) \mid z \in Z\}$.

where $g: X \rightarrow Z$ surj. continuous map.

$$\begin{array}{ccc} X & \xrightarrow{g} & Z \\ p \downarrow & \searrow & \\ X^* & \xrightarrow{f} & Z \end{array} \quad \begin{array}{l} \text{Then the map } g \text{ induces a bijective cont. map} \\ f: X^* \rightarrow Z \text{ s.t.} \end{array}$$

① f is homeo $\iff g$ is quotient map.

② If Z is Hausdorff, then so is X^* .

Proof By prev. thm, g is continuous and g induces cont. $f: X^* \rightarrow Z$.

Clear that f is bijective.

① $\Rightarrow$ If f is homeo, then f is a q -map. Then $g = \text{composition of quotient maps}$ is a quotient map.

$\Leftarrow$ Conversely supp g is a q -map, by previous thm f is a q -map.

$\Rightarrow$ Since f is a bijection, f^{-1} is continuous $\Rightarrow f$ homeo.

② ... [Munkres]

Ch 3 - § 23 Connected Spaces

Connectedness.

Def: A separation of X is a pair U, V of disjoint nonempty open subsets of X whose union is X .

A space X is connected if there does not exist a separation of X .

* Equivantly: A space X is connected iff the only subsets of X which are both open and closed are $\emptyset$ & X .

Proof: $\Rightarrow \emptyset \subsetneq A \subsetneq X$, A open & closed.

A closed $\Rightarrow X \setminus A$ open

$\Rightarrow \{A, X \setminus A\}$ form a separation.

$\Leftarrow$ Suppose X not connected. U, V separation of X ; $U = X \setminus V$ closed & open.

Connectedness of a subspace (via limit points).

Lemma. $Y \subseteq X$ (subspace)

A separation of Y is a pair of disjoint, nonempty sets A and B whose union is Y , neither of which contains a limit pt. of the other.

ex: $X = \mathbb{R}$; $Y = \underbrace{[0, 1)}_A \cup \underbrace{\{2\}}_B$



Proof : (old def $\rightarrow$ new def)

Suppose A, B form separation of Y . Then A is both open + closed in Y .
The closure of A in Y is $\overline{A} \cap Y$. Since A is closed in Y , $A = \overline{A} \cap Y$.

$$\Rightarrow B \cap \overline{A} = \emptyset.$$

$\overline{A} = A \cup \{ \text{limit pts. in } X \text{ of } A \}$, B contains no limit pts. of A .

Similarly, A contains no limit pts. of B .

(new $\rightarrow$ old)

Suppose A, B pair of disjoint nonempty sets $A \cup B$ whose union is Y ,
neither of which contains a limit pt. of the other.

$$A \cap \overline{B} = \emptyset \text{ and } B \cap \overline{A} = \emptyset.$$

$$\Rightarrow Y \cap \overline{B} = B \text{ and } Y \cap \overline{A} = A \Rightarrow A, B \text{ closed in } Y.$$

$$\left. \begin{array}{l} A = Y \setminus B \text{ open in } Y \\ B = Y \setminus A \text{ open in } Y \end{array} \right\} \Rightarrow \begin{array}{l} A, B \\ \text{Separation} \\ \text{of } Y. \end{array}$$

ex: In $\mathbb{R}^2$, consider the subset

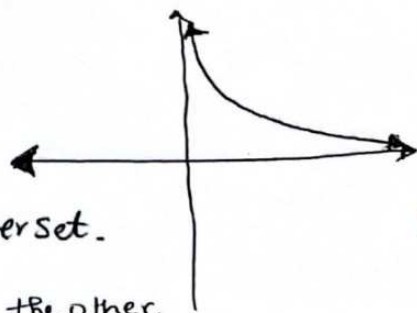
$$X = \{x \times y \mid y=0\} \cup \{x \times y \mid x>0 \text{ and } y=\frac{1}{x}\} ; X \subseteq \mathbb{R}^2$$

Q Is X connected.

Use lemma, A, B disjoint nonempty, $A \cup B = X$

Each A, B does not contain limit pt. of the other set.

Any pt. has a small enough nbd that does not intersect the other.



ex: $(\mathbb{R}^\omega, \text{box topology})$ is not connected.

$$\mathbb{R}^\omega = A \cup B$$

where $A = \{\text{unbounded sequences}\}$
 $B = \{\text{bounded sequences}\}$ are disjoint & open.

$$b = (b_i) \quad b_i \leq M \text{ integer } \forall i.$$

$\nexists U \subseteq A$ if $a = (a_i) \in A$, then $a \in U = (a_1 - 1, a_1 + 1) \times (a_2 - 1, a_2 + 1) \times \dots$

$\nexists U \subseteq B$ if $a = (a_i) \in B$, then $a \in U = (a_1 - 1, a_1 + 1) \times (a_2 - 1, a_2 + 1) \times \dots$

A, B separation.

ex: $(\mathbb{R}^\omega, \text{product topology})$ is connected. (Munkres)

ex: $\mathbb{R}$ connected (next time).

Thm: A union of connected subspaces of X that have a point in common is connected.

Thm: Let A be a connected subspace of X , if $A \subset B \subset \bar{A}$, then B is connected.

Thm: A finite Cartesian product of connected spaces is connected.

Thm: The image of a connected space under a continuous map is connected.

Proof: Let $f: X \rightarrow Y$ continuous, X connected. WTS $f(X)$ is connected.

The map $g: X \rightarrow f(X)$, $g(a) = f(a) \forall a \in X$, is also continuous. (g is surjective).

(Contradiction). Suppose $f(X)$ has a separation A, B . Then

$g^{-1}(A) \cup g^{-1}(B) = X$ and $g^{-1}(A)$ and $g^{-1}(B)$ are disjoint (well defined)

& from continuity of g , $g^{-1}(A)$ & $g^{-1}(B)$ open in X .

Since g surj, $g^{-1}(A), g^{-1}(B)$ are nonempty.

Thus X has a separation $g^{-1}(A), g^{-1}(B) \neq \emptyset$ ■

Lecture 824

Connected Subspaces of $\mathbb{R}$

Def An ordered set A has the least upper bound property (LUB) if every nonempty subset $A_0 \subseteq A$ that is bounded above (by some elt of A) has a least upper bound (called the supremum (sup)) in A .

ex: $\mathbb{R}$ has LUB; $(0,1)$ has LUB

ex: $\mathbb{Q}$ does not have LUB. $A_0 = \{x \in \mathbb{Q} \mid x < \sqrt{2}\}$

ex: $A = (0,1) \cup (1,2)$, $A_0 = (0,1)$;
↳ NOT LUB

Def A simply ordered set L having more than one elt, is called a linear continuum if

(1) L has LUB

(2) $\forall x < y, \exists z$ s.t. $x < z < y$.

ex: $\mathbb{R}, (0,1)$

nonex: $\mathbb{Z}$ does not satisfy (2).

Thm: $\forall L$ is a linear continuum, with order topology, then L is connected, and so are the intervals & rays in L .

Pf: $\forall Y$ is a convex subset of L , we will prove Y is connected.

(Convex = $\forall a, b \in L; [a, b] \subseteq L$) wlog $a < b$.

Suppose $Y = A \cup B$; A, B disjoint non-empty subsets of Y .

$\Rightarrow$ Since Y convex, if $a \in A, b \in B$; $[a, b] \subseteq Y = A \cup B$.

$\Rightarrow [a, b] = A_0 \cup B_0$ where $A_0 = [a, b] \cap A, B_0 = [a, b] \cap B$; A_0, B_0 disjoint

($a \in A_0, b \in B_0$) $\Rightarrow$ non empty; open subsets of $[a, b]$ from subspace topology = order topology).

$\Rightarrow [a, b]$ separation A_0, B_0 .

A_0 has an upper bound $\Rightarrow$ let $c = \sup A_0$ (exists since L is a lin. continuum).

We will show $c \notin A_0$ and $c \notin B_0$, contradicts $c \in [a, b]$.

Case 1 Suppose $c \in B_0$. Then $c \neq a$, so $c = b$ or $a < c < b$.

$$c \in B_0 \Rightarrow (d, c] \subseteq B_0.$$

$\nwarrow$ open in $[a, b]$

• If $c = b$, then d is a smaller upper bound for A_0 than c , contradicting $c = \sup A_0$.

• If $a < c < b$, then $[c, b] \cap A_0 = \emptyset \Rightarrow (d, b] \cap A_0 = \emptyset$

since $(d, c] \cap A_0 = \emptyset$ & $[c, b] \cap A_0 = \emptyset$.

$\Rightarrow d$ is an upper bound for A_0 , contradicts $c = \sup A_0$.

Case 2 Suppose $c \in A_0$. Then $c = a$ or $a < c < b$.

$$c \in A_0 \leftarrow \text{open subset in } [a, b] \Rightarrow [c, e) \subseteq A_0.$$

By (2) of lin. cont., $\exists \underset{A_0}{z} \text{ s.t. } c < z < e$ contradicting $c = \sup A_0$. ■

Corollary $\mathbb{R}$ is connected, and so are intervals & rays in $\mathbb{R}$.

Thm (Intermediate Value Thm).

Let $f: X \rightarrow Y$ continuous map, X connected, Y is ordered set

with order topology; if $a, b \in X$ and $r \in Y$ s.t. $f(a) < r < f(b)$

then $\exists c \in X$ s.t. $f(c) = r$.

ex: $f: [a, b] \rightarrow \mathbb{R}$ cont. $\rightarrow$ IVT from calc.

(51)

Proof If $\nexists c \in X$ s.t. $f(c) = r$.

$$f(X) = A \cup B, \quad A = (-\infty, r) \cap f(X) \\ B = (r, \infty) \cap f(X).$$

A, B nonempty since $f(a) \in A$; $f(b) \in B$, disjoint, open in $f(X)$.

$\Rightarrow f(X)$ has a separation.

But image of connected space under cont. map is connected. $\times$

ex linear continuum: $I \times I$ dictionary order, $I = [0, 1]$

Path connectedness $\Rightarrow$ connectedness.

Def: Given $x, y \in X$; a path from x to y is a cont. map

$$f: \underset{\substack{[a, b] \\ \mathbb{R}}}{\longrightarrow} X \quad \text{s.t. } f(a) = x, \quad f(b) = y.$$

A space is path connected if every pair of pts. in X can be joined by a path in X .

ex: $\mathbb{R}$, Sphere S^2 , ...

ex: Ball B^n unit ball $\subseteq \mathbb{R}^n$; $B^n = \{\vec{x} \in \mathbb{R}^n \mid d(\vec{x}, \vec{0}) \leq 1\}$

ex: $\mathbb{R}^n \setminus \{\vec{0}\}$.

lec §24 contd.)

Path-connected $\Rightarrow$ connectedness

X ^{path conn}, let $f: [a, b] \rightarrow X$; $[a, b]$ conn $\Rightarrow f([a, b])$ conn.

Suppose X is not connected. Find $X = A \cup B$ sep of X .

$\Rightarrow f([a, b])$ lies entirely in A or B (otherwise $A \cap \text{Im } f \neq \emptyset$ & $B \cap \text{Im } f$ separate $f([a, b])$). There is no path connecting $a \in A$ to $b \in B$. Contradiction to X being path-conn.

ex: $\mathbb{I} \times \mathbb{I}$, dictionary order. (not path conn but conn).

ex: Topologist's sine curve $\bar{S} \subseteq \mathbb{R}^2$.

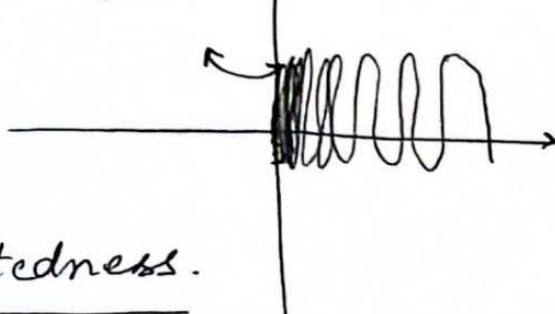
$$S = \left\{ x \times \sin\left(\frac{1}{x}\right) \mid 0 < x \leq 1 \right\}$$

S is image of contn. fn $f(x) = \sin\left(\frac{1}{x}\right)$, $f((0, 1])$ is conn.

$\Rightarrow S$ conn $\Rightarrow \bar{S}$ conn.

$\bar{S}$ is not path connected.

$\bar{S}$ includes $\{0\} \times [-1, 1] \cup S$.



§25 Components and local connectedness.

Def Given X space, define an equivalence relation on X by:

$x \sim y$ if there exists a connected subspace of X containing both x & y .

The equivalence classes are called the conn. components or just components of X .

ex: $\mathbb{R} \supseteq Y = (0,1] \cup \{2\} \leftarrow$ two components.

ex: $\mathbb{Q} \subseteq \mathbb{R}$ components of $\mathbb{Q}$? Singletons of $\mathbb{Q}$.

Thm: The ^(or path components) components of X are ^(or path conn.) connected disjoint subspaces whose union is X , s.t. any non-empty ^(or path conn.) connected subspace of X will intersect only one of them.

Proof: • Components C_i of X are equivalence classes

• $\Rightarrow$ disjoint, union is X

• Let A be nonempty conn. subspace. Suppose $a \in A \cap C_1$ and $b \in A \cap C_2$. Then $a \sim b \Rightarrow C_1 = C_2$.

• Show C_i is connected. Pick $x_0 \in C_i \forall x \in C_i$, $x \sim x_0$. $\exists$ conn. subspace A_x containing x & x_0 .

$\Rightarrow A_x \subseteq C_i$ by above. $C_i = \bigcup_{x \in C_i} A_x \leftarrow x_0 \in A_x$ is connected ■

Path Components.

Def X space, define eq. rel. $x \sim y$ if there is a path in X from x to y . The equivalence classes are called path components of X . [Above Thm is also true for path connectedness (analogous proof)]

Rmks: ① Each component of X is closed in X .

(Why? Recall thm: $A \text{ conn.} \subseteq B \subseteq \bar{A} \Rightarrow B \text{ conn.}$)

B component of $X \Rightarrow B$ conn. $\Rightarrow B \subseteq \bar{B} \subseteq B \Rightarrow B = \bar{B}$)

② If X is a finite union of its components, then each component is also open in X .

③ In general, a component of X need not be open.
ex: $\mathbb{Q} \subseteq \mathbb{R}$.

④ Path components need not be open or closed.
ex: topol. sine curve in $\mathbb{R}^2$.

Local Connectedness

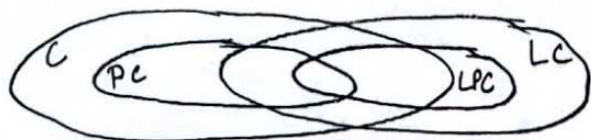
Def X is locally conn. at x if $\forall$ nbd U of x , there is a conn. nbd V of x s.t. $x \in V \subseteq U$.

X is locally path conn.-at $x \dots \dots \dots$

path conn. nbd V of x s.t. $x \in V \subseteq U$.

If X locally conn. at every $x \in X$, then say X is locally conn.

g/f locally path conn., " " , " , " , " path conn.



ex:

① Intervals & rays in $\mathbb{R}$ (C, PC, LPC, LC)② $[-1, 0) \cup (0, 1) \subseteq \mathbb{R}$ (LPC, LC)③ $\mathbb{Q} \subseteq \mathbb{R}$ (neither)④ Topologist's sine curve $\subseteq \mathbb{R}^2$ (LC)Lec: §26 Compact spaces.

Def: A collection $\mathcal{A}$ of subsets of a space X is a covering of X or covers X if $\bigcup_{A \in \mathcal{A}} A = X$. It is an open covering if the elts in $\mathcal{A}$ are open in X .

Def: A space X is compact if every open covering of X contains a finite subcollection which also covers X .

ex: $\mathbb{R}$ not compact

$\mathcal{A} = \{(n, n+3) \mid n \in \mathbb{Z}\}$ is open covering of $\mathbb{R}$, but no finite subcovering

ex: Any space X containing only a finite # of points $X = \{x_1, \dots, x_n\}$ is compact.

ex: The interval $(0, 1] \subseteq \mathbb{R}$ not compact

$\mathcal{A} = \{(\frac{1}{n}, 1] \mid n \in \mathbb{Z}_+\}$ open covering, no finite subcover.

ex: $X = \{0\} \cup \{\frac{1}{n} \mid n \in \mathbb{Z}_+\} \subseteq \mathbb{R}$ is compact.

Let $\mathcal{A}$ open cover of X . Then $\exists U \in \mathcal{A}$ s.t. $0 \in U \subseteq X$.

U also contains all but finitely many of the points $\frac{1}{n}$, $n \in \mathbb{Z}_+$.

For each $y \notin U$, choose $U_y \in \mathcal{A}$. $U \cup \bigcup U_y$ is a finite covering.

ex

Next time: $[a, b] \subseteq \mathbb{R}$ compact.

Def: If $Y \subseteq X$ subspace, a collection $\mathcal{A}$ of subsets of X is said to cover Y if the union of its elts. contains Y .

$$\left(\bigcup_{A \in \mathcal{A}} A \supseteq Y \right).$$

Lemma: $Y \subseteq X$ is compact iff every covering of Y by open sets in X contains a finite subcollection which also covers Y .

Proof: $(\Rightarrow)$ Let $\mathcal{A}$ covering of Y by open sets in X , & Y compact.

$$\mathcal{A} = \{A_\alpha\}_{\alpha \in J} \Rightarrow \{A_\alpha \cap Y\}_{\alpha \in J} \text{ open sets in } Y \text{ covering } Y.$$

$$\Rightarrow \text{finite subcover } \{A_{\alpha_1} \cap Y, \dots, A_{\alpha_n} \cap Y\} \text{ cover } Y$$

$$\Rightarrow \{A_{\alpha_1}, \dots, A_{\alpha_n}\}_{\subseteq \mathcal{A}} \text{ cover } Y.$$

$(\Leftarrow)$ Let $\mathcal{A}' = \{A'_\alpha\}$ be open sets in Y covering Y .

$$\text{Each } A'_\alpha = U_\alpha \cap Y, U_\alpha \text{ open in } X.$$

Then $\mathcal{A} = \{U_\alpha\}$ open sets in X which cover Y .

$\exists U_{\alpha_1}, \dots, U_{\alpha_n}$ which also cover Y

$\Rightarrow A_{\alpha_1}, \dots, A_{\alpha_n}$ is a finite subcoll of A' which covers Y
 $\Rightarrow Y$ compact. ■

Theorem Every closed subspace of a compact space is compact.

Proof: Let $Y \subseteq X$, Y closed, X compact WTS Y compact.

Let A be a cover of Y by open sets in X .

X has an open cover by $A \cup (X \setminus Y)$

X compact finite subcollection of $A \cup (X \setminus Y)$ which covers X .

$\Rightarrow$ " " " A which covers Y . ■

Theorem The image of a compact space under a continuous function is compact.

Proof: Let $f: X \rightarrow Y$ continuous, X compact. WTS $f(X)$ compact.

Let A be an open covering of $f(X)$ by open sets in Y .

$X = f^{-1}(f(X))$ has an open cover by $\{f^{-1}(A) \mid A \in A\}$

$= f^{-1}(f(X))$

$\Rightarrow$ finite $f^{-1}(A_1), \dots, f^{-1}(A_n)$ form an open cover of X .

X compact $\Rightarrow A_1, \dots, A_n$ finite cover of $f(X)$. ■

Theorem: Every compact subspace of a Hausdorff space is closed.

Proof: $Y \subseteq X$, X Hausdorff. Y compact. WTS $X \setminus Y$ open.

Let $x_0 \in X \setminus Y$. We will show $\exists$ nbd of x_0 which is disjoint from Y .

For each $y \in Y$, $\exists$ nbds $U_y \ni x_0$ & $V_y \ni y$ that are disjoint.

$\{V_y\}_{y \in Y}$ open sets in X which covers Y .

$\Rightarrow V_{y_1} \cup \dots \cup V_{y_n}$ covers Y .
 Y compact

The open set $V = V_{y_1} \cup \dots \cup V_{y_n} \supseteq Y$ is disjoint from

$$U = U_{y_1} \cap \dots \cap U_{y_n} \ni x_0. \quad \blacksquare$$

ex: In $\mathbb{R}$, (a, b) and $(a, b]$ not compact (since not closed).

Theorem: Let $f: X \rightarrow Y$ be a bijection & continuous fn.

If X is compact & Y is Hausdorff, then f is a homeomorphism.

Proof: We show f is a closed map. If A is closed in X ,

A is also compact $\Rightarrow f(A)$ compact $\xRightarrow{\text{above Thm}} f(A)$ is closed. $\blacksquare$

Lec

Thm: The finite product of compact spaces is also compact.

Pf sketch: X, Y compact, A open covering of $X \times Y$. Show A admits a finite subcover. Fix $x_0 \in X$. Since the "slice" $x_0 \times Y$ (homeo to Y) is compact, a finite subcollection of sets in A which covers $x_0 \times Y$. These sets actually cover a "tubular nbd" of $x_0 \times Y$, i.e. a thickened slice $x_0 \times Y$, where

U_{x_0} is a nbd of x_0 .

Since $\{U_x\}_{x \in X}$ open cover of X (compact).

$\Rightarrow U_{x_1}, \dots, U_{x_n}$ covers X .

Then a finite subcollection of sets in A covers $\bigcup_{i=1}^n x_i \times Y = X \times Y$.

Proof follows from induction ■

§27 Compact Subspaces of the Real line.

We will show $[a, b] \subseteq \mathbb{R}$ is compact. As an application,

$f: [a, b] \rightarrow \mathbb{R}$ continuous satisfy Extreme Value Theorem (EVT)

Thm (EVT): Let $f: X \rightarrow Y$ continuous, Y order topology.

If X is compact, $\exists c, d \in X$ s.t. $f(c) \leq f(x) \leq f(d) \quad \forall x \in X$.

Pf:

$A = f(X)$ compact. Suppose A has no largest elt.

Then A has an open covering by $\{(-\infty, a) \mid a \in A\}$.

$\Rightarrow (-\infty, a_1), \dots, (-\infty, a_n)$ cover A . Let $a = \max\{a_1, \dots, a_n\}$ is in A but not covered. (Pf for min similar) ■

Recall $\mathbb{R}$ is a linear continuum, satisfies least upper bound property (LUB).

• A closed $\subseteq X$ compact $\Rightarrow A$ compact.

• B compact $\subseteq Y$ Hausdorff $\Rightarrow B$ closed.

Thm: $X =$ simply ordered set w. least upper bound property (LUB).

In the order top. on X , each closed interval in X is compact.

Pf Outline: ① $x \in [a, b) \Rightarrow \exists y > x$ s.t. $[x, y]$ ^{can be} covered by ≤ 2 elts. of $\mathcal{A}$.

Show $[a, b]$ is compact. let $\mathcal{A}$ open cover of $[a, b]$; (Subspace top = order top).

② $C = \{y \in [a, b] \mid [a, y] \text{ has a finite subcover by sets in } \mathcal{A}\}$ is non empty.

③ let $c = \text{LUB of } C$; show $c \in C$ &

④ $c = b$.

Proof ① let $x \in [a, b)$.

• If x has an immediate successor y in X , then

(61)

$[x, y] = \{x, y\}$ (covered by ≤ 2 elts of A).

• Else, choose $U \in A$ s.t. $x \in U$.

$\xrightarrow[\text{open}]{U}$ U contains some $[x, c)$, where $c \in [a, b]$

Choose $y \in (x, c) \Rightarrow [x, y] \subseteq U$ (covered by 1 elt. of A)

② $C \neq \emptyset$ follows by ① applied to $x = a$, $\exists y \in C$.

③ $c = \text{lub}(C)$ (exists b/c X LUB, C bounded) Show $c \in C$.
i.e. $[a, c]$ has a finite # elts in A covering $[a, c]$.

Choose $A_c \in A$ containing c . ($a < c \leq b$)

• A_c open $\Rightarrow \exists [d, c] \subseteq A_c$. since $d \in [a, b]$.

Suppose $c \notin C$. $\exists z \in C$ s.t. $z \in (d, c)$ (else z is a lower upper bound for C).

Since $z \in C$, $[a, z]$ is covered by finite # elts in A . ($\hookrightarrow = n$)

$[a, c] = [a, z] \cup [z, c] \xrightarrow{\subseteq A_c} \subseteq A_c \Rightarrow$ covered by $n+1$ elts of A .

$\Rightarrow c \in C$.

④ Show $c = b$, suppose $c < b$.

Let $x = c$ in ① $\Rightarrow \exists y > c$ s.t. $[c, y]$ is covered by 2 elts

• of A . $[a, y] = [a, c] \cup [c, y]$ covered by finite # of elts.
 $\Rightarrow y \in C$ (but $c = \text{lub}(C)$) contradiction

Lec §27 (contd.)

Thm: $A \subseteq \mathbb{R}^n$ is compact iff A is closed and bounded in $(\mathbb{R}^n, d)$ or $(\mathbb{R}^n, \rho)$.

Recall: $d(\vec{x}, \vec{y}) = \left(\sum_{i=1}^n (x_i - y_i)^2 \right)^{1/2}$

$$\rho(\vec{x}, \vec{y}) = \max_i \{ |x_i - y_i| \}$$

Rmk: Not true for any arbitrary metric space.

Pf ($\Rightarrow$) $A \subseteq \mathbb{R}^n$ compact. Since $\mathbb{R}^n$ is Hausdorff, $\Rightarrow A$ is closed.

Recall $\rho(\vec{x}, \vec{y}) \leq d(\vec{x}, \vec{y}) \leq \sqrt{n} \rho(\vec{x}, \vec{y}) \Rightarrow$ bddness in $(\mathbb{R}^n, d) \iff$ bddness in $(\mathbb{R}^n, \rho)$.
 equivalent

$\{B_\rho(0, m) \mid m \in \mathbb{Z}_+\}$ open cover of A .

$B_\rho(0, m_1), \dots, B_\rho(0, m_j)$ cover $A \Rightarrow m = \max_{i=1, \dots, j} \{m_i\}$

$\Rightarrow A \subseteq B_\rho(0, m) \Rightarrow \rho(\vec{x}, \vec{y}) \leq 2m \Rightarrow A$ bdd
 $\forall \vec{x}, \vec{y} \in A$.

($\Leftarrow$) A closed, bounded in $(\mathbb{R}^n, \rho)$. Suffices to show $A \subset$ (closed) some compact space.

$\Rightarrow \rho(\vec{x}, \vec{y}) \leq N$ for some $N > 0 \quad \forall \vec{x}, \vec{y} \in A$.

Choose $\alpha_0 \in A$, call $\rho(\alpha_0, 0) = b$.

For $\vec{x} \in A$, $\rho(\vec{x}, \vec{0}) \leq \rho(\vec{x}, \vec{x}_0) + \rho(\vec{x}_0, \vec{0})$

$\Rightarrow A \subseteq \overline{B_p(0, N+b+1)} \Rightarrow A \text{ compact} \blacksquare$

ex Are the spaces compact?

(1) $S^{n-1} \subseteq \mathbb{R}^n$ unit sphere. $\checkmark$

(2) $\overline{B^n} \subseteq \mathbb{R}^n$ closed unit ball $\checkmark$

(3) $A = \{x \times (\frac{1}{x}) \mid 0 < x \leq 1\} \subseteq \mathbb{R}^2$ $\times$

Uniform Continuity Theorem.

Def: $f: X \rightarrow Y$, (X, d_X) , (Y, d_Y) metric spaces is uniformly continuous if $\forall \epsilon > 0$, $\exists \delta > 0$ s.t. $d_X(x_0, x_1) < \delta \Rightarrow d_Y(f(x_0), f(x_1)) < \epsilon \quad \forall x_0, x_1 \in X$.

Thm: (Uniform Continuity Theorem).

Let $f: X \rightarrow Y$ be continuous, (X, d_X) compact metric space, (Y, d_Y) metric sp. Then f is uniformly continuous.

Def: (X, d_X) metric space and $A \subseteq X$ nonempty subset. For any $x \in X$, $d(x, A) = \inf_{a \in A} \{d(x, a) \mid a \in A\}$.

Claim: $d = d(-, A): X \rightarrow \mathbb{R}$ (for fixed A) is a continuous function of x .

For any $x, y \in X$, $a \in A$; $d(x, A) \leq d(x, a) \leq d(x, y) + d(y, a)$

$$\Rightarrow d(x, A) - d(x, y) \leq d(y, A)$$

$$\Rightarrow d(x, A) - d(y, A) \leq d(x, y)$$

$$\Rightarrow |d(x, A) - d(y, A)| \leq d(x, y)$$

$\Rightarrow d$ is continuous.

Recall,

$\text{diam } A = \sup \{ d_x(a_1, a_2) \mid a_1, a_2 \in A \}$ for any bdd subset $A \subseteq (X, d_x)$.

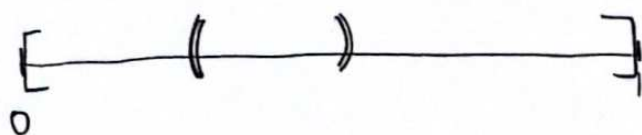
Lemma (Lebesgue Number Thm). (Proof omitted).

Let $\mathcal{A}$ be an open covering of a metric space (X, d) .

If X compact, there is a $\delta > 0$ s.t. for each subset of X of $\text{diam} < \delta$, there exists an elt of $\mathcal{A}$ that contains it.

(Def: δ is called a Lebesgue number of $\mathcal{A}$).

ex: $[0, 1]$ has covering $[0, 0.6)$, $(0.4, 1]$, $(0.4, 0.6)$



What is the Lebesgue number for this covering? Any no. ≤ 2 .

Proof of Uniform Continuity Thm.

Given $\epsilon > 0$: Choose ^(open) covering of Y by $\frac{\epsilon}{2}$ -radius balls $\{B_{d_Y}(y, \frac{\epsilon}{2})\}_{y \in Y}$. Then $A = \{f^{-1}(B_{d_Y}(y, \frac{\epsilon}{2}))\}$ open cover of X .

Choose a Lebesgue number δ for $\mathcal{A}$. If $d_X(x_1, x_2) < \delta$ then $\{x_1, x_2\}$ has diam $< \delta$. By lemma, $\{x_1, x_2\}$ lies in some

set $A \in \mathcal{A}$.

$\Rightarrow \{f(x_1), f(x_2)\} \subseteq f(A) = B_{d_Y}(y, \frac{\epsilon}{2}) \Rightarrow d_Y(f(x_1), f(x_2)) < \frac{\epsilon}{2} < \epsilon$
by tri. ineq.

REVIEW

midterm

Thm A space X is locally path-connected iff for every open set U of X , each path component of U is open in X .

Rem. Analogous for connected $\leftrightarrow$ component.

Proof of Thm $(\Rightarrow)$ X locally path connected, let U open set in X ,

and C be a path component of U . WTS C is open.

Let $x \in C \subseteq U$. By local path conn. condition, $\exists$ a path conn.

hbd V_x of x s.t. $x \in V_x \subseteq U$. Because V_x is path conn, $V_x \subseteq C$.

$\Rightarrow C$ is open. (every pt. in C has an ^{open} hbd in C)

$(\Leftarrow)$ Suppose path components of open set are open in X .

Let $x \in X$ and U open set containing x .

Let C be the path component of U containing x .

$\Rightarrow C$ is open & $x \in C \subseteq U. \Rightarrow X$ is locally path-conn.

§28 Limit Point Compactness.

Def: A space X is called limit point compact if every infinite subset of X has a limit point.

Recall: $A \subseteq X$, then $x \in X$ is a limit pt. of A if every nbd of x intersects A in some pt. other than itself. (i.e. $x \in \overline{A - \{x\}}$).

Thm: Compactness implies limit pt. compactness, but not conversely.

Proof: ($\nRightarrow$) by counterex.

$$Y = \{a, b\} \quad T = \{\emptyset, \{a, b\}\}, \quad X = \mathbb{Z}_+ \times Y$$

$\hookrightarrow$ discrete top.

X is limit pt compact but not compact. Every non empty subset of X has a limit pt.

$(n, a) \in A$ subset of X

Let U be nbd of $(n, a) \Rightarrow (n, a) \in \{n\} \times Y$ open (basis elt.).

$\Rightarrow (n, b)$ is a limit pt. of A .

But X is not compact, $\{\{n\} \times Y\}$ is open cover, no finite subcover.

$(\Rightarrow)$ X compact. If $A \subseteq X$ has no limit pt., then

WTS A is finite

If A has no limit pts, then A contains all its limit pts. $\Rightarrow A$ closed.

For each $a \in A$, choose a nbd $U_a \ni a$ s.t. $U_a \cap A = \{a\}$.

$\{U_a\}_{a \in A} \cup (X \setminus A)$ open cover of X .

$\Rightarrow U_{a_1}, \dots, U_{a_n}; X \setminus A$ open cover of X .

$$A \subseteq (U_{a_1} \cap A) \cup \dots \cup (U_{a_n} \cap A)$$

$\Rightarrow A = \{a_1, \dots, a_n\} \Rightarrow A$ is finite. ■

Def X space. If (x_n) sequence of pts. in X , and if $n_1 < n_2 < \dots < n_i < \dots$ is an increasing seq. of pts. in $\mathbb{Z}_+$, then $y_i = x_{n_i}$ is called a subsequence of (x_n) .

Def X is sequentially compact if every sequence of pts. of X has a convergent subsequence.

Recall: y_n converges $(y_n \rightarrow y)$ to y if $\forall$ nbd u of y , $\exists N$ s.t. $y_n \in U$ $\forall n \geq N$.

ex: $\{x_n\}$ in $\mathbb{R}$, $(1, 0, 1, 0, \dots)$ does not converge but has a convergent subseq $(1, 1, 1, \dots)$

Thm: Let X metrizable space. Then TFAE.

- 1) X is compact
- 2) X is limit pt. compact
- 3) X is sequentially compact

Proof: (1) $\Rightarrow$ (2) True in general.

(2) $\Rightarrow$ (3)

Given (x_n) , WTS $\exists$ convergent subseq.

Let $A = \{x_n \mid n \in \mathbb{Z}_+\}$. If A is finite, the proof is easy since the seq. is eventually const.
unique values that show up in (x_n)

Assume A is infinite, by limit pt. compactness, A has a limit pt. x .

Construct a convergent subseq of (x_n) by choosing:

$x_{n_1} \in B(x, 1)$, $x_{n_2} \in B(x, \frac{1}{2})$, ..., $x_{n_i} \in B(x, \frac{1}{i})$, ...

(can guarantee $n_{i+1} > n_i$ at each step since $B(x, \frac{1}{i})$ intersects A in infinitely many points).

$\{x_{n_i}\}$ is a convergent sub. $\rightarrow x$.

(3) $\Rightarrow$ (1) This is a hefty analytical proof (book) ■

Practice Question

Let $X = \{a, b, c\}$

For each of the following topol, write down a path from a to c if one exists, or show "DNE".

$$(1) \quad \mathcal{T} = \{ \emptyset, \{a\}, \{b, c\}, \{a, b, c\} \}$$

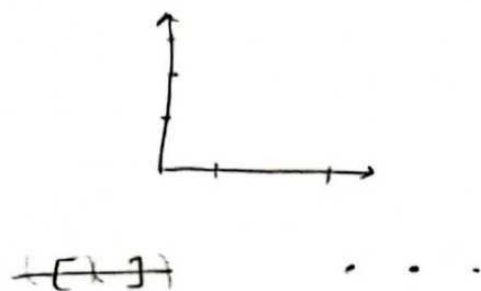
$$(2) \quad \mathcal{T} = \{ \emptyset, \{a\}, \{a, b\}, \{a, b, c\} \}$$

$$(3) \quad \mathcal{T} = \{ \emptyset, \{a\}, \{c\}, \{a, c\}, \{a, b, c\} \}$$

Sol: My attempt

$f: [a, b] \rightarrow X$ continuous.

$$f(a) = \{a\} \quad f(b) = \{c\}$$



(1) $\{a\}, \{b, c\}$ separation of X .

$$f: [0, 1] \rightarrow X \quad f(0) = a, \quad f(1) = c$$

$$f^{-1}(\{a\}), f^{-1}(\{b, c\}) \text{ open, disjoint } \times \quad f^{-1}(\{a\}) \cup f^{-1}(\{b, c\}) = [0, 1]$$

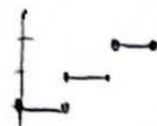
Contradiction since $[0, 1]$ is connected

$$(2) \quad f(x) = \begin{cases} a & 0 \leq x < \frac{1}{3} \\ b & \frac{1}{3} \leq x < \frac{2}{3} \\ c & \frac{2}{3} \leq x \leq 1 \end{cases}$$

$$f^{-1}(\{a, b\}) = [0, \frac{2}{3}) \text{ open}$$

$$f^{-1}(\{a\}) = [0, \frac{1}{3}) \text{ open}$$

$$(3) \quad f(x) = \begin{cases} a & 0 \leq x < \frac{1}{3} \\ b & \frac{1}{3} \leq x < \frac{2}{3} \\ c & \frac{2}{3} \leq x \leq 1 \end{cases}$$



§29 Local Compactness.

Def: A space X is locally compact at a pt. if there is some compact subspace C of X that contains a nbd of x .

If X locally compact at each of its points, then we say X is locally compact.

ex: Any compact space is locally compact.

ex: $\mathbb{R}$ is locally compact. $x \in (a, b) \subseteq [a, b]$.

ex: $\mathbb{R}^n$ is locally compact. $\vec{x} \in (a_1, b_1) \times \dots \times (a_n, b_n)$
 $\subseteq \prod_{i=1}^n [a_i, b_i]$

ex: Any simply ordered set X having LUB is locally compact.

Given $x \in X$ & $x \in$ basis elt for X , its closure is closed.

From thm it is also compact.

ex: $\mathbb{R}^\omega$ not locally compact. (product top)

$$B = (a_1, b_1) \times (a_2, b_2) \times \mathbb{R} \times \mathbb{R} \times \dots$$

If $B \subseteq$ Compact C , then $\bar{B} = [a_1, b_1] \times [a_2, b_2] \times \mathbb{R} \times \mathbb{R} \times \dots$

not compact since $\mathbb{R}$ is not compact.

C is closed since $\mathbb{R}^\omega$ is Hf. so $\bar{B} \subseteq C \xrightarrow{\text{Thm}} \bar{B}$ is compact $\neq$.

Thm X is locally compact ^{Hausdorff}, iff there exists a space Y

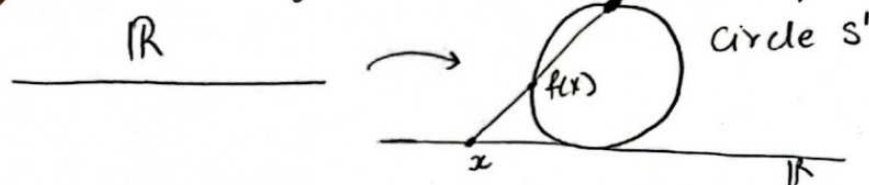
● satisfying the following properties:

- existence {
- (1) X is a subspace of Y
 - (2) Y is a compact Hf space
 - (3) $Y \setminus X$ is a single point.

uniqueness { If Y, Y' are two spaces satisfying these conditions, then there is a homeo $Y \rightarrow Y'$ that equals the identity map on X .

Def If Y is compact Hausdorff; $X \subsetneq Y$ s.t. $Y \setminus X$ is a single point we call Y the one point compactification of X .

ex: OPC of $\mathbb{R}$?



ex: $\mathbb{R}^2 \rightarrow S^2$

Lec

Proof of Thm

(Uniqueness) Y, Y' satisfying ①-③.

Def $h: Y \rightarrow Y'$. If $Y \setminus X = \{p\}$, $Y' \setminus X = \{q\}$,

● then let $h|_X = \text{id}_X \rightarrow$ identity map & $h(p) = q \Rightarrow h$ bijective.

We'll show if $U \subseteq Y$ open, $h(U) \subseteq Y'$ is open (By symmetry, h is homeo)

Case 1 $p \notin U$. Then $h(U) = U$, $U \subseteq X \subseteq Y$

$\Rightarrow U$ open in $X \Rightarrow U \subseteq X \subseteq Y'$ & U open in Y' since X is open in Y .
 open in Y' (since X^c is finite & Y' being HF $\Rightarrow X^c$ is closed).
 $\hookrightarrow$ empty.

Case 2 $p \in U$. Then $C = Y \setminus U$ closed $\xRightarrow{Y \text{ compact}}$ C is compact in Y .

Also $C \subseteq X \Rightarrow C$ is also compact in X .

$X \subseteq Y' \Rightarrow C$ is also compact in Y' . $\xRightarrow{Y' \text{ HF}}$ C is closed in Y'

$\Rightarrow h(U) = Y' \setminus C$ is open.

Existence.

$\Rightarrow$ Suppose X is locally compact, Hausdorff.

① Form $Y = X \cup \{\infty\}$ a pt. not in X

② Topology on Y :

$$T_Y = T_X \cup \{Y - C \mid C \text{ compact in } X\}$$

Check T_Y is a topology (case work)

Intersection of 2 opens is open:

(1) $U_1 \cap U_2 = U$ open.

(2) $U_1 \cap (Y - C_1) = U_1 \cap (X - C_1) \xRightarrow{\text{Since extra pt does not lie in } U_1} \Rightarrow U_1 \cap (X - C_1) \text{ open in } Y$.
 $\hookrightarrow$ closed in X

(3) $(Y - C_1) \cap (Y - C_2) = Y - (\underbrace{C_1 \cup C_2}_{\text{compact in } X}) \Rightarrow \text{open in } Y$.

Unions of arb. opens is open.

$$1) \bigcup U_\alpha = U \text{ open in } X \Rightarrow \text{open}$$

$$2) \bigcup (Y - C_\beta) = Y - \underbrace{\bigcap C_\beta}_{\substack{\text{closed} \subseteq Y \\ \hookrightarrow \text{compact}}} \Rightarrow \bigcap C_\beta \text{ is compact} \\ \Rightarrow \text{open (of the 2nd type)}$$

$$3) \underbrace{\left(\bigcup U_\alpha \right)}_{\substack{\text{open} \\ U}} \cup \underbrace{\left(\bigcup (Y - C_\beta) \right)}_{\substack{Y - C_{\text{compact in } X}}} = Y - \underbrace{(C - U)}_{\substack{\text{closed in } C \subseteq C \text{ compact} \\ \Rightarrow C - U \text{ compact}}} \\ \Rightarrow \text{open (of 2nd type)} //$$

③ $X \subseteq Y$ subspace

$$\text{Check } \tau_X = \{ V \cap X \mid V \text{ open in } Y \} \quad (\text{Check } \supseteq \& \subseteq)$$

$$(\subseteq) \tau_X \subseteq \tau_Y \text{ implies } (\subseteq)$$

$$(\supseteq) V \text{ open in } Y$$

$$\cdot \text{If } V = U \text{ open in } X, \quad V \cap X = V \text{ open in } X$$

$$\cdot \text{If } V = Y - C, \text{ then } V \cap X = (Y - C) \cap X = (X - C) \cap X = X - C$$

$\uparrow$ compact in X
 $\uparrow$ close open X

④ Show Y is compact. Let $\mathcal{A}$ be open cover of Y .

$\mathcal{A}$ must contain a set $Y - \overset{\text{compact in } X}{C}$ which contains ∞ .

C compact in $X \Rightarrow \mathcal{A}$ has a finite subcover of C .
 $\Rightarrow$ together with $Y-C$, forms finite subcover of Y .

(5) Y is Hausdorff.

Let $x, y \in Y$ ($x \neq y$).

• If $x, y \in X$, done since X Hf.

• If $x \in X, y = \infty$. Use local compactness of X to find a compact $C \ni U \ni x$. Then $Y-C \ni \infty$, $U \ni x$ open disjoint nbds.

($\Leftarrow$) Converse. (Show X is locally compact & Hf).

• X Hausdorff since $X \subseteq Y$ Hausdorff.
subspace

• $x \in X$. Choose U, V open nbds in Y $U \ni x, V \ni \infty$ disjoint.

$C = Y-V$ closed $\subseteq Y$ compact $\Rightarrow C$ compact in Y .

$C \subseteq X \Rightarrow C$ compact in X .

$\Rightarrow x \in U \subseteq C$ compact.



Ch 4 Countability and Separation Axioms

Motivation

- > When does a space X embed in a metric space?
- > When does a space X embed in $\mathbb{R}^n$, some n ?

embedding: map $f: X \rightarrow Y$ f is homeo onto $f(X)$.

$\Rightarrow X$ is embedded into Y .

Urysohn metrization theorem

If X is second countable and regular then X can be embedded in a metric space.

§30 Countability Axioms

Def: A space X has a countable basis at $x \in X$ if there is a countable collection $\mathcal{B}$ of nbds of x s.t. every nbd of x contains a $B \in \mathcal{B}$.

If X has a countable basis at each of its pts. we say X is first countable (or satisfies the 1st countability axiom).

eg: If (X, d) is a metric space, $\{B_d(x, \frac{1}{n}) \mid n \in \mathbb{Z}_+\}$

• Countable basis at x .

eg: $\mathbb{R}$ first-countable. Given $x \in \mathbb{R}$, $\{[x, x + \frac{1}{n}) \mid n \in \mathbb{Z}_+\}$

Def: A space X has a countable basis for its topology,
then X is second-countable (or satisfies 2nd countability axiom)

Rmk: Second countable $\Rightarrow$ first countable.

ex: $\mathbb{R}$ is second countable, w. basis $\{(a, b) \mid a, b \in \mathbb{Q}\}$

ex: $\mathbb{R}^n$ is also second countable w. basis $\{(a_1, b_1) \times \dots \times (a_n, b_n) \mid a_i, b_i \in \mathbb{Q}\}$

ex: $\mathbb{R}^{\omega} = \mathbb{R} \times \mathbb{R} \times \dots$ w. product topology has countable basis
(same as above)

$$\left\{ \prod_{i \in \mathbb{Z}_+} U_i \mid \begin{array}{l} U_i = (a_i, b_i) \quad a_i, b_i \in \mathbb{Q} \text{ for finitely many } i \text{ and} \\ U_i = \mathbb{R} \quad \text{for all other } i \end{array} \right\}$$

ex: In the uniform topology on $\mathbb{R}^{\omega}$, $\bar{\rho}(\vec{x}, \vec{y}) = \sup(\bar{d}(x_i, y_i))$
metrizable $\Rightarrow$ first countability. but not second countable.

Seqs in $\mathbb{R}^\omega$ of 0's & 1's $\Rightarrow \{0, 1\}^\omega \subseteq \mathbb{R}^\omega$
 ↑ discrete top.
 Uncountable $a \neq b \quad \bar{\rho}(a, b) = 0$

$$\Rightarrow (\mathbb{R}^\omega, \bar{\rho}) \text{ uncountable basis.}$$

Thm: ① If $A \subseteq X$, X is 1st or 2nd countable then A is also 1st or 2nd countable.

② A countable product of 1^{st} , 2^{nd} countable spaces is also 1^{st} or 2^{nd} -countable.

Dense subsets

Def A subset $A \subseteq X$ is dense in X if $\overline{A} = X$.

ex: $\mathbb{Q}$ dense in $\mathbb{R}$

Thm: Suppose X second-countable. Then

(a) Every open cover of X has a countable subcollection covering X . (X is Lindelöf)

(b) There exists a countable subset of X that is dense in X (X is separable) $\leftarrow$ weaker than second-countable.

Proof Let $\{B_n\}$ be a countable basis for X .

a) Let $\mathcal{A}$ open cover of X , choose $n \in \mathbb{Z}_+$. Choose $A_n \in \mathcal{A}$ s.t. $B_n \subseteq A_n$ (if possible).

$\mathcal{A}' = \{A_n\} \subseteq \mathcal{A}$ is a countable subcollection.

$\mathcal{A}'$ covers X : Given $x \in X$, $\exists A \in \mathcal{A}$ s.t. $x \in A$.

But A open $\Rightarrow \exists$ basis elt B_n s.t. $x \in B_n \subseteq A$.

$\Rightarrow B_n$ lies in $A_n \Rightarrow x \in B_n \subseteq A_n$.

b) Choose $x_n \in B_n$. Let $D = \{x_n\}$. Then D is dense in X

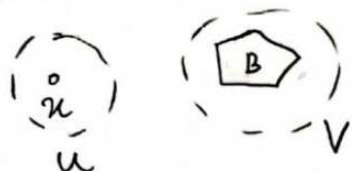
Given any $x \in X$, every basis elt containing x intersects D
So $x \in \overline{D}$. ■

§31 Separation Axioms (Not separation from connectedness)

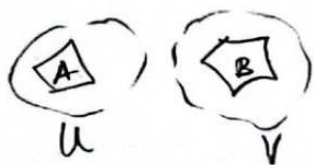
> Recall, Hausdorff space X : $x \neq y \quad \exists$ open disjoint U, V containing x & y respectively.

Def: Suppose one-pt. sets are closed in X . (T_1 is satisfied).

- X is regular if: for each pair consisting of a point $x \in X$ and a closed set B disjoint from x . There are open disjoint sets $U \ni x$ and $V \supset B$.



- X is normal if: for each pair of disjoint closed sets A & B , $\exists$ open disjoint sets $U \supset A$ & $V \supset B$.



Rem: Normal $\Rightarrow$ Regular $\Rightarrow$ Hausdorff $\Rightarrow T_1$

Lemma. Let X be a T_1 -space.

- X is regular iff given $x \in X$ and nbd U of x , there exists a nbd V of x s.t. $\bar{V} \subseteq U$.
- X is normal iff given any closed set A and open set U containing A , there is an open set $V \supseteq A$ s.t. $\bar{V} \subseteq U$.

Proof: (a) $(\Rightarrow)$ X is reg. Let $x \in X$ and a nbd U of x ,

- $X \setminus U$ closed. By regular cond. of X , $\exists$ open disjoint sets $V \ni x$ and $W \supseteq (X \setminus U)$. Then $\bar{V} \subseteq U$ since $V \subseteq U$ and if $y \in X \setminus U$, then W is a nbd of y disjoint from V .

$(\Leftarrow)$: Let $x \in X$, B closed set in X not containing x .

$X \setminus B$ open nbd of x . By hypothesis, $\exists$ nbd V of x s.t.

$$\bar{V} \subseteq (X \setminus B).$$

- The open sets V and $X \setminus \bar{V}$ are disjoint sets containing x and B resp.

(b) Same; replace the pt. x with closed set A . ■

Thm (a) A subspace of a regular space is regular.

(b) A product of regular spaces is also regular.

Rem. Thm is also true for Hf instead of regular, but not for normal spaces.

Proof of Thm: (a) $Y \subseteq X$, X regular. Let $x \in Y$ and B closed set in Y not containing x .

$$B = \bar{B} \cap Y \text{ where } \bar{B} \text{ is closure of } B \text{ in } X, \text{ and } x \notin \bar{B}.$$

By reg. of X , $\exists$ open disjoint sets $U \ni x$ & $V \supset \bar{B}$.
(in X)

Then $U \cap Y \ni x$ and $V \cap Y \supset B$, open disjoint in Y .

(b) X_α reg. WTS $X = \prod X_\alpha$ is reg.

Let $x = (x_\alpha)$ point of X , U nbd of x . We use the prec. lemma.

Choose a basis elt. $x \in \prod U_\alpha \subseteq U$, each U_α open in X_α , nbd of x_α .

By lemma, choose a nbd $V_\alpha \ni x_\alpha$ s.t. $\overline{V_\alpha} \subseteq U_\alpha$.

(if $U_\alpha = X_\alpha$ then $V_\alpha = X_\alpha$). Then $V = \prod V_\alpha$ is nbd of x .

Since $\overline{V} = \prod \overline{V_\alpha}$, $\overline{V} \subseteq \prod U_\alpha \subseteq U$. X is regular. ■

ex: $\mathbb{R}_K$ basis $\left\{ \begin{array}{l} (a,b) \\ (a,b) - K \end{array} \right\}, K = \left\{ \frac{1}{n}, n \in \mathbb{Z}_+ \right\}$

• $\mathbb{R}_K$ is Hausdorff. ($\mathbb{R}_K$ is finer than $\mathbb{R}_{std}$ which is H $\neq$)

• $\mathbb{R}_K$ is not regular.

K is closed in $\mathbb{R}_K$ & does not contain 0.

Suppose $\exists$ open disjoint sets $U \ni 0$ & $V \supset K$.

A basis elt containing 0 & lying in U must be of the form $(a,b) - K$ for it to be disjoint from K .

Choose $\frac{1}{n} \in (a,b)$ some n . Since $\frac{1}{n} \in V$ open, $\exists$ a basis elt (c,d)

s.t. $\frac{1}{n} \in (c,d) \subseteq V$.

Can find $z \in U \cap V$: choose $\max(c, \frac{1}{n+1}) < z < \frac{1}{n}$!

ex: $\mathbb{R}_\ell$ lower limit topology, basis $[a, b)$

• $\mathbb{R}_\ell$ normal, but the product $\mathbb{R}_\ell \times \mathbb{R}_\ell$ is not normal.
 $\hookrightarrow$ not shown.

• Normal

• one-pt sets closed ($\mathbb{R}_\ell$ is finer than $\mathbb{R}$)

• A, B disjoint closed sets in $\mathbb{R}_\ell$

$$A = \overline{A}, B = \overline{B}$$

$\Rightarrow \forall a \in A$, choose a basis elt $[a, x_a)$ disjoint from B .

$\forall b \in B$, " " " " $[b, x_b)$ " " " " A .

$$U = \bigcup_{a \in A} [a, x_a) \quad \& \quad V = \bigcup_{b \in B} [b, x_b)$$

open, contain $A \times B$ & disjoint.

Lee Motivating Theorems

> Urysohn's metrization theorem: Every regular space X with a countable basis is metrizable.

> Tietze extension theorem: Let X be a normal space, $A \subseteq X$ closed subspace:

a) Any continuous map $f: A \rightarrow [a, b] \subseteq \mathbb{R}$ can be extended

• to a continuous $\tilde{f}: X \rightarrow [a, b]$.

b) Any continuous map $g: A \rightarrow \mathbb{R}$ can be extended to a continuous $\tilde{g}: X \rightarrow \mathbb{R}$.

Embedding of manifolds in $\mathbb{R}^n$

Recall f imbedding: $f: X \rightarrow Y$ continuous, injective s.t. f homeo onto its image.

m -dim. manifold: Hausdorff space with a countable basis s.t. each point has a nbd homeo with open subsets of $\mathbb{R}^m$. $m = \dim$ of manifold.

m : If X is a compact m -dim manifold, then X can be imbedded in $\mathbb{R}^N$ for some positive integer N .

ex:  S^2 is a 2dim manifold, can be imbedded in $\mathbb{R}^3$.

§ 32 Normal Spaces

Thm: Every metrizable space is normal.

Pf (sketch): Let $A, Z \subseteq (X, d)$ disjoint closed sets,

$\forall a \in A$, $a \in X \setminus Z$ open, choose ϵ_a s.t. $B(a, \epsilon_a) \cap Z = \emptyset$

$\forall z \in Z$, $z \in X \setminus A$ open, choose ϵ_z s.t. $B(z, \epsilon_z) \cap A = \emptyset$

Let $U = \bigcup_{a \in A} B(a, \frac{\epsilon_a}{2})$, $V = \bigcup_{z \in Z} B(z, \frac{\epsilon_z}{2})$ open sets

Then triangle inequality $\Rightarrow U \cap V = \emptyset$ ■

Thm: Every regular space with a countable basis is normal.

Pf: X regular, $\mathcal{B} = \{B_n\}$ countable basis. Let $A \neq Z$ be distinct closed sets in X .

$\forall a \in A,$

- choose nbd $U_a \ni a$ s.t. $U_a \cap Z = \emptyset$
- choose nbd $W_a \ni a$ s.t. $\overline{W_a} \subseteq U_a$ (regularity)
- choose $B_a \in \mathcal{B}$ s.t. $B_a \subseteq W_a$

Then $\{B_a \mid a \in A\}$ is a countable covering of A .
Relabelled as $\{U_n\}$.

Similarly, $\forall z \in Z$, do same thing. Obtain a countable covering of Z , $\{B_z \mid z \in Z\}$, relabelled as $\{V_n\}$ s.t. each $\overline{V_n} \cap A = \emptyset$.

Now $U = \bigcup U_n$, $V = \bigcup V_n$, open sets containing A & Z , but might not be disjoint. Make an alteration:

For each n , define:

$$U_n' = U_n - \underbrace{\bigcup_{i=1}^n \overline{U_i}}_{\text{closed}} \quad V_n' = V_n - \underbrace{\bigcup_{i=1}^n \overline{U_i}}_{\text{closed}}$$

Observe: Each U_n' is open, V_n' open.

- $\{U_n'\}$ still contains A , $\{V_n'\}$ covers Z .

The opens $U' = \bigcup U_n'$ & $V' = \bigcup V_n'$ are disjoint: If $x \in U' \cap V'$,

then $x \in U_j' \cap V_k'$, some j, k . WLOG, $j \leq k$. $x \in U_j$ by def of U_j' . But $j \leq k \Rightarrow$ by def V_k' , $x \in \overline{U_j} \Rightarrow x \notin V_k'$. *

Contradiction $\blacksquare$

Thm: Every compact T_1 space is normal.

Thm: Every well ordered set is normal in the order topology.
↳ every nonempty subset has a smallest elt.

for generalization of Thm, replace second countable with Lindelöf.

Lemma: A closed subspace Y of a Lindelöf space X is
Lindelöf.

Ch 9: Fundamental Group

Q: Given X, Y top. spaces, are X & Y homeomorphic?

ex: $[0, 1]$ and $(0, 1)$ are not homeo (compact vs. noncompact)

ex: $\mathbb{R}$ & $\mathbb{R}^2$ are not homeo (deleting a pt. gives a non-conn vs. conn. space).

ex: $\mathbb{R}^2$ & $\mathbb{R}^3$ are not homeo. Why?

ex: S^2 sphere & Torus are not homeo. Why? } Need new techniques.
ex: Simply connectedness

Simply connected (roughly speaking)

(X is simply connected if every closed curve on X can be

shrunk to a constant loop eg. a pt.)

(Torus $\rightarrow$ not ; $S^2 \rightarrow$ yes)

* The fundamental group generalizes the simply connectedness property

Applications

- Show two spaces are not homeomorphic.
- maps of spheres & fixed points.
- Fundamental Thm of Algebra.

§51 Homotopy of Paths

Def: If f and f' are continuous maps $f: X \rightarrow Y$, $f': X \rightarrow Y$,

we say f is homotopic to f' if there is a continuous map

$F: X \times I \rightarrow Y$ ($I = [0, 1]$) s.t. $F(x, 0) = f(x)$, $F(x, 1) = f'(x)$
 $\forall x$.

The map F is called a homotopy b/w f and f' .

Notation: $f \simeq f'$ denotes f is homotopic to f' .

If $f \simeq$ (a constant map) then we say f is null homotopic.

Special case $f: [0, 1] \rightarrow X$ is a path in X from $f(0) = x_0$ to $f(1) = x_1$.
 $\nwarrow$ initial pt. $\nearrow$ final pt.

$f(1) = x_1$
 $\nwarrow$ final pt.

Stronger relation

Def: Two paths f and f' mapping $I = [0, 1]$ to X are path-homotopic, denoted $f \simeq_p f'$, if:

- the paths f and f' have same initial point x_0 and same final pt. x_1 .

- there exists a continuous map $F: I \times I \rightarrow X$ s.t.

$$\begin{cases} F(s, 0) = f(s) & \text{and} & F(s, 1) = f'(s) \quad \leftarrow (F \text{ is homotopy}) \\ F(0, t) = x_0 & \text{and} & F(1, t) = x_1 \quad \leftarrow (\forall t, \text{ it is a path from } x_0 \text{ to } x_1). \end{cases}$$

$\forall s \in I, t \in I.$

We call F a path homotopy b/w f and f' .



ex: If $f: X \rightarrow \mathbb{R}^2$ and $g: X \rightarrow \mathbb{R}^2$ are continuous maps that are homotopic, then

$F(x, t) = (1-t)f(x) + tg(x)$ is a "straight line" homotopy b/w f & g , because for each x it is the straight line segment joining $f(x)$ & $g(x)$.

If f, g are paths ($X = I = [0, 1]$), then F is a path homotopy.

ex:



Lemma: The relations $\simeq$ and $\simeq_p$ are equivalence relations

Proof ① $f \simeq f$ (since $F(x, t) = f(x)$)

② If $f \simeq f'$ then want $f' \simeq f$: Let F be a homotopy b/w f & f' , define $G: X \times I \rightarrow Y$ by $G(x, t) = F(x, 1-t)$ is a homotopy b/w f' and f .

③ If $f \simeq f'$, $f' \simeq f''$, then want $f \simeq f''$.

Let F be a homotopy b/w f and f' .

F' is a homotopy b/w f' and f'' .

Define $G: X \times I \rightarrow Y$ by $G(x, t) = \begin{cases} F(x, 2t) & t \in [0, \frac{1}{2}] \\ F'(x, 2t-1) & t \in [\frac{1}{2}, 1] \end{cases}$

• G well defined, continuous (pasting lemma). G is homotopy b/w f and f'' .

• In all cases, if F and F' path homotopies, then so is G .
 $\simeq_p$ is also an equivalence reln' ■

Notation: If f is a path in X , denote its equivalence class under $\simeq_p$ by $[f]$.
path homotopy

> An operation $*$ on paths:

Def: If f is a path in X from x_0 to x_1 and if g is a path in X from x_1 to x_2 , then the product $f * g$ of f and g is the path h :

$$h(s) = \begin{cases} f(2s) & s \in [0, \frac{1}{2}] \\ g(2s-1) & s \in [\frac{1}{2}, 1] \end{cases}$$

• h well defined & continuous (pasting lemma); h is a path from x_0 to x_2 .

$*$ induces an operation on path-homotopy classes:

$$[f] * [g] := [f * g]$$

Lec (851 contd.)

Last time: f, g paths in X from x_0 to x_1 .

$f \simeq_p g$ if $\exists$ path homotopy $F: I \times I \rightarrow X$

$$F(s, 0) = f(s), F(0, t) = x_0$$

$$F(s, 1) = g(s), F(1, t) = x_1$$

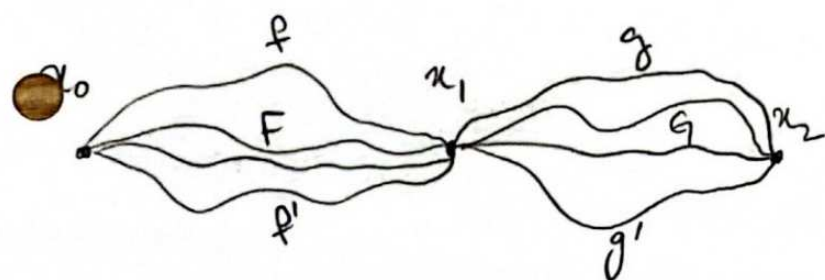
Defined

$*$ on paths, $*$ on path homotopy classes $[f]$

Check: $[f] * [g]$ well-defined

$$[f] * [g] = [f * g]$$

If $f \simeq_p f'$ and $g \simeq_p g'$, then check $[f] * [g] = [f'] * [g']$.



$$H(s, t) = \begin{cases} F(2s, t) & t \in [0, \frac{1}{2}] \\ G(2s-1, t) & t \in [\frac{1}{2}, 1] \end{cases}$$

- H is well-defined
- H is also continuous
- H is a path homotopy b/w $f * g$ and $f' * g'$.

Properties of $[f] * [g]$

- Only defined for paths where $f(1) = g(0)$.

Thm. ① If $[f] * ([g] * [h])$ is defined, so is $([f] * [g]) * [h]$ and they're equal.

② $\forall x \in X$, let e_x denote the constant path $e_x: I \rightarrow X$
 $e_x(t) = x \quad \forall t$. Then $[f] * [e_{x_1}] = [f]$
 $[e_{x_0}] * [f] = [f] \quad \forall f \text{ path from } x_0 \text{ to } x_1.$

③ Given a path in X , from x_0 to x_1 , let $\bar{f}$ be the path defined by $\bar{f}(s) = f(1-s)$. $\bar{f}$ is called the reverse of f .

Then $[f] * [\bar{f}] = [e_{x_0}]$

$[\bar{f}] * [f] = [e_{x_1}]$.

Pf: ①

$f * (g * h)$

$(f * g) * h$

Lemma: If $f: I \rightarrow X$ is a path and $p: I \rightarrow I$ path from 0 to 1, then $f \circ p: I \rightarrow X$ is a path s.t. $f \simeq_p f \circ p$ ← called a reparameterization.

Pf of Lemma: $H(s, t) = f((1-t)s + tp(s))$

$t=0: f(s)$
 $t=1: f(p(s))$

$s=0: f(t \cdot 0) = f(0) = x_0$
 $s=1: f(1-t+t) = f(1) = x_1$

(Pf contd.): ① $(f * g) * h$ is a reparam of $f * (g * h)$.

② Again, a reparameterization.

③ Traverse pathway in reverse direction to get a continuous family of paths from x_0 to x_0 .

$$H(s, t) = f_t(s) * \bar{f}_t(s)$$

(91)

$$f_t(s) = \begin{cases} f(s) & s \in [0, 1-t] \\ f(1-t) & s \in [1-t, 1] \end{cases}$$

Ex 52 Fundament Group

Def: X space, $x_0 \in X$. A path that begins and ends at x_0 is called a loop based at x_0 . The fundamental group of X w.r.t base pt. x_0 is defined

$$\pi_1(X, x_0) = \{ \text{Path homotopy classes of loops based at } x_0 \} \text{ with group operation}$$

* (concatenation).

The previous thm $\Rightarrow \pi_1(X, x_0)$ is a group

• $[f] * [g]$ is always defined for loops $[f], [g]$.

• identity e_{x_0} , associativity, inverses $[f]$ is inverse for $[f]$

ex: $\pi_1(\mathbb{R}^n, x_0)$, $x_0 \in \mathbb{R}^n$ any point, is the trivial group (consisting of only e_{x_0}).
 $\hookrightarrow$ identity

Any f , loop based at x_0 , is path homotopic to e_{x_0} (via the straight line path homotopy).

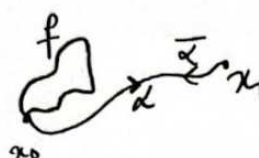
ex: $\pi_1(B^n, x_0)$ B^n = ball of radius 1 in $\mathbb{R}^n$, $x_0 \in B^n$ is again a trivial group.

Q: How does the fundamental group of X depend on the choice of base point?

Thm: If there is a path in X from x_0 to x_1 , then $\pi_1(X, x_0)$ is isomorphic $(\cong)$ to $\pi_1(X, x_1)$

Pf: Let α be a path from x_0 to x_1 .

Define $\hat{\alpha} : \pi_1(X, x_0) \rightarrow \pi_1(X, x_1)$ by


$$\hat{\alpha}([f]) = [\bar{\alpha}] * [f] * [\alpha]$$

Check that $\hat{\alpha}$ is a group homomorphism.

Check: $\hat{\alpha}([f]) * \hat{\alpha}([g]) = \hat{\alpha}([f * g])$

$$\begin{aligned}\hat{\alpha}([f]) * \hat{\alpha}([g]) &= ([\bar{\alpha}] * [f] * [\alpha]) * ([\bar{\alpha}] * [g] * [\alpha]) \\ &= [\bar{\alpha}] * [f] * [g] * [\alpha] \\ &= \hat{\alpha}([f] * [g])\end{aligned}$$

To check $\hat{\alpha}$ is an isomorphism, we construct an inverse map $\hat{\beta}$ for $\hat{\alpha}$, where $\beta = \text{reverse of } \alpha = \bar{\alpha}$.

$$\hat{\alpha}(\hat{\beta}([f])) = [f] \quad \& \quad \hat{\beta}(\hat{\alpha}([f'])) = [f'] \quad \forall f \in \pi_1(X, x_0)$$

Remark: If X path-connected, then $\pi_1(X, x_0) \cong \pi_1(X, x_1)$
 $\forall x_0, x_1 \in X$.

If C is a path component of X containing x_0 , then

$$\pi_1(C, x_0) \cong \pi_1(X, x_0)$$

No natural way of identifying $\pi_1(X, x_0)$ with $\pi_1(X, x_1)$
 (if X is path connected) (even though isomorphic).

Def Simply connected

A space X is simply connected if it is a path connected space and if $\pi_1(X, x_0)$ is the trivial (one-element) group for some $x_0 \in X$, and hence for every $x_0 \in X$.

Notation: $\pi_1(X, x_0) = 0$

The fundamental group is a topological invariant of space X .

Notation: $h: (X, x_0) \rightarrow (Y, y_0)$ is a continuous map h from $X \rightarrow Y$ that carries x_0 to y_0 i.e. $h(x_0) = y_0$.

Def: Homomorphism induced by h (moves loops based at x_0 to loops based at y_0)

Let $h: (X, x_0) \rightarrow (Y, y_0)$ continuous map. Then define

$$h_*: \pi_1(X, x_0) \rightarrow \pi_1(Y, y_0) \text{ by } [h_*([f]) = [h \circ f]]$$

The map h_* is:

- well defined: F path homotopy b/w f & f'
 $\Rightarrow h \circ F$ path homotopy b/w $h \circ f$ & $h \circ f'$.
- a homomorphism: $(h \circ f) * (h \circ g) = h \circ (f * g)$.

Functorial Properties of h_*

Thm: If $h: (X, x_0) \rightarrow (Y, y_0)$ and $K: (Y, y_0) \rightarrow (Z, z_0)$ are continuous, then $(K \circ h)_* = K_* \circ h_*$.

If $i: (X, x_0) \rightarrow (X, x_0)$ is the identity map, then i_* is the identity homomorphism.

Pf: By def

$$(K \circ h)_*([f]) = [(K \circ h) \circ f]$$

$$K_* \circ h_*([f]) = K_*(h_*[f]) = K_*([h \circ f]) = [K \circ (h \circ f)]$$

$$\text{Similarly, } i_*([f]) = [i \circ f] = [f]$$

Topological invariance of π_1

(Cor): If $h: (X, x_0) \rightarrow (Y, y_0)$ is a homeomorphism of X with Y , then h_* is an isomorphism of $\pi_1(X, x_0)$ with $\pi_1(Y, y_0)$.

Proof: Let $K: (Y, y_0) \rightarrow (X, x_0)$ inverse of h .

$$\left. \begin{aligned} K_* \circ h_* &= (K \circ h)_* = i_{x_0,*} \\ h_* \circ K_* &= (h \circ K)_* = i_{y_0,*} \end{aligned} \right\} \begin{array}{l} \text{identity homomorphism} \\ \text{induced by identity maps.} \end{array}$$

$$\begin{array}{c} h_* \quad K_* \\ \text{homomorphisms} \end{array} \quad \pi_1(X, x_0) \xrightleftharpoons[K_*]{h_*} \pi_1(Y, y_0) \quad K_* \text{ is inverse of } h_* \quad \blacksquare$$

We will develop techniques to compute the fundamental groups of spaces.

ex: $\pi_1(S^1, x_0)$ is isomorphic to $(\mathbb{Z}_+)$ (additive group of integers)

ex: S^n is simply connected for $n \geq 2$
 $\uparrow$ n -sphere $\{(x_1, \dots, x_{n+1}) \in \mathbb{R}^{n+1} \mid x_1^2 + \dots + x_{n+1}^2 = 1\}$

ex: $\pi_1(S^1, x_0) \cong \pi_1(\mathbb{R}^2 \setminus \{0\}, y_0)$

Q? Fundamental groups of solid torus $B^2 \times S^1$, torus $S^1 \times S^1$, infinite cylinder $S^1 \times \mathbb{R}$, $\mathbb{R}^3 \setminus \{x\text{-axis}, y\text{-axis}, z\text{-axis}\}$? etc...

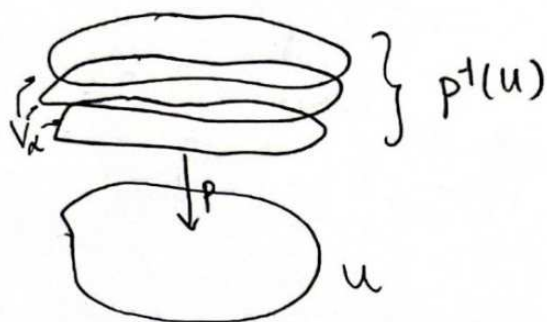
Lec: Sec 53 Covering Spaces

Def: Let $p: E \rightarrow B$ continuous surjective map. The open set U of B is evenly covered by p if

• $p^{-1}(U) = \bigcup V_\alpha$, where V_α disjoint open set in E

• $p|_{V_\alpha}: V_\alpha \rightarrow U$ is a homeo for each α .

$\{V_\alpha\}$ partitions $p^{-1}(U)$ into slices.



Def: Let $p: E \rightarrow B$, continuous surjective map. If every pt. b of B has a nbd U that is evenly covered by p , then p is called a covering map.

ex: (Trivial examples)

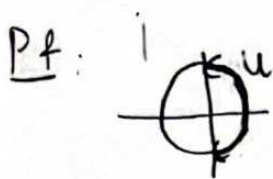
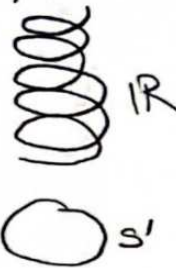
• The identity $\text{Id}_X: X \rightarrow X$ is a covering map.

• The map $p: X \times \{1, \dots, n\} \rightarrow X$ is a covering map.
↳ n disjoint copies of X

Thm: The map $p: \mathbb{R} \rightarrow S^1$ given by

$$p(x) = (\cos 2\pi x, \sin 2\pi x) \text{ is a covering map.}$$

Picture p as a function that wraps $\mathbb{R}$ around S^1 & maps $[n, n+1]$ onto S^1 .



$U \subset S^1$ consisting of points

$$(x_1, x_2) \in S^1 \subset \mathbb{R}^2; x_1 > 0 \text{ (pts with the first coord)}$$

$$p^{-1}(U) = \{x \in \mathbb{R} \mid \cos(2\pi x) > 0\} = \bigcup_{n \in \mathbb{Z}} V_n$$

where $V_n = (n - \frac{1}{4}, n + \frac{1}{4})$

Check: $p|_{V_n}: V_n \rightarrow U$ is a homeo. $\forall n \in \mathbb{Z}$

• $p|_{\overline{V_n}}$ injective since $\sin(2\pi x)$ strictly monotonic on $\overline{V_n}$

• $p|_{\overline{V_n}}: \overline{V_n} \rightarrow \overline{U}$ surjective } Intermediate Value Thm.
 $V_n \rightarrow U$ surjective

• By thm 26.6, $\overline{V_n}$ compact, $p|_{\overline{V_n}}$ bijective continuous.

$\Rightarrow_{H \neq \emptyset} p|_{\overline{V_n}}$ homeo. Similar args for $\bigcirc$ or $\bigcirc$ or $\bigcirc$ &

These open sets cover S^1 & each is evenly covered by p .

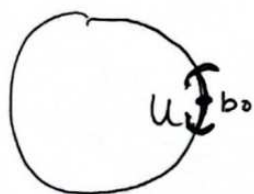
Def: local homeomorphism

Each $e \in E$ has a nbd that is mapped homeomorphically by p onto an open subset of B .

If $p: E \rightarrow B$ is a covering map it is a local homeo but not conversely.

Nonex - $p: \mathbb{R}_+ \rightarrow S^1$ $p(x) = (\cos 2\pi x, \sin 2\pi x)$ is not a covering map despite being surjective & a local homeo.

$$\begin{matrix} V_0 & V_1 & V_2 & \dots \\ \left(\frac{0}{1}\right) & \left(\frac{1}{1}\right) & \left(\frac{2}{2}\right) & \dots \end{matrix}$$



The nbd U of $b = (1, 0)$ in S^1 has preimage

$$p^{-1}(U) = \bigcup_{n \in \mathbb{Z}} V_n$$

$p|_{V_0}: V_0 \rightarrow U$ not ~~surjective~~ ^{homeo}.

Thm: Let $p: E \rightarrow B$ covering map. If $B_0 \subset B$ subspace and $E_0 = p^{-1}(B_0)$, then $p_0: E_0 \rightarrow B_0$ obtained by restricting p is a covering map.

Pf: Let $b_0 \in B_0$. Let U open set in B containing b_0 that is evenly covered by p . $p^{-1}(U) = \bigcup V_\alpha \leftarrow$ disjoint open sets in E .

Then $p^{-1}(U \cap B_0) = \bigcup (V_\alpha \cap E_0) \leftarrow$ disjoint open sets in E_0
 $\uparrow$ nbd of b_0 in B .

Each $V_\alpha \cap E_0$ is mapped homeo onto $U \cap B_0$.

(99)

Thm: If $p: E \rightarrow B$ and $p': E' \rightarrow B'$ are covering maps, then

$p \times p': E \times E' \rightarrow B \times B'$ is a covering map.

Pf: Let $(b, b') \in B \times B'$. Let U, U' nbds of b, b' evenly covered by p and p' respectively.

$p^{-1}(U) = \bigcup V_\alpha \leftarrow$ disjoint opens in E .

$p'^{-1}(U') = \bigcup V_{\alpha'} \leftarrow$ disjoint opens in E' .

Then $(p \times p')^{-1}(U \times U') = \bigcup (V_\alpha \times V_{\beta'}) \leftarrow$ disjoint opens in $E \times E'$

Each $V_\alpha \times V_{\beta'}$ is mapped homeomorphically onto $U \times U'$ by

$p \times p'$. $\blacksquare$

ex: $S^1 \times S^1$ is a torus

$p \times p: \mathbb{R} \times \mathbb{R} \rightarrow S^1 \times S^1$ is a covering map, where $p: \mathbb{R} \rightarrow S^1$ is the map from thm before. Each square $[n, n+1] \times [m, m+1]$ gets wrapped around the entire torus.

§54 Fundamental group of the Circle

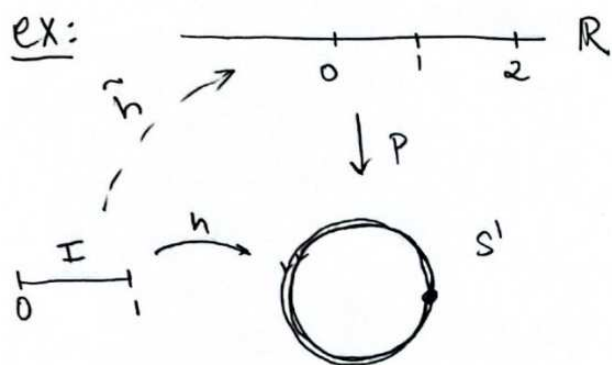
Def: Lifting

Let $p: E \rightarrow B$ be a map. If $f: X \rightarrow B$ continuous, a lift of f is a map $\tilde{f}: X \rightarrow E$ s.t. $p \circ \tilde{f} = f$.

$$\begin{array}{ccc} & \tilde{f} & \rightarrow E \\ X & \xrightarrow{f} & B \\ & p & \downarrow \end{array}$$

Key Ideas

- * When p is a covering map, $p: E \rightarrow B$, paths in B can be lifted to paths in E .
- * Path homotopies in B can also be lifted.



$$h: I \rightarrow S^1$$

$$h(s) = (\cos 4\pi s, \sin 4\pi s)$$

h : path that wraps around S^1 twice
can be lifted to $\tilde{h}: I \rightarrow \mathbb{R}$ (lift of h)
 $\tilde{h}(s) = 2s$ path from 0 to 2 in $\mathbb{R}$.

Lemma: Let $p: E \rightarrow B$ covering map, and $p(e_0) = b_0$. Any path $f: [0, 1] \rightarrow B$ beginning at b_0 has a unique lift to a path $\tilde{f}$ in E beginning at e_0 .

Pf: Cover B by open sets U which are evenly covered by p .

Subdivide $[0, 1]$ into intervals $[s_0, s_1], \dots, [s_{n-1}, s_n]$

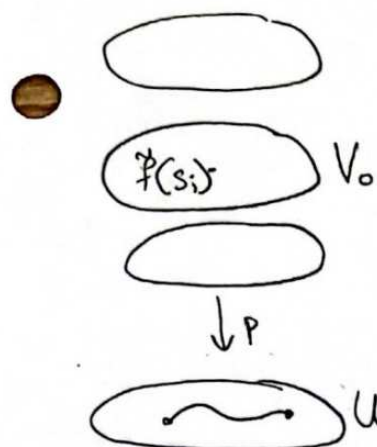
$\nearrow$ compact metric space

such that each set $f([s_i, s_{i+1}])$ lies in one of the open sets U .

(here we used Lebesgue number lemma) $\{p^{-1}(U)\}$ covers $[0, 1]$

Define $\tilde{f}$ by: $\tilde{f}(0) = e_0$

Suppose $\tilde{f}(s)$ is defined for all $0 \leq s \leq s_i$, then we define $\tilde{f}$ on $[s_i, s_{i+1}]$ as:



Note: $f([s_i, s_{i+1}]) \subseteq U$.

$$p^{-1}(U) = \bigcup V_\alpha \quad \hookrightarrow \text{slices } \forall \alpha$$

$p|_{V_\alpha}$ homeo onto U

$\tilde{f}(s_i) \in V_0 \leftarrow$ one of the V_α .

Define $\tilde{f}(s) = (p|_{V_0})^{-1}(f(s)) \quad \forall s \in [s_i, s_{i+1}]$

Since $p|_{V_0}$ homeo, $\tilde{f}$ continuous on $[s_i, s_{i+1}]$

Thus, $\tilde{f} = [0, 1] \rightarrow E$ is defined

- continuous by pasting lemma
- $p \circ \tilde{f} = f$.

Uniqueness: Supp. $\tilde{g}$ is another lift of f beginning at $e_0 \Rightarrow \tilde{g}(0) = e_0 = \tilde{f}(0)$

Suppose $\tilde{f}(s) = \tilde{g}(s) \quad \forall s \in [0, s_i]$

• V_α 's open disjoint

• $\tilde{g}([s_i, s_{i+1}])$ connected $\Rightarrow \tilde{g}([s_i, s_{i+1}])$ lies in exactly one V_α .

Since $\tilde{f}(s_i) = \tilde{g}(s_i) \in V_0 \Rightarrow \tilde{g}([s_i, s_{i+1}]) \subseteq V_0$.

$\forall s \in [s_i, s_{i+1}], \tilde{g}(s) \in \underbrace{V_0 \cap p^{-1}(\{f(s)\})}_{(p|_{V_0})^{-1}(\{f(s)\})} = \{\tilde{f}(s)\}$

$\Rightarrow \tilde{g}(s) = \tilde{f}(s) \quad \forall s \in [s_i, s_{i+1}]$ ■

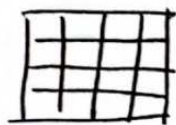
Lemma: Let $p: E \rightarrow B$ covering map with $p(e_0) = b_0$.

Let $F: I \times I \rightarrow B$ be continuous, with $F(0,0) = b_0$. There

is a unique lift of F to a continuous map $\tilde{F}: I \times I \rightarrow E$.

s.t. $\tilde{F}(0,0) = e_0$. If F path homotopy, so is $\tilde{F}$.

Pf: Same idea. Define $\tilde{F}(0,0) = e_0$. Use preceding lemma to define $\tilde{F}$ on $0 \times I$ and $I \times 0$ subdivide $I \times I$



$$I_i \times J_j = [s_{i-1}, s_i] \times [t_{j-1}, t_j]$$

↑ each rectangle mapped into an open set of B evenly covered by p .

Supp. $\tilde{F}$ defined on set $A = 0 \times I \cup I \times 0 \cup$ all rectx. 'previous' to $I_{i_0} \times J_{j_0}$.

$\tilde{F}(I_{i_0} \times J_{j_0}) \subseteq U$ evenly covered by p .

$p^{-1}(U) = \bigcup V_\alpha$, $p|_{V_\alpha}$ homeo onto U .

$\tilde{F}$ defined already on $C = A \cap (I_{i_0} \times J_{j_0})$, connected

$\tilde{F}(C) \subseteq V_0 \leftarrow$ one of V_α 's.

Let $p_0: V_0 \rightarrow U$ denote $p|_{V_0}$. Define $\tilde{F}(x) = p_0^{-1}(F(x))$
 $\forall x \in I_{i_0} \times J_{j_0}$.

[Check: Uniqueness, path homotopy...]

§54 contd.

Thm: Let $p: E \rightarrow B$ be a covering map. Let $p(e_0) = b_0$. Let f and g be two paths in B from b_0 to b_1 . Let $\tilde{f}$ & $\tilde{g}$ be lifts of f and g to paths in E beginning at e_0 . If f and g are path homotopic then $\tilde{f}$ and $\tilde{g}$ end at the same pt. of E and are path homotopic.

Pf: $F: I \times I \rightarrow B$ path homotopy b/w f and g . lifts to

$\tilde{F}: I \times I \rightarrow E$ path homotopy (by lemma)

$\Rightarrow \tilde{F}(0 \times I) = e_0$, $\tilde{F}(1 \times I) = \{e_1\}$.



$\tilde{F}|_{I \times 0}$ path in E beginning at e_0 lifting $F|_{I \times 0} = f$. By uniqueness of path lifting $\tilde{F}|_{I \times 0} = \tilde{f}$.

Similarly, $\tilde{F}|_{I \times 1} = \tilde{g}$.

Thus, $\tilde{f}$ and $\tilde{g}$ end at e_1 and $\tilde{F}$ is path homotopy b/w them.

Def: $p: E \rightarrow B$ covering map, $b_0 \in B$. Choose e_0 s.t.
 $p(e_0) = b_0$. Define the set map

$$\phi = \phi_{e_0}: \pi_1(B, b_0) \rightarrow p^{-1}(b_0)$$

$$[f] \mapsto \tilde{f}(1)$$

↖ lift of f beginning at e_0 .

called the lifting correspondence (ϕ well defined by prev. thm).

Thm: If E is path connected, then the lifting correspondence
 $\phi = \phi_{e_0}: \pi_1(B, b_0) \rightarrow p^{-1}(b_0)$ is surjective. If E simply
connected, ϕ is bijective.

Pf: If E path connected, given $e_1 \in p^{-1}(b_0)$, there is a path
 $\tilde{f}$ in E from e_0 to e_1 . Then $f = p \circ \tilde{f}$ is a loop in B
based at b_0 , so $\phi([f]) = e_1$.

Suppose E simply connected, and $\phi([f]) = \phi([g])$
for $[f], [g] \in \pi_1(B, b_0)$. Let $\tilde{f}$ and $\tilde{g}$ lift to paths
in E that begin at e_0 . Then $\tilde{f}(1) = \tilde{g}(1)$.

E simply connected $\Rightarrow$



$$[\tilde{f} * \tilde{g}^{-1}] = [e_0] \rightarrow \text{Constant loop at } e_0$$

$$[\tilde{f}] = [\tilde{f} * \tilde{g}^{-1}] [\tilde{g}] = [\tilde{g}]$$

$\tilde{f}$ path homotopic to $\tilde{g}$ via a path homotopy $\tilde{F}$.

f path homotopic to g via a path homotopy $p \circ \tilde{F}$.

$$\Rightarrow [f] = [g] \Rightarrow (\phi \text{ injective}) \blacksquare$$

Last time: $p: E \rightarrow B$ covering map $p(e_0) = b_0$.

Defined the lifting correspondence

$$\phi: \pi_1(B, b_0) \rightarrow p^{-1}(b_0)$$

$$[f] \mapsto \tilde{f}(1) \leftarrow \text{end pt. of lifted path } \tilde{f} \text{ in } E.$$

Also last time. E simply connected $\Rightarrow \phi$ is bijective.

Thm: The fundamental group of S^1 is isomorphic to $(\mathbb{Z}, +)$.

Pf: Let $p: \mathbb{R} \rightarrow S^1$ be the standard covering map.

Let $e_0 = 0 \in \mathbb{R}$ and $p(e_0) = b_0 = (1, 0) \in S^1$.

We have $p^{-1}(b_0) = \mathbb{Z}$.

Since $\mathbb{R}$ is simply connected, $\phi: \pi_1(S^1, b_0) \rightarrow \mathbb{Z}$ is bijective.

Check moreover that ϕ is a homomorphism.

If $[f], [g] \in \pi_1(S^1, b_0)$, show $\phi([f] * [g]) = \phi([f]) + \phi([g])$.

Def: $T_n: \mathbb{R} \rightarrow \mathbb{R}$, $T_n(x) = n + x$. Then $T_n \circ \tilde{f}$ is a lift of f starting at n (if $\tilde{f}$ lift of f starting at 0).

Then $T_n \circ \tilde{f}(1) = n$.

The lift of $f * g$ to a path in $\mathbb{R}$ starting at 0

is $\tilde{f} * \tilde{g} = \tilde{f} * (T_n \circ \tilde{g})$
 $\uparrow n = \phi([f]) = \tilde{f}(1)$

with endpoint $\tilde{f} * (T_n \circ \tilde{g})(1) = \tilde{f}(1) + \tilde{g}(1) = \phi([f]) + \phi([g])$.

and $\phi([f] * [g]) = \widetilde{f * g}(1)$ ■

§55 Retractions and Fixed Points

Def Retraction

If $A \subset X$, a retraction of X onto A is a continuous map

$$\gamma: X \rightarrow A \text{ s.t. } \gamma|_A = \text{id}_A. \text{ Say: } \underline{A \text{ is a retraction of } X}.$$

ex: $x_0 \in X$, $\gamma: X \rightarrow \{x_0\}$ retraction.

ex: $\gamma: S^1 \times S^1 \rightarrow S^1 \times \{b_0\}$ retraction

$$\begin{array}{ccc} S^1 \times S^1 & \longrightarrow & S^1 \times \{b_0\} \\ x \times y & \longrightarrow & x \times b_0 \end{array}$$



ex: $\gamma: \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R} \times \{0\}$ retraction.

Lemma If A is a retract of X , then the inclusion map $j: A \rightarrow X$ induces an injective homomorphism

$$j_*: \pi_1(A, a) \rightarrow \pi_1(X, a).$$

Pf: $\gamma: X \rightarrow A$ retraction; $\gamma \circ j: A \rightarrow A$ equals the identity

$$\text{id}_A: A \rightarrow A. \text{ Then } \gamma_* \circ j_* = \text{id}_{A_*}: \pi_1(A, a) \rightarrow \pi_1(A, a).$$

$\Rightarrow j_*$ injective. ■

Thm: There is no retraction of B^2 onto S^1

● 2-dim ball $B^2 \subseteq \mathbb{R}^2$.

Pf: If S^1 were a retract of B^2 , then the inclusion map $j: S^1 \rightarrow B^2$ would induce an injective $j_*: \pi_1(S^1, b_0) \rightarrow \pi_1(B^2, b_0)$
 $\# \quad \# \quad 0$

Contradiction. $\blacksquare$

★ Thm: (Brouwer Fixed Pt. Thm for B^2)

If $f: B^2 \rightarrow B^2$ continuous, then there exists some $x \in B^2$ such that $f(x) = x$.

● Pf: Supp. $f(x) \neq x \quad \forall x \in B^2$

B^2  For each $x \in B^2$, define $g(x) = \text{end pt.}_{\wedge}^{\text{on } S^1}$ of ray from $f(x)$ to x .

Then $g: B^2 \rightarrow S^1$. • g is continuous (since small perturbations of x produce small perturbations in $f(x)$ & also in the rays).

• $g|_{S^1} = \text{id}|_{S^1}$, $g(x) = x$ if $x \in S^1$.

So g is a retraction, contradicts previous thm $\blacksquare$

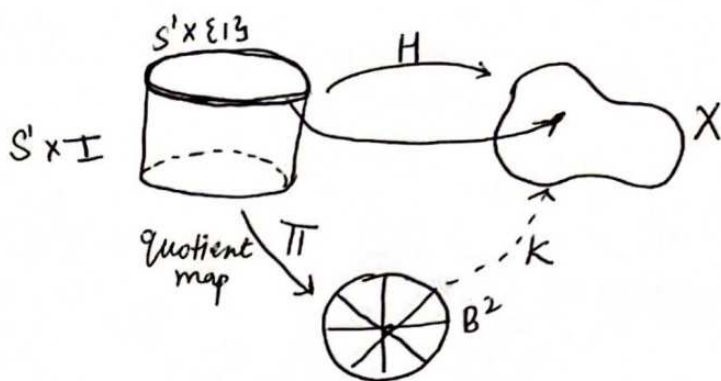
Lemma Let $h: S^1 \rightarrow X$ continuous map. TFAE

- 1) h is nullhomotopic
- 2) h extends to a continuous map $K: B^2 \rightarrow X$ ($K|_{S^1 = \text{bdry}(B^2)} = h$)
- 3) h_* is the trivial homomorphism on the fundamental group.

Pf. (1) $\Rightarrow$ (2) There exists a continuous map $H: S^1 \times I \rightarrow X$
 $\hookrightarrow$ (homotopy b/w h and a constant map)

$H|_{S^1 \times \{1\}}$ is constant map.

$\pi|_{S^1 \times \{1\}}: X \rightarrow (0,0) \text{ in } B^2$



$\pi: S^1 \times I \rightarrow B^2$ is defined by $\leftarrow$ Continuous surjective quotient map

$$\pi(x, t) = (1-t)x$$

$\exists K: B^2 \rightarrow X$ (see section on quotient topol.) which extends h

(b/c the diagram ~~is~~ $S^1 \times I \xrightarrow{H} X$ commutes)

(2) $\Rightarrow$ (3). $K: B^2 \rightarrow X$ continuous, $K|_{S^1} = h$.

If $j: S^1 \rightarrow B^2$ inclusion, then $h = K \circ j \Rightarrow h_* = K_* \circ j_*$.

But $j_*: \pi_1(S^1, b) \rightarrow \pi_1(B^2, b) \leftarrow \text{Trivial} \Rightarrow j_* \text{ trivial} \Rightarrow h_* \text{ trivial. } \checkmark$

(3) $\Rightarrow$ (1) (Omitted).

Cor: The inclusion $j: S^1 \rightarrow \mathbb{R}^2 \setminus \{0\}$ is not null-homotopic.
 The identity map $\text{id}: S^1 \rightarrow S^1$ is not nullhomotopic.

Proof: There is a retraction $r: \mathbb{R}^2 \setminus \{0\} \rightarrow S^1$

$$(r(\vec{x}) = \frac{\vec{x}}{|\vec{x}|}).$$

Thus, j_* injective $\Rightarrow$ ~~j_*~~ $j_*: \pi_1(S^1, b_0) \rightarrow \pi_1(\mathbb{R}^2 \setminus \{0\}, b_0)$, $\frac{S^1}{\mathbb{Z}}$

j_* is nontrivial.

By lemma, j is not nullhomotopic.

Similarly $\text{id}_X: \pi_1(S^1, b_0) \rightarrow \pi_1(S^1, b_0)$ is the identity homomorphism, and thus nontrivial. $\blacksquare$

§56 Fundamental Theorem of Algebra.

Thm: A polynomial equation (w/ $\mathbb{R}$ or $\mathbb{C}$ coefficients)

$$x^n + a_{n-1}x^{n-1} + \dots + a_1x + a_0 = 0, \quad n > 0$$

has at least one (real or complex) root.

Proof Step 1 $f: S^1 \rightarrow S^1$ $f(z) = z^n$ induces "multiplication by n " map on $\pi_1(S^1, b_0)$ (HW) $\Rightarrow f_*$ injective.

Step 2 If $g: S^1 \rightarrow \mathbb{R}^2 \setminus \{0\}$ given by $g(z) = z^n$, then g is not nullhomotopic.

$g = j \circ f$, $f: S^1 \rightarrow S^1$ from Step 1, $j: S^1 \rightarrow \mathbb{R}^2 \setminus \{0\}$ inclusion.

$g_* = j_* \circ f_*$, j_* injective (as in pt of prev. cor.).

(113)

f_* injective (Step 1).

$\Rightarrow g_*$ injective. $\Rightarrow g_* : \mathbb{Z} \rightarrow \pi_1(\mathbb{R}^2 \setminus \{0\}, b_0)$ is nontrivial.
 $\Rightarrow g$ is not null-homotopic.

Step 3

Special case: $|a_{n-1}| + \dots + |a_1| + |a_0| < 1$.

Show poly eqn has a root in unit ball B^2 .

If not, define $K: B^2 \rightarrow \mathbb{R}^2 \setminus \{0\}$.

$$K(z) = z^n + a_{n-1}z^{n-1} + \dots + a_1z + a_0.$$

If $h = K|_{S^1}$, h is null-homotopic (b/c exists extn. $K: B^2 \rightarrow \mathbb{R}^2 \setminus \{0\}$)

However, we can define a homotopy F b/w h and g from
 Step 2, a contradiction.

$$F: S^1 \times I \rightarrow \mathbb{R}^2 \setminus \{0\}, F(z, t) = z^n + t(a_{n-1}z^{n-1} + \dots + a_1z + a_0).$$

$$(F(z, t) \neq 0 \text{ since } |F(z, t)| \geq |z^n| - |t| |a_{n-1}z^{n-1} + \dots + a_1z + a_0| \\ = 1 - t(|a_{n-1}| + \dots + |a_1| + |a_0|) > 0)$$

Step 4 General case.

Let $x = cy$ ($c \in \mathbb{R}_+$)

$$(cy)^n + a_{n-1}(cy)^{n-1} + \dots + a_1(cy) + a_0 = 0$$

$\Rightarrow y^n + \frac{a_{n-1}}{c} y^{n-1} + \dots + \frac{a_1}{c^{n-1}} y + \frac{a_0}{c^n} = 0 \rightarrow$ if this has a root $y = y_0$ then
 the original equation has root $x_0 = cy_0$. Choose c large enough s.t.
 $|\frac{a_{n-1}}{c}| + \dots + |\frac{a_1}{c^{n-1}}| + |\frac{a_0}{c^n}| < 1$ to reduce to Step 3

lec 858 Deformation Retracts and Homotopy Type

Lemma: Let $h, k: (X, x_0) \rightarrow (Y, y_0)$ continuous. If h, k are homotopic, and the image of $x_0 \in X$ remains fixed at y_0 during the homotopy, then h_* and k_* are equal.

Pf: $H: X \times I \rightarrow Y$ homotopy b/w h and k .

$$H(x_0, t) = y_0 \quad \forall t \quad (H(x, 0) = h(x), H(x, 1) = k(x))$$

If $[f] \in \pi_1(X, x_0)$, f loop $f: I \rightarrow X$ then

$I \times I \xrightarrow{f \times \text{id}} X \times I \xrightarrow{H} Y$ is a homotopy b/w $h \circ f$ & $k \circ f$.

$$\left(\begin{array}{l} (x, 0) \xrightarrow{f \times \text{id}} (f(x), 0) \xrightarrow{H} h(f(x)) \\ (x, 1) \xrightarrow{f \times \text{id}} (f(x), 1) \xrightarrow{H} k(f(x)) \end{array} \right)$$

Path homotopy b/c f loop at x_0 , $H(\{x\} \times I) \rightarrow \{y\}$

$[h \circ f] = [k \circ f]$ same path homotopy class

$$h_*([f]) = k_*([f])$$

Thm: The inclusion map $j: S^n \rightarrow \mathbb{R}^{n+1} \setminus \{\vec{0}\}$ induces an isomorphism of fundamental groups.

Idea: Deform the identity map on $\mathbb{R}^{n+1} \setminus \{\vec{0}\}$ to a map that

Collapses all of $\mathbb{R}^{n+1} \setminus \{0\}$ onto S^n . where each pt. of S^n remained fixed during deformation.

$n=1$ can "collapse" $\mathbb{R}^2 \setminus \{0\}$ onto S^1

Pf: Let $X = \mathbb{R}^{n+1} - \vec{0}$, Let $b_0 = (1, 0, 0, \dots, 0)$. Let

$r: X \rightarrow S^n$ be $r(x) = x/\|x\|$. Then $r \circ j: S^n \rightarrow S^n$ is the identity map, so $r_* \circ j_* = \text{identity homomorphism on } \pi_1(S^n, b_0) \Rightarrow j_* \text{ injective.}$

Now, show $j_* \circ r_*$ is also identity on $\pi_1(X, b_0)$,

$$X \xrightarrow{r} S^n \xrightarrow{j} X$$

$j \circ r$ is not identity, but is homotopic to identity map.

$$H: X \times I \rightarrow X$$

$$H(x, t) = (1-t)x + t \frac{x}{\|x\|}$$

It is a homotopy b/w id_X and $j \circ r$.

Note $H(b_0, t) = (1-t)b_0 + t b_0 = b_0$. $\xrightarrow{\text{fixed by } H}$

By lemma, $(j \circ r)_* = j_* \circ r_*$ is identity homomorphism

on $\pi_1(X, b_0) \Rightarrow j_*$ surjective

More generally:

Def: $A \subset X$, A is a deformation retract of X if $\text{id}_X: X \rightarrow X$ is homotopic to a map that carries all of X into A s.t. each point of A remains fixed during the homotopy.

That is, $\exists$ continuous map $H: X \times I \rightarrow X$ s.t.

$$\begin{aligned} H(x, 0) &= x & \forall x \in X \\ H(x, 1) &\in A & \forall x \in X \\ H(a, t) &= a & \forall a \in A. \end{aligned}$$

H is called a deformation retraction of X onto A .

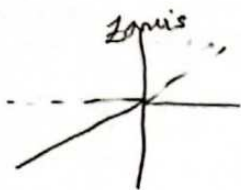
Note: $r: X \rightarrow A$ defined by $r(x) = H(x, 1)$ is a retraction of X onto A , and H is a homotopy b/w $\text{id}_X: X \rightarrow X$ and $j \circ r: X \rightarrow X$ where $j: A \rightarrow X$ is inclusion.

The proof of the previous thm generalizes.

Thm: Let A be a deformation retract of X , let $x_0 \in A$.

Then the inclusion $j: (A, x_0) \rightarrow (X, x_0)$ induces an isomorphism of fundamental groups.

ex:



$$\mathbb{R}^3 \setminus \{z \text{ axis}\} = X$$

$$\mathbb{R}^2 \setminus \{\vec{0}\} \subseteq X$$

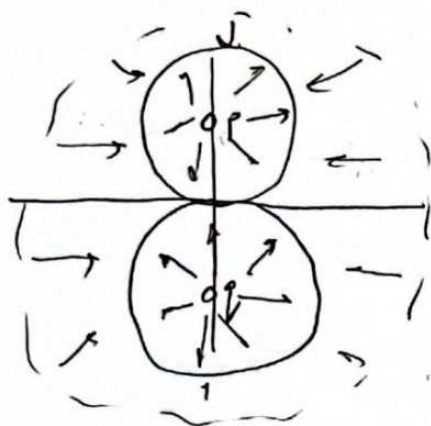
$\mathbb{R}^2 \setminus \{\vec{0}\}$ is a deformation retract of X .

$H: X \times I \rightarrow X$ deformation retraction

$$(x, y, z, t) \mapsto (x, y, z(1-t))$$

$$\Rightarrow \pi_1(\mathbb{R}^3 \setminus \{z \text{ axis}\}) \cong \mathbb{Z}$$

ex: $\mathbb{R}^2 \setminus \{p, q\}$ doubly punctured plane.

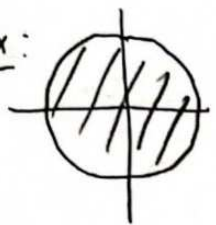


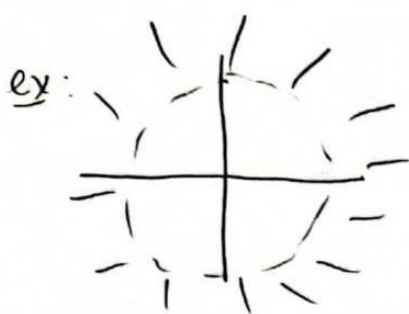
The "figure eight" space $\subseteq \mathbb{R}^2 \setminus \{p, q\}$

These spaces also have isomorphic fundamental groups.

lec: S58 Homotopy Type

Recall: Last time, deformation retracts have isomorphic π_1 .

ex:  B^2 def. retracts onto a point
closed unit ball in $\mathbb{R}^2$.
 $\subseteq \mathbb{R}^2$



$\{x \in \mathbb{R}^2 \mid \|x\| > 1\}$ def retracts
onto a circle $x^2 + y^2 = 4$.
(similar to $\mathbb{R}^2 \setminus \{0\}$ def retracts to S^1)

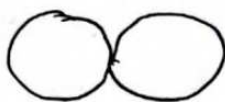
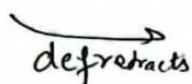


fig eight



theta space

Neither fig 8 or theta space is a def retract of the other,
but have isomorphic π_1 .

Can we generalize?

Def: Let $f: X \rightarrow Y$ and $g: Y \rightarrow X$ continuous maps.

Suppose $f \circ g$ is homotopic to $\text{id}_Y: Y \rightarrow Y$

$g \circ f$ is homotopic to $\text{id}_X: X \rightarrow X$.

Then, f and g are called homotopy equivalences and f is a homotopy inverse of g (& vice versa).

Note: If $f: X \rightarrow Y$ is a homotopy equiv, and $h: Y \rightarrow Z$ is a homotopy equivalence, then $h \circ f: X \rightarrow Z$ is a homotopy equivalence.

Thm: The relation of homotopy equivalence (on top. spaces) is an equivalence relation.

Proof: Exercise.

ex: If r is def. retract, then r, j are homotopy inverses.

Thm: If $f: X \rightarrow Y$ is a homotopy equivalence, then $\forall x_0 \in X$, $f_* = \pi_1(Y, f(x_0)) \rightarrow \pi_1(X, x_0)$ is an isomorphism.

lemma: Let $h, k: X \rightarrow Y$ continuous and $h \simeq k$ via homotopy $H: X \times I \rightarrow Y$. Let $x_0 \in X$. Then $\exists$ a path α in Y from $h(x_0)$ to $k(x_0)$ s.t. $k_* = \hat{\alpha} \circ h_*$ (h_*, k_* differ by basept. change map). Indeed α is the path $\alpha(t) = H(x_0, t)$

$$\begin{array}{ccc}
 \pi_1(X, x_0) & \xrightarrow{h_*} & \pi_1(Y, h(x_0)) \\
 & \searrow k_* & \downarrow \hat{\alpha} \\
 & & \pi_1(Y, k(x_0))
 \end{array}
 \begin{array}{l}
 [Y] \\
 \downarrow \hat{\alpha} \\
 [\alpha] * [Y] * [\alpha]
 \end{array}$$

Pf. Show $k_*([f]) = \hat{\alpha}(h_*[f]) \Leftrightarrow [k \circ f] = [\alpha] * [h \circ f] * [\alpha]$
 (Omitted)

Pf. of Thm

Let $g: Y \rightarrow X$ homotopy inverse of f .

$$\begin{array}{c}
 X \xrightarrow{f} Y \xrightarrow{g} X \xrightarrow{f} Y \\
 \pi_1(X, x_0) \xrightarrow{(f_{x_0})_*} \pi_1(Y, f(x_0)) \xrightarrow{g_*} \pi_1(X, g(f(x_0))) \xrightarrow{(f_{g(f(x_0))})_*} \pi_1(Y, f(g(f(x_0))))
 \end{array}$$

$$f \circ g \simeq \text{id}_Y \Rightarrow (f \circ g)_* = \hat{\alpha} \circ (\text{id}_Y)_* = \hat{\alpha} \quad (\text{some path } \alpha \text{ in } Y)$$

$$\hat{\alpha} \text{ isomorphism} \Rightarrow f_* \circ g_* \text{ isomorphism } ②$$

$$g \circ f_{x_0} \simeq \text{id}_X \Rightarrow g_* \circ f_{x_0,*} \text{ isomorphism } ①$$

$$\Rightarrow f_* \text{ injective } ①, \left\{ \begin{array}{l} g_* \text{ injective } ② \\ g_* \text{ surjective } ① \end{array} \right\} \Rightarrow f_* \text{ surjective}$$

Simplest example of homotopy type:

● Homotopy Types of a one pt. space



Recall X is contractible if $\text{id}_X : X \rightarrow X$ is null homotopic.

Exer: X is contractible $\Leftrightarrow X$ has homotopy type of one pt. space.

ex: $S^1 \cup (\mathbb{R} \times \{0\}) \subseteq \mathbb{R}^2$

 has a def. retract to  theta space.

● Which is homotopy equiv. to 8 "fig-eight".

ex: $S^1 \cup (\mathbb{R}_+ \times \mathbb{R})$ $(\mathbb{R}_+ = \{x \in \mathbb{R} \mid x > 0\})$.

 has homotopy type of S^1 .

ex fig 8 and theta have same homotopy type.



Lec 859 Fundamental group of S^n

Can use π_1 to show $S^2, T^2, \text{ (torus) }, \dots$ are topologically distinct.

Thm: Let $X = U \cup V$, where U and V open in X . Supp.

$U \cap V$ path connected, $x_0 \in U \cap V$. Let $i_u: U \rightarrow X$,

$i_v: V \rightarrow X$ inclusion maps. Then the images of

$$i_{u*}: \pi_1(U, x_0) \longrightarrow \pi_1(X, x_0)$$

$$i_{v*}: \pi_1(V, x_0) \longrightarrow \pi_1(X, x_0)$$

generate $\pi_1(X, x_0)$.

Pf: WTS: If f loop in X at x_0 , f is path homotopic

to $g_1 * g_2 * \dots * g_n$ where each g_i is a loop in U or V at x_0 .

Step ① $\exists a_0 < a_1 < \dots < a_n$ subdivision of $I = [0, 1]$

s.t. $f(a_i) \in U \cap V$ and $f([a_i, a_{i+1}]) \subseteq \text{either } U \text{ or } V$.

($f^1(U), f^1(V)$ cover $[0, 1]$).

By Lebesgue number lemma, $\exists b_0 < \dots < b_m$ subdivision of $[0, 1]$ s.t. each $f([b_i, b_{i+1}])$ is contained in either U or V .

If $f(b_i) \in U$, but not V , then $f(b_{i-1} - b_i - b_{i+1}) \subseteq U$
remove b_i from the subdivision.

Similarly, if $f(b_j) \in V$ but not U ,
remove b_j . Repeat until all remaining b_* have
 $f(b_*) \in U \cap V$.

Step 2 Define $f_i: I \rightarrow X$ by $I \xrightarrow{\text{linear map}} [a_{i-1}, a_i] \xrightarrow{f} X$

Then f is path is either U or V , by choice of a_i 's.

$$\text{Also, } [f] = [f_1] * [f_2] * \dots * [f_n]$$

Need to replace f_i with loops g_i based at x_0 .

$$g_i = \alpha_{i-1} * f_i * \overline{\alpha_i}$$

α_i path in $U \cap V$ from x_0 to $f(a_i)$.
 $\uparrow$ path conn.

α_0, α_n constant paths at $x_0 = f(a_0) = f(a_n)$.

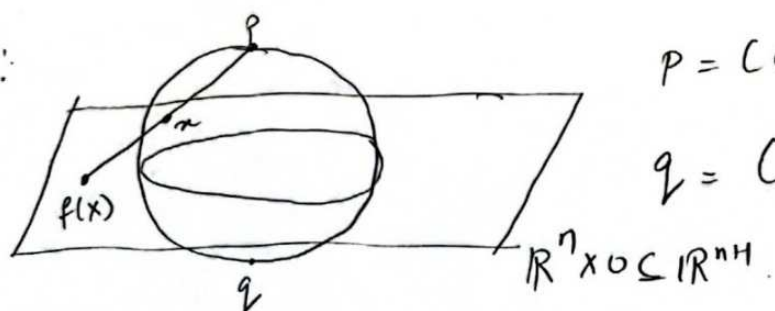
γ_i loop in either U or V , based at x_0 .

$$[g_1] * [g_2] * \dots * [g_n] = [f_1] * \dots * [f_n]$$

Cor: Suppose $X = U \cup V$, where U, V open in X , $U \cap V \neq \emptyset$, path conn., If U & V simply connected, then X is simply connected.

Thm: If $n \geq 2$, the n -sphere $S^n = \{x \in \mathbb{R}^{n+1} \mid |x| = 1\}$ is simply connected.

Pf:



$p = (0, 0, \dots, 0, 1) \in S^n$ north pole

$q = (0, 0, \dots, 0, -1) \in S^n$ south pole.

① If $n \geq 1$, $S^n - p$ is homeo to $\mathbb{R}^n$. Define $f: (S^n - p) \rightarrow \mathbb{R}^n$ by stereographic projection: $f(x) = f(x_1, \dots, x_{n+1}) = \frac{(x_1, \dots, x_n)}{1 - x_{n+1}}$

f is a homeo: $\exists$ inverse map $g: \mathbb{R}^n \rightarrow (S^n - p)$

$$g(y) = g(y_1, \dots, y_n) = \left(\underbrace{t(y)y_1, \dots, t(y)y_n}_{\text{norm } 1}, 1 - t(y) \right)$$

$$\text{where } t(y) = \frac{2}{1 + \|y\|^2}$$

Since $S^n - p$ is homeo w. $S^n - q$ via reflection.

$$(x_1, \dots, x_n, x_{n+1}) \mapsto (x_1, \dots, x_n, -x_{n+1}), \quad S^n - q \cong \mathbb{R}^n$$

$$\textcircled{2} \quad U = S^n - p, \quad V = S^n - q, \quad S^n = U \cup V.$$

U, V path conn. since $\underset{\text{homeo}}{\cong} \mathbb{R}^n$ and have pt. in common.

$\Rightarrow S^n$ path conn.

U, V simply conn (since $\cong \mathbb{R}^n$).

$U \cap V = S^n - p - q$ homeo to $\mathbb{R}^n - \vec{0}$
 $\uparrow$ using stereographic proj.

$\Rightarrow U \cap V$ path conn. (since $\mathbb{R}^n \rightarrow \vec{0}$ path conn. as every pt. can be joined to a pt. on S^{n-1} via straight line path, and S^{n-1} is path connected if $n \geq 2$).

Apply cor. $\blacksquare$

Lec 60 Fundamental Groups of some surfaces

$$\bullet \quad \textcircled{S^2} \quad \pi_1(S^2, b_0) = 0$$

$$\bullet \quad \textcircled{\infty} \quad S^1 \times S^1 \quad \pi_1(S^1 \times S^1, b_0) = \mathbb{Z} \times \mathbb{Z}.$$

Thm: $\pi_1(X \times Y, x_0 \times y_0) \cong \pi_1(X, x_0) \times \pi_1(Y, y_0)$

Recall group structure on $A \times B$ is $(a \times b) \cdot (a' \times b') = (a \cdot a') \times (b \cdot b')$

Proof: $p: X \times Y \rightarrow X$
 $q: X \times Y \rightarrow Y$ projection maps

$$p_*: \pi_1(X \times Y, x_0 \times y_0) \longrightarrow \pi_1(X, x_0)$$

$$q_*: \pi_1(X \times Y, x_0 \times y_0) \longrightarrow \pi_1(Y, y_0)$$

Define homomorphism,

$$\Phi: \pi_1(X \times Y, x_0 \times y_0) \longrightarrow \pi_1(X, x_0) \times \pi_1(Y, y_0)$$

by $\Phi([f]) = p_*([f]) \times q_*([f]) = [p \circ f] * [q \circ f]$

Φ is an isomorphism

Surjective: Let $[g] \in \pi_1(X, x_0), [h] \in \pi_1(Y, y_0)$

Then $f: I \rightarrow X \times Y, f(t) = g(t) \times h(t)$.

$$\Phi([f]) = [p \circ f] \times [q \circ f] = [g] \times [h]$$

Injective: Let $\Phi([f]) = [p \circ f] \times [q \circ f]$ be the identity elt. $e_{x_0} \times e_{y_0} \leftarrow$ constant loops.

$p \circ f \simeq c_{x_0}$ via path homotopy G

$q \circ f \simeq c_{y_0}$ via path homotopy H

Then $F: I \times I \rightarrow X \times Y$, $F(s, t) = G(s, t) \times H(s, t)$

is a path homotopy b/w f and the constant loop $c_{x_0 \times y_0}$.

Cor: $\pi_1(S^1 \times S^1, b_0) \cong \mathbb{Z} \times \mathbb{Z}$

Def: The projective plane P^2 is the quotient space obtained from S^2 by identifying each pt. x of S^2 with its antipodal point $-x$.

$$P^2 = S^2 / x \sim -x \forall x$$

Thm: $\pi_1(P^2, y)$ is a group of order 2 ($\cong \mathbb{Z}/2\mathbb{Z}$)

Proof: The projection $p: S^2 \rightarrow P^2$ is a covering map (shown below)
 $x \rightarrow [x]$

Since S^2 is simply conn., the lifting correspondence

$\pi_1(P^2, y) \rightarrow p^{-1}(y)$ is a bijection. But $p^{-1}(y) = \{y, -y\}$
two elements

$\Rightarrow \pi_1(P^2, y)$ is a group of order 2. $\Rightarrow \pi_1 \cong \mathbb{Z}/2\mathbb{Z}$.

p Covering map: Let $[y] \in P^2$, $p^{-1}([y]) = \{y, -y\}$.

Let $U = \epsilon$ -nbd of y in S^2 , $\epsilon < 1$ using Euclidean metric of $\mathbb{R}^3$.

Then if $z \in U$, $-z \notin U$, since $d(z, -z) = 2 \Rightarrow U$ contains no pair $\{z, -z\}$. Thus, $p: U \rightarrow p(U)$ is bijective.

$\left. \begin{array}{l} \bullet p \text{ is also continuous} \\ \bullet p \text{ open map} \end{array} \right\} \begin{array}{l} p|_u \text{ is homeomorphism} \\ p|_{a(u)} \text{ is homeo} \end{array}$

$$a: S^2 \rightarrow S^2, \quad a(x) = -x \text{ is a homeo}$$

If U open in S^2 , $a(U)$ open too.

$p^{-1}(p(u)) = U \cup a(u)$ is open in S^2 in quotient top.

$$p^+(p(u)) \text{ open} \Leftrightarrow p(u) \text{ open}$$

Thus, $\forall [y] \in P^2$ $p(u)$ is nbd of $[y] = p(y)$ evenly covered by p .

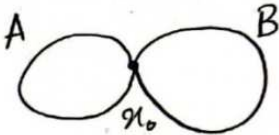
$p^{-1}(p(U)) = U \cup a(U)$
 $\swarrow \searrow$ disjoint open sets each homeo to $p(U)$. □

Similarly define P^n : projective n -space

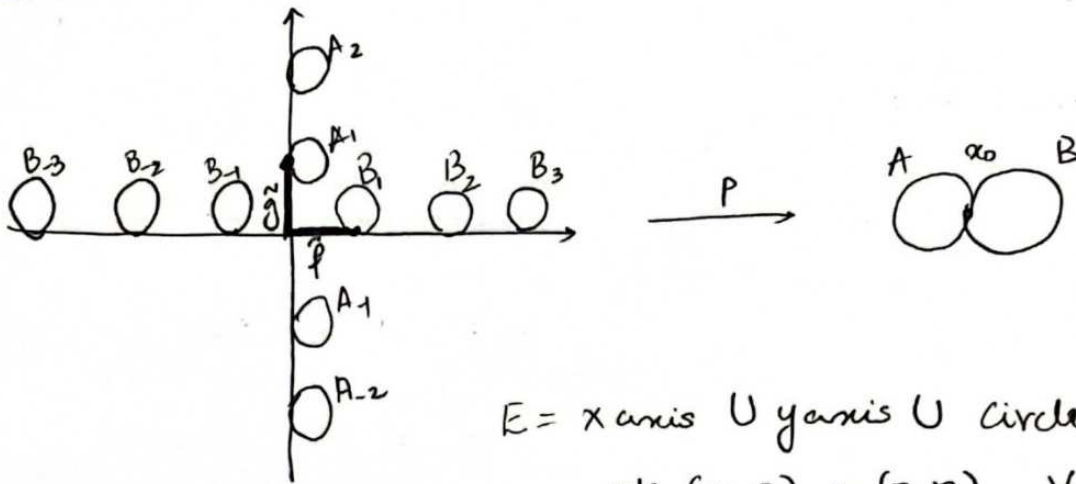
$$p^n = S^n / x \sim -x$$

$p: S^n \rightarrow \mathbb{P}^n$ covering map. S^n simply conn. $\forall n \geq 2 \Rightarrow \pi_1(\mathbb{P}^n, y) \cong \mathbb{Z}/2$

Lec Fundamental Group of Fig 8

● $X =$ Figure eight space  in $\mathbb{R}^2$

Lemma: The fundamental group of X is not abelian.



$E = x\text{-axis} \cup y\text{-axis} \cup \text{circles tangent to axes at } (n, 0) \text{ \& } (0, n), \forall n \in \mathbb{Z} \setminus \{0\}$

We describe a covering map $p: E \rightarrow X$.

$$\mathbb{R} \approx x\text{-axis} \xrightarrow{p} A \approx S^1$$

$$(n, 0) \xrightarrow{p} x_0$$

$$\mathbb{R} \approx y\text{-axis} \xrightarrow{p} B \approx S^1$$

$$(0, n) \xrightarrow{p} x_0$$

$$\text{circle along } x\text{-axis} \xrightarrow[\text{homeo}]{\cong p} B$$

$$\text{circle along } y\text{-axis} \xrightarrow[\text{homeo}]{\cong p} A$$

p is a covering map.

● We find loops in X based at x_0 s.t. $f * g$ and $g * f$ are not path homotopic.

Let $\tilde{f}: I \rightarrow E$ path from 0×0 to 1×0 , $\tilde{f}(s) = s \times 0$

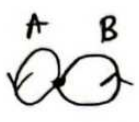
$\tilde{g}: I \rightarrow E$ path from 0×0 to 0×1 , $\tilde{g}(s) = 0 \times s$.

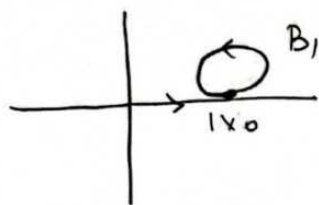
Let $f = p \circ \tilde{f}$ and $g = p \circ \tilde{g}$ loops in X based at x_0 .

f wraps around A . g wraps around B .

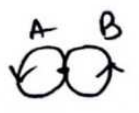
The lifting correspondence $\phi: \pi_1(X, x_0) \rightarrow p^{-1}(x_0)$

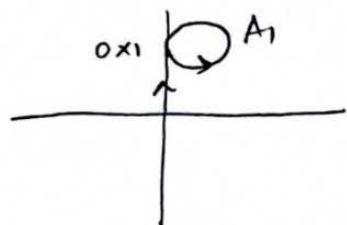
maps $f * g$ and $g * f$ to different points:

$\widetilde{f * g}$ lift of $f * g$  (loop is A then B).



$\widetilde{f * g}$ path from 0×0 to 1×0 (goes along x -axis, then around the circle at 1×0)

$\widetilde{g * f}$ lift of $g * f$  (loop is B then A)



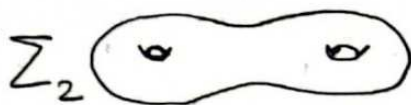
$\widetilde{g * f}$ path from 0×0 to 0×1 (along y -axis, then around circle at 0×1)

$$\phi([f * g]) = \widetilde{f * g}(1) = 1 \times 0$$

$$\phi([g * f]) = \widetilde{g * f}(1) = 0 \times 1$$

$$\Rightarrow [f * g] \neq [g * f]$$

Thm: The fundamental group of the genus 2 surface is not abelian.



Pt: The fig 8 space X is a retract of Σ_2 .

Thus, $j: X \rightarrow \Sigma_2$ induces an injection map j^* , so

$\pi_1(\Sigma_2, x_0)$ is not abelian. $\blacksquare$

The fundamental group of fig 8 is the free group on two generators $\mathbb{Z} * \mathbb{Z}$ (can be proven by Van Kampen's Thm §70)

Free Groups:

Def: Let G be a group; let $\{a_\alpha\}$ be a set of elts of G .

$\{a_\alpha\}$ generate G if every elt of G can be written as a product of powers of a_α .

$\mathbb{Z} * \mathbb{Z}$ free group on two gens a, b .

$\mathbb{Z} * \mathbb{Z}$ is the free product of $\mathbb{Z}$.

Every elt. is of the form $a^{n_1} b^{m_1} a^{n_2} b^{m_2} \dots a^{n_j} b^{m_j}$ an

alternating product of powers of a with powers of b .



$\pi_1(\infty, x_0)$ elts are going around loops in any orientation, any order.

$$\mathbb{Z} * \mathbb{Z} = \{\text{strings of } a^{\pm 1}\text{'s, } b^{\pm 1}\text{'s}\} / \sim$$

$$waa^{\dagger}z \sim wz \sim wa^{\dagger}az \quad \forall w, z \in \mathbb{Z} * \mathbb{Z}$$

$$wbb^{\dagger}z \sim wz \sim wb^{\dagger}bz$$

• group operation: concatenation. $(aba^{\dagger}b^{\dagger})^{\dagger} = ba^{\dagger}b^{\dagger}a^{\dagger}$

• Every elt. has reduced form: no $aa^{\dagger}$, $bb^{\dagger}$, $a^{\dagger}a$, $b^{\dagger}b$.

"Free": If G group, $g, h \in G$, $\exists!$ homomorphism

$$f: \mathbb{Z} * \mathbb{Z} \rightarrow G \text{ s.t. } f(a) = g, f(b) = h.$$

§70 The Seifert-van Kampen Theorem.

Let $X = U \cup V$, where U and V are open in X , assume

U, V and $U \cap V$ are path connected; let $x_0 \in U \cap V$.

Let H be a group and let

$$\phi_1: \pi_1(U, x_0) \rightarrow H \text{ and } \phi_2: \pi_1(V, x_0) \rightarrow H.$$

be homomorphisms. Let i_1, i_2, j_1, j_2 be the homomorphisms indicated in the following diagram, each induced by inclusion.

$$\begin{array}{ccccc}
 & & i_1 & \nearrow & \pi_1(U, x_0) & \searrow & \phi_1 \\
 & & & & \uparrow j_1 & & \\
 \pi_1(U \cap V, x_0) & \longrightarrow & \pi_1(X, x_0) & \longrightarrow & H \\
 & \searrow & \uparrow j_2 & & \nearrow \phi_2 \\
 & & i_2 & \nwarrow & \pi_1(V, x_0)
 \end{array}$$

If $\phi_1 \circ i_1 = \phi_2 \circ i_2$, then there is a unique homomorphism (23)

● $\phi: \pi_1(X, x_0) \rightarrow H$ such that $\phi \circ j_1 = \phi_1$ and $\phi \circ j_2 = \phi_2$.

If ϕ_1 and ϕ_2 are arbitrary homomorphisms that are "compatible on $U \cap V$ ", then they induce a homomorphism

of $\pi_1(X, x_0)$ into H .

ex: $S^2 = B^2 \cup B^2$

$= U \cup V$



$U \cap V = \text{nbd of equator}$

● $\pi_1(U) = \pi_1(V) = 0 \Rightarrow \pi_1(U) * \pi_1(V) = 0 \Rightarrow \pi_1(S^2) = 0$.

ex: Fig eight space X or $S' \vee S'$ wedge of 2 circles



$U = \infty$

$V = \infty$

U, V same homotopy type as a circle

$\pi_1(U) = \pi_1(V) = \pi_1(S') = \mathbb{Z}$

$U \cap V$ is contractible (homotopy type of a point).

$\pi_1(X) \cong \mathbb{Z} * \mathbb{Z}$. (Since $\pi_1(U \cap V) = 0$).

● If a, b are the two generators of $\mathbb{Z} \times \mathbb{Z}$, then for ex.

$a^2 b^{-1} a^3 b a$ is an elt of $\mathbb{Z} \times \mathbb{Z}$ corresponding to loop in $\pi_1(X)$.

Last time: Seifert Van Kampen Thm.

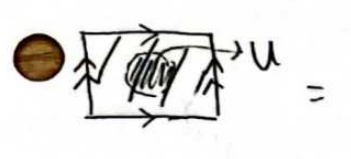
$\lambda = U \cup V$, $U, V, U \cup V$ path-conn.

$$\begin{array}{ccccc} \pi_1(U \cap V) & \xrightarrow{i_1} & \pi_1(U) & \xrightarrow{j_1} & \pi_1(X) \\ & \searrow i_2 & \downarrow \pi_1(U) \cap \pi_1(V) & \xrightarrow{j} & \\ & & \pi_1(V) & \xrightarrow{j_2} & \end{array}$$

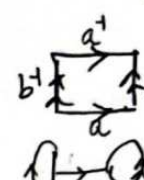
j is surjective and its Kernel is the least normal subgroup containing all elts $i_1(g)^{-1} i_2(g) \forall g \in \pi_1(U \cap V)$.

i.e. $\pi_1(X) \cong \pi_1(U) * \pi_1(V) / \ker j$

ex: $S^1 \times S^1 = U \cup V$

 $=$  $\cup$  ; $U \cap V =$  $\pi_1(U \cap V) \cong \mathbb{Z}$

$\pi_1(U) \cong 0$ $\hookrightarrow$ def retr. onto its bdy

 $\Rightarrow \pi_1(V) \cong \pi_1(\text{fig 8}) \cong \mathbb{Z} * \mathbb{Z}$

$\langle c \rangle = \mathbb{Z} \rightarrow$ generator c

$i_1: \pi_1(U \cap V) \rightarrow \pi_1(U) = 0$ is trivial

$i_2: \pi_1(U \cap V) \rightarrow \pi_1(V) \cong \langle a, b \rangle$

$\xrightarrow{i_2} aba^{-1}b^{-1}$

$\Rightarrow \pi_1(S^1 * S^1) \cong \langle a, b \rangle / aba^{-1}b^{-1} \cong \langle a, b \mid aba^{-1}b^{-1} = 1 \rangle$

$\cong \mathbb{Z} \oplus \mathbb{Z}$ $\hookrightarrow$ free abelian group on two generators.

ex: P^2 projective plane.

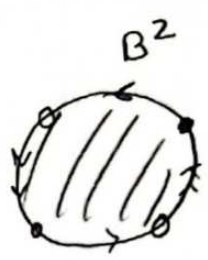
$$P^2 = S^2 / x \sim -x = B^2 / y \sim -y$$

$$\forall x \in S^2$$

$$\forall y \in S^1 (\text{bdy of } B^2)$$



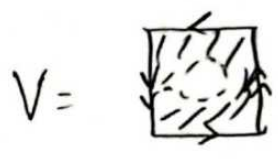
$\approx$



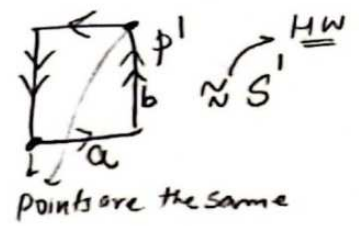
$\approx P^2$

$$P^2 = U \cup V$$

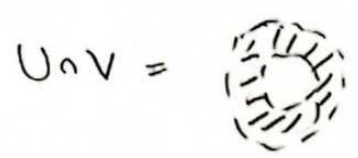
$U =$ (open disk)



def ret to bdy



points are the same



$$\pi_1(V) \cong \langle ab \rangle \cong \mathbb{Z}$$

$$\pi_1(U) = 0$$

$$\pi_1(U \cup V) \cong \mathbb{Z}$$

$$i_1: \pi_1(U \cup V) \rightarrow \pi_1(U) = 0 \text{ trivial}$$

$$i_2: \pi_1(U \cup V) \rightarrow \pi_1(V) = \langle ab \rangle$$

$$\mathbb{Z} \cong \langle c \rangle \quad i_2(c) = (ab)^2$$

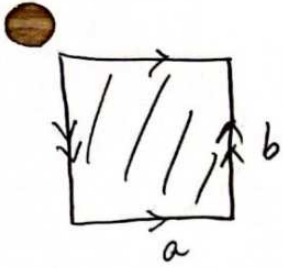
$\uparrow$
generator

$$\Rightarrow \pi_1(P^2) \cong \langle ab \mid (ab)^2 = 1 \rangle$$

$$\cong \mathbb{Z}/2\mathbb{Z}$$

$\nearrow$
from group theory

ex: Klein bottle



$$K = U \cup V$$

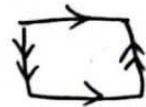
$$U = \mathbb{T}^2 \text{ as before}$$

$$U \cap V = \text{circle}$$



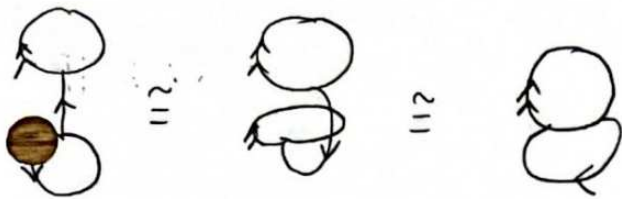
as before

defret onto



$$\pi_1(U) = 0$$

$$\pi_1(V) = \mathbb{Z} * \mathbb{Z} \text{ (fig 8 space)} = \langle a, b \rangle$$



$$\pi_1(U \cap V) \cong \mathbb{Z} \cong \langle c \rangle$$

$$i_1 = \text{trivial} ; i_2 : \pi_1(U \cap V) \rightarrow \pi_1(V) \cong \langle a, b \rangle$$

$$c \mapsto aba^{-1}b$$

$$\pi_1(K) \cong \langle a, b \mid aba^{-1}b \rangle$$

ex:

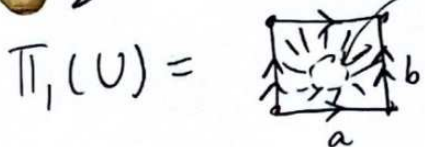


Σ_2 double torus



$$U \cap V = \text{circle}$$

$$\Sigma_2 = U \cup V$$



$$\pi_1(U) = \text{defrets to bdy} \cong \langle a, b \rangle$$

$$\pi_1(V) = \text{same} \text{ as } U \text{ with labels } c, d = \langle c, d \rangle$$

$$\pi_1(U \cap V) \cong \mathbb{Z} = \langle c \rangle$$

$$i_1: \pi_1(U \cap V) \rightarrow \pi_1(U)$$

$$c \rightarrow aba^{-1}b^{-1}$$

$$i_2: \pi_1(U \cap V) \rightarrow \pi_1(V)$$

$$c \rightarrow cdc^{-1}d^{-1}$$

$$\Rightarrow \pi_1(\Sigma_2) = \frac{\langle a, b, c, d \rangle}{aba^{-1}b^{-1} = cdc^{-1}d^{-1}}$$

$$= \langle a, b, c, d \mid aba^{-1}b^{-1} = cdc^{-1}d^{-1} \rangle \sim \text{not abelian}$$